



Maximal Convergence and Interpolation on Unconnected Sets

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Abstract

A theorem of Grothmann states that interpolating polynomials to a holomorphic function on a compact set E is maximally convergent to f only if a subsequence of the interpolation points converges to the equilibrium distribution of E in the weak* sense. Grothmann's proof applies only for connected sets E . The objective of this paper is to provide a new necessary condition for maximal convergence which is the crucial tool to prove Grothmann's theorem for unconnected sets E .

Keywords Complex approximation · Interpolation · Maximal convergence · Equilibrium measure

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1 Introduction

For $B \subset \mathbb{C}$, we denote by \overline{B} its closure and by ∂B the boundary of B and we use $\|\cdot\|_B$ for the supremum norm over B . Let $\mathcal{A}(B)$ be the class of functions that are holomorphic (i.e., analytic and single-valued) in a neighborhood of B , and we denote by \mathcal{P}_n the set of algebraic polynomials of degree at most n .

Let E be a compact subset of the complex plane \mathbb{C} , and let $\mathcal{M}(E)$ be the collection of all probability measures supported on E , then the logarithmic potential of $\mu \in \mathcal{M}(E)$ is defined by

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$$U^\mu(z) = \int \log \frac{1}{|z-t|} \, d\mu(t)$$

and the logarithmic energy $I(\mu)$ by

$$I(\mu) := \int \int \log \frac{1}{|z-t|} \, d\mu(t) \, d\mu(z) = \int U^\mu(z) \, d\mu(z).$$

Let

$$V(E) := \inf\{I(\mu) : \mu \in \mathcal{M}(E)\},$$

then $V(E)$ is either finite or $V(E) = +\infty$. The quantity

$$\text{cap } E = e^{-V(E)}$$

is called the logarithmic capacity or capacity of E .

Let E be compact in the complex plane \mathbb{C} with connected complement $\Omega = \overline{\mathbb{C}} \setminus E$ in the extended plane $\overline{\mathbb{C}}$. The domain Ω is called *regular* if the Green function $G(z) = G(z, \infty)$ on Ω with pole at ∞ tends to 0 as $z \in \Omega$ tends to the boundary $\partial\Omega$ of Ω . If Ω is regular, then $\text{cap } E > 0$ and there exists a unique measure $\mu_E \in \mathcal{M}(E)$ such that

$$I(\mu_E) = -\log \text{cap } E = V(E)$$

and we have

$$U^{\mu_E}(z) = -G(z) - \log \text{cap } E, \quad z \in \Omega.$$

μ_E is called *equilibrium measure* of E .

In the following, let E be compact in \mathbb{C} with regular connected complement $\Omega = \overline{\mathbb{C}} \setminus E$. Then, we define for $\sigma > 1$ the *Green domains* E_σ by

$$E_\sigma := \{z \in \Omega : G(z) < \log \sigma\} \cup E$$

with boundary $\Gamma_\sigma := \partial E_\sigma$. Since Ω is regular, the Green domain E_σ consists of a finite number of Jordan regions which are mutually exterior (cf. Walsh ([4], Chapter 4, section 4.1). Only in the case that E is connected, each E_σ is a single Jordan region for any $\sigma > 1$.

If $f \in \mathcal{A}(E)$, then there exists $\rho > 1$ and polynomials $p_n \in \mathcal{P}_n$, $n \in \mathbb{N}$, such that

$$\limsup_{n \rightarrow \infty} \|f - p_n\|_E^{1/n} \leq \frac{1}{\rho},$$

due to a result of Walsh ([4]).

Let $\rho(f)$ denote the maximal parameter $\rho > 1$, $1 < \rho \leq \infty$, such that f is holomorphic on E_ρ . Then, there exist polynomials $p_n \in \mathcal{P}_n$ such that

$$\limsup_{n \rightarrow \infty} \|f - p_n\|_E^{1/n} = \frac{1}{\rho(f)}.$$

Such a sequence $p_n \in \mathcal{P}_n$ is called *maximally convergent*. Moreover, Walsh ([4], Sect 4.7, Theorem 7, Theorem 8 and its Corollary, pp. 79–81) proved that for such maximally convergent polynomials

$$\limsup_{n \rightarrow \infty} \|f - p_n\|_{\Gamma_\sigma}^{1/n} = \frac{\sigma}{\rho(f)}, \quad 1 < \sigma < \rho(f). \quad (1.1)$$

Consider Lagrange–Hermite interpolation to f on point sets

$$Z_n : z_{n,0}, z_{n,1}, \dots, z_{n,n} \in E$$

by polynomials $p_n \in \mathcal{P}_n$. Then, it is known, due to Bernstein–Walsh, that the interpolation on such schemes Z_n yields (1.1) if the normalized counting measures ν_n , i.e.,

$$\nu_n(B) := \frac{\#\{z_{n,j} : z_{n,j} \in B\}}{n+1} \quad (B \subset \mathbb{C}),$$

satisfy

$$\widehat{\nu}_n \xrightarrow[n \rightarrow \infty]{*} \mu_E$$

in the weak* sense, where $\widehat{\nu}_n$ denotes the balayage measure of ν_n on the boundary of E , i.e., $\widehat{\nu}_n$ is the measure supported on the boundary of E such that

$$U^{\widehat{\nu}_n}(z) = U^\nu(z) \quad \text{for all } z \in \overline{\mathbb{C}} \setminus E.$$

Conversely, Grothmann stated the following theorem.

Theorem (Grothmann [1]): *Let p_n be the polynomial of interpolation on $Z_n \subset E$. If $f \in \mathcal{A}(E)$, $1 < \rho(f) < \infty$, and if*

$$\limsup_{n \rightarrow \infty} \|f - p_n\|_E^{1/n} = \frac{1}{\rho(f)},$$

then μ_E is a weak limit point of $\{\widehat{\nu}_n\}_{n \in \mathbb{N}}$.*

The proof given in ([1]) applies only if E is connected or, at least, if $E_{\rho(f)}$ is connected. Hence, one objective of this paper is to provide a proof of Grothmann's theorem even for unconnected sets E . The crucial tool will be a new necessary condition for maximally convergent polynomials which seems to be interesting itself.

2 Maximal Convergence and Interpolation

Let $E \subset \mathbb{C}$ be compact with regular connected complement $\Omega = \overline{\mathbb{C}} \setminus E$ and let $p_n \in \mathcal{P}_n$, $n \in \mathbb{N}$, denote polynomials such that

$$\limsup_{n \rightarrow \infty} \|f - p_n\|_E^{1/n} = \frac{1}{\rho(f)},$$

where $\rho(f)$ is the maximal parameter of holomorphy of f and $1 < \rho(f) < \infty$.

The Green domains E_r , $1 < r < \infty$, consist of a finite number of disjoint regions E_r^i ,

$$E_r = E_r^1 \cup E_r^2 \cup \dots \cup E_r^{l_r}, \quad l_r \in \mathbb{N}. \quad (2.1)$$

Each E_r^i is a Jordan region, and we write $\Gamma_r^i = \partial E_r^i$. Then, the boundary $\Gamma_r = \partial E_r$ is

$$\Gamma_r = \bigcup_{i=1}^{l_r} \Gamma_r^i$$

and we note that Γ_r^i and Γ_r^j may have points in common if $i \neq j$, but only a finite number of points (cf. Walsh ([4], chapter 4, section 4.1)).

Our first result is a necessary condition for maximal convergence that is new if E is not connected.

Proposition 1 *Let $f \in \mathcal{A}(E_\rho)$, $1 < \rho < \infty$, and let $p_n \in \mathcal{P}_n$, $n \in \mathbb{N}$, be polynomials such that*

$$\limsup_{n \rightarrow \infty} \|f - p_n\|_E^{1/n} \leq \frac{1}{\rho}. \quad (2.2)$$

If $1 < \sigma < \rho$ and if

$$\limsup_{n \rightarrow \infty} \min_{1 \leq i \leq l_\sigma} \|f - p_n\|_{\Gamma_\sigma^i}^{1/n} < \frac{\sigma}{\rho}, \quad (2.3)$$

then the maximal parameter $\rho(f)$ of holomorphy of f satisfies $\rho(f) > \rho$.

As a consequence of Proposition 1, we get immediately

Theorem 1 *Let $f \in \mathcal{A}(E)$, and let $1 < \sigma < \rho(f) < \infty$. Then, the sequence $\{p_n\}_{n \in \mathbb{N}}$ with $p_n \in \mathcal{P}_n$ is maximally convergent to f if and only if*

$$\frac{\sigma}{\rho(f)} = \limsup_{n \rightarrow \infty} \|f - p_n\|_{\Gamma_\sigma}^{1/n} = \limsup_{n \rightarrow \infty} \min_{1 \leq i \leq l_\sigma} \|f - p_n\|_{\Gamma_\sigma^i}^{1/n}. \quad (2.4)$$

If E is connected, then $l_\sigma = 1$ for any σ and Theorem 1 coincides with the well-known characterization of Bernstein–Walsh.

Next, we want to use the above results to characterize interpolating polynomials converging maximally to f by the distribution of the interpolation points.

Proposition 2 *Let $f \in \mathcal{A}(E_\rho)$, $1 < \rho < \infty$, and let $p_n \in \mathcal{P}_n$ be the interpolating polynomial to f on the point set $Z_n \subset E$, $n \in \mathbb{N}$, with*

$$\limsup_{n \rightarrow \infty} \|f - p_n\|_{\Gamma_{\sigma^*}}^{1/n} \leq \frac{\sigma^*}{\rho} \quad (2.5)$$

for all σ^* , $1 < \sigma^* < \rho$.

Let $\Lambda \subset \mathbb{N}$ and let μ_E be not a weak* limit point of \widehat{v}_n , $n \in \Lambda$. If σ is fixed with $1 < \sigma < \rho$, then for all $n \in \Lambda$ there exists an index $s(n)$, $1 \leq s(n) \leq l_\sigma$, such that the strict inequality

$$\limsup_{n \in \Lambda, n \rightarrow \infty} \|f - p_n\|_{\Gamma_\sigma^{s(n)}}^{1/n} < \frac{\sigma}{\rho} \quad (2.6)$$

holds.

Finally, we combine Proposition 2 with Proposition 1 to obtain a characterization of maximally converging interpolation polynomials.

Theorem 2 *Let $f \in \mathcal{A}(E)$ with $1 < \rho(f) < \infty$, and let $\{p_n\}_{n \in \mathbb{N}}$ be maximally convergent to f on E . If p_n interpolates f at the interpolation point set $Z_n \subset E$ with counting measure v_n and balayage measure \widehat{v}_n on ∂E , then the following holds:*

- (a) μ_E is a weak* limit point of $\{\widehat{v}_n\}_{n \in \mathbb{N}}$.
- (b) For every fixed σ , $1 < \sigma < \rho(f)$, there exists a subset $\Lambda \subset \mathbb{N}$ such that

$$\frac{\sigma}{\rho(f)} = \limsup_{n \rightarrow \infty} \|f - p_n\|_{\Gamma_\sigma}^{1/n} = \lim_{n \in \Lambda, n \rightarrow \infty} \min_{1 \leq i \leq l_\sigma} \|f - p_n\|_{\Gamma_\sigma^i}^{1/n} \quad (2.7)$$

and

$$\widehat{v}_n \xrightarrow{*} \mu_E \text{ as } n \rightarrow \infty, n \in \Lambda.$$

The first statement (a) is Grothmann's theorem, even proved here for unconnected E . The second statement (b) describes the subsequences $\Lambda \in \mathbb{N}$ which lead to μ_E as a weak* limit point of \widehat{v}_n , $n \in \mathbb{N}$.

3 Proof of Proposition 1

The proof is based on constructing a telescoping series of f ,

$$f = p_{n_1} + \sum_{j=1}^{\infty} (p_{n_{j+1}} - p_{n_j}),$$

which is holomorphic in a neighborhood of \overline{E}_ρ .

Because of (2.2), the Lemma of Bernstein–Walsh induces that there exists for $\varepsilon > 0$ and $1 \leq r < \rho$ a number $n_\varepsilon(r) \in \mathbb{N}$ such that

$$\frac{1}{n} \log \|f - p_n\|_{\Gamma_r} \leq \log \frac{r}{\rho} + \varepsilon \quad (3.1)$$

for $n \geq n_\varepsilon(r)$. Because of (2.3), there exist a map

$$s : \mathbb{N} \rightarrow \{1, 2, \dots, l_\sigma\}$$

and $\delta > 0$ and $n_1(\delta) \in \mathbb{N}$ such that

$$\frac{1}{n} \log \|f - p_n\|_{\Gamma_\sigma^{s(n)}} \leq \log \frac{\sigma}{\rho} - \delta. \quad (3.2)$$

for all $n \geq n_1(\delta)$.

3.1 The Starting Telescoping Series

Let us fix a parameter $\kappa > 1$, then the telescoping series

$$f = p_{m_1} + \sum_{i=1}^{\infty} (p_{m_{i+1}} - p_{m_i}) \quad (3.3)$$

and the sequence $\Lambda_1(\kappa) := \{m_i\}_{i=1}^{\infty}$ is defined recursively:

Set $m_1 := 1$. If m_i is defined and if there exists $m > m_i$ with

$$s(m) = s(m_i) \quad \text{and} \quad m/m_i \leq \kappa,$$

then we define

$$m_{i+1} := m, \quad \text{otherwise} \quad m_{i+1} := m_i + 1.$$

Hence, $\Lambda_1(\kappa) = \{m_i\}_{i=1}^{\infty}$ has the following properties:

$$m_{i+1}/m_i \leq \kappa \quad \text{and} \quad s(m_{i+1}) = s(m_i) \quad (3.4)$$

or

$$m_{i+1} = m_i + 1 \quad \text{and} \quad s(m) \neq s(m_i) \quad \text{for } m_i + 1 \leq m \leq \kappa m_i. \quad (3.5)$$

Next, we decompose $\Lambda_1(\kappa)$ into

$$\Lambda_1(\kappa) := \Lambda_{1,1}(\kappa) \cup \Lambda_{1,2}(\kappa) \quad (3.6)$$

with

$$\Lambda_{1,1}(\kappa) = \{m_i \in \Lambda_1(\kappa) : m_i \text{ satisfies (3.4)}\} \quad (3.7)$$

and

$$\Lambda_{1,2}(\kappa) = \{m_i \in \Lambda_1(\kappa) : m_i \text{ satisfies (3.5)}\} = \Lambda_1(\kappa) \setminus \Lambda_{1,1}(\kappa). \quad (3.8)$$

The next lemma shows how to estimate the norm of the difference

$$p_{m_{i+1}} - p_{m_i} \quad \text{for } m_i \in \Lambda_{1,1}(\kappa)$$

outside of E_r . We use as auxiliary tool the *harmonic measure*

$$h_r^i(z) = \omega(z, \Gamma_r^i, \overline{\mathbb{C}} \setminus \overline{E_r}), \quad 1 \leq i \leq l_r,$$

where $\Gamma_r^i = \partial E_r^i$ is the boundary of the Jordan region E_r^i in the decomposition of E_r in (2.1), i.e., h_r^i is the harmonic function in $\overline{\mathbb{C}} \setminus \overline{E_r}$ that satisfies the boundary conditions $h_r^i = 1$ on Γ_r^i and $h_r^i = 0$ on $\Gamma_r \setminus \Gamma_r^i$, possibly except of a finite number of points (cf. [3], p. 111, section III, 17 or [2]). Then,

$$h_r^i > 0 \quad \text{for all } z \in \overline{\mathbb{C}} \setminus \overline{E_r}$$

and if we define for $r^* > r$

$$\alpha_r(r^*) := \min_{1 \leq i \leq l_r} \min_{z \in \Gamma_{r^*}} h_r^i(z),$$

we obtain

$$\alpha_r(r^*) > 0.$$

Lemma 1 *Let $n, m \in \mathbb{N}$ with $m < n$ and $n/m \leq \kappa$, and let $1 < r < \rho$ such that*

$$\frac{1}{m} \log \|f - p_m\|_{\Gamma_r^{s(m)}} \leq \log \frac{r}{\rho} - \delta_r \quad (3.9)$$

and

$$\frac{1}{n} \log \|f - p_n\|_{\Gamma_r^{s(n)}} \leq \log \frac{r}{\rho} - \delta_r. \quad (3.10)$$

where $\delta_r > 0$. If $r^* > r$, $s(m) = s(n)$ and if

$$1 < \kappa < 1 + \frac{\alpha_r(r^*)}{\log(\rho/r)} \delta_r, \quad (3.11)$$

then there exists $\delta_{r^*}^* > 0$ and $n^* = n^*(\kappa) \in \mathbb{N}$ such that

$$\frac{1}{n} \log \|p_n - p_m\|_{\Gamma_{r^*}} \leq \log \frac{r^*}{\rho} - \delta_{r^*}^* \quad (3.12)$$

for $m \geq n^*$, where

$$\delta_{r^*}^* \geq \frac{1}{2} \left(\frac{\delta_r}{\kappa} \alpha_r(r^*) - \left(1 - \frac{1}{\kappa}\right) \log \frac{\rho}{r} \right). \quad (3.13)$$

Proof (3.9) and (3.10) imply

$$\|p_n - p_m\|_{\Gamma_r^{s(n)}} \leq 2 \left(\frac{r}{\rho} e^{-\delta_r} \right)^m \leq 2 \left(\frac{r}{\rho} e^{-\delta_r} \right)^{n/\kappa}$$

or

$$\begin{aligned} \frac{1}{n} \log \|p_n - p_m\|_{\Gamma_r^{s(n)}} &\leq \frac{\log 2}{n} + \left(\log \frac{r}{\rho} - \delta_r \right) \frac{1}{\kappa} \\ &= \log \frac{r}{\rho} - \frac{\delta_r}{\kappa} + \left(\frac{1}{\kappa} - 1 \right) \log \frac{r}{\rho} + \frac{\log 2}{n}. \end{aligned} \quad (3.14)$$

Fix $0 < \varepsilon < \log \rho/\sigma$. Because of (3.1), there exists $n_\varepsilon(r) \in \mathbb{N}$ such that

$$\frac{1}{n} \log \|f - p_n\|_{\Gamma_r} \leq \log \frac{r}{\rho} + \varepsilon$$

for $n \geq n_\varepsilon(r)$. Then,

$$\|p_n - p_m\|_{\Gamma_r^{s(n)}} \leq 2 \left(\log \frac{r}{\rho} e^\varepsilon \right)^m \leq 2 \left(\log \frac{r}{\rho} e^\varepsilon \right)^{n/\kappa}$$

or

$$\begin{aligned} \frac{1}{n} \log \|p_n - p_m\|_{\Gamma_r^{s(n)}} &\leq \frac{\log 2}{n} + \frac{1}{\kappa} \log \frac{r}{\rho} + \frac{\varepsilon}{\kappa} \\ &\leq \log \frac{r}{\rho} + \left(\frac{1}{\kappa} - 1 \right) \log \frac{r}{\rho} + \frac{\kappa \log 2}{n} + \frac{\varepsilon}{\kappa}. \end{aligned} \quad (3.15)$$

Define

$$A(\kappa) := \left(1 - \frac{1}{\kappa} \right) \log \frac{\rho}{r}$$

and

$$H(z) := \frac{1}{n} \log |(p_n(z) - p_m(z))| - G(z) + \log \rho.$$

Then, $H(z)$ is subharmonic in $\overline{\mathbb{C}} \setminus \overline{E}_r$ and the harmonic function

$$-\frac{\delta_r}{\kappa} h_r^{s(n)}(z) + A(\kappa) + \frac{\log 2}{n} + \frac{\varepsilon}{\kappa}$$

is an upper bound of $H(z)$ in $\mathbb{C} \setminus \overline{E}_r$, where we have taken into account (3.14) and (3.15) and the definition of h_r^i for $i = s(n) = s(m)$. Inserting $z \in \Gamma_{r^*}$, we obtain

$$H(z) < -\frac{\delta_r}{\kappa} \alpha_r(r^*) + A(\kappa) + \frac{\log 2}{n} + \frac{\varepsilon}{\kappa}$$

and

$$\frac{1}{n} \log \|p_n - p_m\|_{\Gamma_{r^*}} \leq \log \frac{r^*}{\rho} - \frac{\delta_r}{\kappa} \alpha_r(r^*) + A(\kappa) + \frac{\log 2}{n} + \frac{\varepsilon}{\kappa}. \quad (3.16)$$

If we choose κ as in (3.11), we get with

$$\begin{aligned} -\frac{\delta_r}{\kappa} \alpha_r(r^*) + A(\kappa) &= -\frac{\delta_r}{\kappa} \alpha_r(r^*) + \log \frac{\rho}{r} - \frac{1}{\kappa} \log \frac{\rho}{r} \\ &= -\frac{1}{\kappa} \left(\delta_r \alpha_r(r^*) + \log \frac{\rho}{r} \right) + \log \frac{\rho}{r} \\ &< 0. \end{aligned}$$

Now, let us define

$$\delta_{r^*}^* := \frac{1}{2} \left(\frac{\delta_r}{\kappa} \alpha_r(r^*) - A(\kappa) \right),$$

then $\delta_{r^*}^* > 0$, and we can choose n large enough and ε sufficiently small such that

$$\frac{\log 2}{n} + \frac{\varepsilon}{\kappa} < \delta_{r^*}^*.$$

Hence, we can find $n^* = n^*(\kappa) \in \mathbb{N}$ such that by (3.16) we obtain

$$\frac{1}{n} \log \|p_n - p_m\|_{\Gamma_{r^*}} \leq \log \frac{r^*}{\rho} - \delta_{r^*}^*$$

for $m \geq n^*$, and (3.12) and (3.13) are proven. \square

We know from (3.2) that

$$\frac{1}{n} \log \|f - p_n\|_{\Gamma_\sigma^{s(n)}} \leq \log \frac{\sigma}{\rho} - \delta, \quad n \geq n_1(\delta). \quad (3.17)$$

Let

$$\kappa_1^* := 1 + \frac{\alpha_\sigma(\rho)}{\log(\rho/\sigma)} \delta \quad (3.18)$$

and let $1 < \kappa < \kappa_1^*$ in the definition of $\Lambda_1(\kappa)$ in (3.6)–(3.8), then we obtain by Lemma 1 and choosing $r = \sigma$ and $r^* = \rho$:

There exists $\delta_1^* > 0$ and $n_1^* = n_1^*(\kappa) \in \mathbb{N}$ such that

$$\frac{1}{m_{i+1}} \log \|p_{m_{i+1}} - p_{m_i}\|_{\Gamma_\rho} \leq -\delta_1^* \quad (3.19)$$

for all $m_i \in \Lambda_{1,1}(\kappa)$, $m_i \geq n_1^*$, and

$$\delta_1^* \geq \frac{1}{2} \left(\frac{\delta}{\kappa} \alpha_\sigma(\rho) - \left(1 - \frac{1}{\kappa} \right) \log \frac{\rho}{\sigma} \right).$$

Corollary 1 *Let $1 < \kappa < \kappa_1^*$ and assume that $\Lambda_{1,2}(\kappa)$ is a finite set in the decomposition of $\Lambda_1(\kappa)$ in (3.6). Then, the telescoping series (3.3) converges uniformly on compact sets of a neighborhood of $\overline{E_\rho}$, i.e., $\rho(f) > \rho$.*

Proof We apply the Bernstein–Walsh Lemma to the differences

$$p_{m_{i+1}} - p_{m_i}$$

and use the inequality (3.19). \square

Hence, Proposition 1 is proved for this special situation.

3.2 The Auxiliary Parameter $1 < \sigma_0 < \sigma$

To restrict κ in the definition of $\Lambda_1(\kappa)$ completely, we start with the decomposition of E_σ in (2.1),

$$E_\sigma = E_\sigma^1 \cup E_\sigma^2 \cup \dots \cup E_\sigma^{l_\sigma}, \quad l_\sigma \in \mathbb{N}.$$

Then, we can define a parameter σ_0 , $1 < \sigma_0 < \sigma$, such that the decomposition of E_{σ_0} into disjoint Jordan regions $E_{\sigma_0}^i$,

$$E_{\sigma_0} = E_{\sigma_0}^1 \cup E_{\sigma_0}^2 \cup \dots \cup E_{\sigma_0}^{l_{\sigma_0}}, \quad l_{\sigma_0} \in \mathbb{N},$$

satisfies

$$l_{\sigma_0} = l_\sigma \quad \text{and} \quad \overline{E_{\sigma_0}^i} \subset E_\sigma^i \quad \text{for } 1 \leq i \leq l_{\sigma_0}.$$

This can be achieved by the strict monotonicity of E_r with respect to r and the fact that the Green function $G(z)$ of Ω has only a finite number of critical points in $\mathbb{C} \setminus E$ (cf. Walsh [4], chapter 4, section 4.1).

Lemma 2 *Let $\delta > 0$ and let $1 < \sigma_0 < \sigma$ such that according to (3.2)*

$$\frac{1}{n} \log \|f - p_n\|_{\Gamma_\sigma^{s(n)}} \leq \log \frac{\sigma}{\rho} - \delta, \quad n \geq n_1(\delta).$$

Then, there exist $\delta_0 > 0$ and $n_0(\delta)$ such that

$$\frac{1}{n} \log \|f - p_n\|_{\Gamma_{\sigma_0}^{s(n)}} \leq \log \frac{\sigma_0}{\rho} - \delta_0 \quad \text{for } n \geq n_0(\delta). \quad (3.20)$$

Proof Because of (3.1), there exists $n_\varepsilon(1)$ such that

$$\frac{1}{n} \log \|f - p_n\|_E \leq \log \frac{1}{\rho} + \varepsilon, \quad n \geq n_\varepsilon(1).$$

Let us consider the Dirichlet problem for the harmonic function $g_i(z)$ in the region

$$E_\sigma^i \setminus E, \quad 1 \leq i \leq l_\sigma,$$

with the boundary conditions

$$g_i(z) = -\delta \text{ for } z \in \Gamma_\sigma^i \quad \text{and} \quad g_i(z) = 0 \text{ for } z \in E_\sigma^i \cap \Gamma,$$

where $\Gamma = \partial E$. Then, $g_i(z) < 0$ for $z \in E_\sigma^i \setminus E$. Define

$$\beta_i := \max_{z \in \Gamma_{\sigma_0}^i} g_i(z),$$

then $\beta_i < 0$ and also

$$\beta := \max_{1 \leq i \leq l_\sigma} \beta_i < 0.$$

Moreover, the function

$$g_{s(n)}(z) + \varepsilon$$

is a harmonic majorant of

$$\frac{1}{n} \log |f(z) - p_n(z)| - G(z) + \log \rho \quad \text{in } E_\sigma^{s(n)} \setminus E.$$

That leads to

$$\frac{1}{n} \log \|f - p_n\|_{\Gamma_{\sigma_0}^{s(n)}} - \log \frac{\sigma_0}{\rho} \leq \max_{z \in \Gamma_{\sigma_0}^{s(n)}} g_{s(n)}(z) + \varepsilon$$

or

$$\frac{1}{n} \log \|f - p_n\|_{\Gamma_{\sigma_0}^{s(n)}} \leq \log \frac{\sigma_0}{\rho} + \beta + \varepsilon.$$

If we define $\varepsilon := -\beta/2$, then we get

$$\frac{1}{n} \log \|f - p_n\|_{\Gamma_{\sigma_0}^{s(n)}} \leq \log \frac{\sigma_0}{\rho} + \frac{\beta}{2}$$

for all $n \geq n_\varepsilon(1)$. Therefore,

$$n_0(\delta) := n_\varepsilon(1) \quad \text{and} \quad \delta_0 := -\beta/2$$

satisfy the statement of Lemma 2. \square

Lemma 3 *Let $n, m \in \mathbb{N}$ with $m < n$ and $n/m \leq \kappa$. Let $\delta_0 > 0$ and $n_0(\delta) \in \mathbb{N}$ such that (3.20) holds according to Lemma 2. Moreover, let $s(m) = s(n)$ and let*

$$1 < \kappa < \kappa_2^* := 1 + \frac{\alpha_{\sigma_0}(\sigma)}{\log(\rho/\sigma_0)} \delta_0, \quad (3.21)$$

then there exists $\delta_0^ > 0$ and $n_2^* = n_2^*(\kappa) \in \mathbb{N}$ such that*

$$\frac{1}{n} \log \|p_n - p_m\|_{\Gamma_\sigma} \leq \log \frac{\sigma}{\rho} - \delta_0^* \quad (3.22)$$

for $m \geq n_2^$ and*

$$\delta_0^* \geq \frac{1}{2} \left(\frac{\delta_0}{\kappa} \alpha_{\sigma_0}(\sigma) - \left(1 - \frac{1}{\kappa} \right) \log \frac{\rho}{\sigma_0} \right). \quad (3.23)$$

Proof Because of Lemma 2, there exists $n_0(\delta)$ such that

$$\frac{1}{m} \log \|f - p_m\|_{\Gamma_{\sigma_0}^{s(m)}} \leq \log \frac{\sigma_0}{\rho} - \delta_0$$

and

$$\frac{1}{n} \log \|f - p_n\|_{\Gamma_{\sigma_0}^{s(n)}} \leq \log \frac{\sigma_0}{\rho} - \delta_0$$

for $m < n$ and $m \geq n_0(\delta)$. Since $s(m) = s(n)$ and κ satisfies (3.21), then Lemma 1 yields that there exists $n_2^* = n_2^*(\kappa) \in \mathbb{N}$ and $\delta_0^* > 0$ such that

$$\frac{1}{n} \log \|p_m - p_n\|_{\Gamma_\sigma} \leq \log \frac{\sigma}{\rho} - \delta_0^*$$

for $m \geq n_2^*$ and δ_0^* satisfies (3.23). \square

3.3 The Final Telescoping Series

We start with the telescoping series associated with

$$\Lambda_1(\kappa) = \{m_i\}_{i=1}^\infty$$

satisfying (3.4) and (3.5) and choosing the parameter κ such that

$$1 < \kappa < \min(\kappa_1^*, \kappa_2^*, \kappa_3^*).$$

κ_1^* is defined by (3.18), i.e.,

$$\kappa_1^* = 1 + \frac{\alpha_\sigma(\rho)}{\log(\rho/\sigma)} \delta$$

and δ satisfies (3.17). κ_2^* is defined by (3.21), i.e.,

$$\kappa_2^* = 1 + \frac{\alpha_{\sigma_0}(\sigma)}{\log(\rho/\sigma_0)} \delta_0,$$

and δ_0 satisfies (3.20). κ_3^* will be defined by

$$\kappa_3^* := 1 + \frac{\alpha_\sigma(\rho)}{\log(\rho/\sigma)} \frac{\delta_0^*}{2} \quad (3.24)$$

and δ_0^* satisfies (3.23). The role of κ_3^* will be seen in the proof of Lemma 5. As above, we use the decomposition

$$\Lambda_1(\kappa) := \Lambda_{1,1}(\kappa) \cup \Lambda_{1,2}(\kappa)$$

Hence, by (3.19) there exist $\delta_1^* > 0$ and $n_1^* = n_1^*(\kappa) \in \mathbb{N}$ such that

$$\frac{1}{m_{i+1}} \log \|p_{m_{i+1}} - p_{m_i}\|_{\Gamma_\rho} \leq -\delta_1^* \quad (3.25)$$

for all $m_i \in \Lambda_{1,1}(\kappa)$, $m_i \geq n_1^*$. So, as critical differences in the telescoping series with respect to $\Lambda_1(\kappa)$ remain $p_{m_{i+1}} - p_{m_i}$, where

$$m_{i+1} = m_i + 1 \text{ and } s(m_{i+1}) \neq s(m_i).$$

In Corollary 1, we have given already the proof of Proposition 1 for the case that $\Lambda_{1,2}(\kappa)$ is a finite sequence. Therefore, we assume henceforth that

$$\Lambda_{1,2}(\kappa) = \{\lambda_1 < \lambda_2 < \lambda_3 < \dots\} \quad (3.26)$$

is an infinite sequence.

In the following, we use a real parameter $c \in \mathbb{R}$, $0 < c < 1$.

Lemma 4 *Let $\lambda_k \in \Lambda_{1,2}(\kappa)$ be fixed. Then, there exist at most l_σ elements of $\Lambda_{1,2}(\kappa)$ in the interval*

$$(\lambda_k, \kappa\lambda_k].$$

Moreover, let the parameter $c \in \mathbb{R}$, $0 < c < 1$, be fixed and let the semi-open intervals $I(\lambda_k, j)$ be defined by

$$I(\lambda_k, j) := \left(\lambda_k \left(1 + \left(\frac{c}{1+c} \right)^{j+1} (\kappa - 1) \right), \lambda_k \left(1 + \left(\frac{c}{1+c} \right)^j (\kappa - 1) \right) \right]$$

for $0 \leq j \leq l_\sigma - 1$. Then, there exists \tilde{l}_k , $0 \leq \tilde{l}_k \leq l_\sigma - 1$, such that

$$I(\lambda_k, \tilde{l}_k) \cap \Lambda_{1,2}(\kappa) = \emptyset.$$

Proof Let us assume that there exist at least l_σ elements of $\Lambda_{1,2}(\kappa)$ in the interval $(\lambda_k, \kappa\lambda_k]$. Then, the definition of $\Lambda_1(\kappa)$, resp. $\Lambda_{1,2}(\kappa)$, implies that the values of the function s at the points

$$\lambda_k, \lambda_{k+1}, \dots, \lambda_{k+l_\sigma}$$

are all different, which contradicts the definition of l_σ .

Let us assume that the second part of the Lemma is false. Then, in each

$$I(\lambda_k, j), \quad 0 \leq j \leq l_\sigma - 1,$$

there exists at least one element of $\Lambda_{1,2}(\kappa)$. Hence, the interval

$$\left(\lambda_k \left(1 + \left(\frac{c}{1+c} \right)^{l_\sigma} (\kappa - 1) \right), \lambda_k \right]$$

contains at least l_σ elements of $\Lambda_{1,2}(\kappa)$, contradicting the first part of the lemma. \square

3.3.1 The Telescoping Defining Sequence $\Lambda(\kappa, c)$

Let

$$\Lambda_1(\kappa) = \{m_i\}_{i=1}^{\infty}$$

satisfies (3.4) and (3.5) with a parameter κ where

$$1 < \kappa < \min(\kappa_1^*, \kappa_2^*, \kappa_3^*).$$

κ_1^* is defined by (3.18), κ_2^* by (3.21), κ_3^* by (3.24). As in (3.6) - (3.8), we decompose

$$\Lambda_1(\kappa) := \Lambda_{1,1}(\kappa) \cup \Lambda_{1,2}(\kappa).$$

Then, we define the sequence

$$\Lambda(\kappa, c) = \{n_j\}_{j=1}^{\infty}$$

as follows: If $\Lambda_{1,2}(\kappa)$ is a finite sequence, then $\Lambda(\kappa, c) := \Lambda_1(\kappa)$. If $\Lambda_{1,2}(\kappa)$ is an infinite sequence, we define

$$\gamma := \left\lfloor \frac{1}{\left(\frac{c}{1+c}\right)^{l_{\sigma}}(\kappa-1)} \right\rfloor + 1 \quad (3.27)$$

and we set

$$M := \min \{m_i \in \Lambda_1(\kappa) : m_i > \gamma\}.$$

Then, we define $n_j := m_j$ for $1 \leq m_j \leq M$. The remaining elements $n_j \in \Lambda(\kappa, c)$, $n_j > M$, will be defined recursively:

If $n_j \geq M$ is already constructed, we note that we obtain by (3.27) for $0 \leq \tilde{l}_j \leq l_{\sigma} - 1$

$$n_j \left(\frac{c}{1+c} \right)^{\tilde{l}_j+1} (\kappa-1) \geq n_j \left(\frac{c}{1+c} \right)^{l_{\sigma}} (\kappa-1) > 1. \quad (3.28)$$

Then, we fix

$$m := \min \{m_i \in \Lambda_1(\kappa) : m_i > n_j\}$$

and distinguish 2 cases:

- (i) If $s(m) = s(n_j)$, then $n_{j+1} := m$.

(ii) If $s(m) \neq s(n_j)$, then we apply Lemma 4. Hence, there exists $k_0 \in \mathbb{N}$ and $0 \leq \tilde{l}_j \leq l_\sigma - 1$, such that

$$\lambda_{k_0} \leq n_j \left(1 + \left(\frac{c}{1+c} \right)^{\tilde{l}_j+1} (\kappa - 1) \right)$$

and

$$\lambda_{k_0+1} > n_j \left(1 + \left(\frac{c}{1+c} \right)^{\tilde{l}_j} (\kappa - 1) \right),$$

where we have used (3.28) and the enumeration of $\Lambda_{1,2}(\kappa)$ as in (3.26). Then, we define

$$n_{j+1} := \lambda_{k_0}.$$

Properties of $\Lambda(\kappa, c)$ We have always $n_{j+1} \leq \kappa n_j$. If $s(n_{j+1}) \neq s(n_j)$, then

$$n_{j+1} - n_j \leq n_j \left(\frac{c}{1+c} \right)^{\tilde{l}_j+1} (\kappa - 1) \quad (3.29)$$

and

$$\min_{\lambda \in \Lambda_{1,2}(\kappa)} \{ \lambda : \lambda > n_{j+1} \} > n_j \left(1 + \left(\frac{c}{1+c} \right)^{\tilde{l}_j} (\kappa - 1) \right), \quad (3.30)$$

where $0 \leq \tilde{l}_j \leq l_\sigma - 1$. Moreover, $s(m) = s(n_{j+1})$ for

$$m \in \Lambda_1(\kappa), \text{ where } n_{j+1} \leq m < n_j \left(1 + \left(\frac{c}{1+c} \right)^{\tilde{l}_j} (\kappa - 1) \right). \quad (3.31)$$

In the following, we use the decomposition

$$\Lambda(\kappa, c) := \Lambda_1(\kappa, c) \cup \Lambda_2(\kappa, c),$$

where

$$\Lambda_1(\kappa, c) = \{ n_j \in \Lambda(\kappa, c) : n_{j+1}/n_j \leq \kappa \text{ and } s(n_{j+1}) = s(n_j) \}$$

and

$$\Lambda_2(\kappa, c) = \{ n_j \in \Lambda(\kappa, c) : n_{j+1}/n_j \leq \kappa \text{ and } s(n_{j+1}) \neq s(n_j) \}.$$

Lemma 5 Let $n_j \in \Lambda_2(\kappa, c)$, then there exists $\delta_{2,j} > 0$ and $n_3^* = n_3^*(\kappa) \in \mathbb{N}$ such that

$$\frac{1}{n_{j+1}} \log \|f - p_{n_{j+1}}\|_{\Gamma_\sigma} \leq \log \frac{\sigma}{\rho} - \delta_{2,j} \quad \text{for } n_j \geq n_3^*. \quad (3.32)$$

Moreover, $\delta_{2,j}$ can be chosen in such a way that

$$\delta_{2,j} \geq \frac{1}{2} \min \left(\delta_0^*, \frac{1}{2\kappa} \left(\frac{c}{1+c} \right)^{\tilde{l}_j} \frac{\kappa-1}{1+c} \log \frac{\rho}{\sigma} \right) \quad (3.33)$$

with δ_0^* satisfying (3.22) and (3.23) of Lemma 3.

Proof We consider the telescoping series

$$f = p_{n_{j+1}} + \sum_{k=1}^{\infty} (p_{n_{j+k+1}} - p_{n_{j+k}})$$

and define

$$k_j := \sup \{k : s(n_{j+1}) = s(n_{j+2}) = \dots = s(n_{j+k})\}. \quad (3.34)$$

Because of (3.30), we have

$$n_{j+k_j} \geq n_j \left(1 + \left(\frac{c}{1+c} \right)^{\tilde{l}_j} (\kappa-1) \right) - 1, \quad (3.35)$$

keeping in mind that $n_{j+k_j} \in \mathbb{N}$. Now, we write

$$\sum_{k=1}^{\infty} (p_{n_{j+k+1}} - p_{n_{j+k}}) = A_j + B_j,$$

where

$$A_j = \sum_{k=1}^{k_j-1} (p_{n_{j+k+1}} - p_{n_{j+k}})$$

and

$$B_j = \sum_{k=k_j}^{\infty} (p_{n_{j+k+1}} - p_{n_{j+k}}).$$

Estimation of A_j on Γ_σ Because of the definition of k_j in (3.34), we use (3.31) and apply Lemma 3 for all differences

$$p_{n_{j+k+1}} - p_{n_{j+k}}$$

occurring in A_j . We obtain with $\delta_0^* > 0$ and $n_2^* = n^*(\kappa) \in \mathbb{N}$ that

$$\frac{1}{n_{j+k+1}} \log \|p_{n_{j+k+1}} - p_{n_{j+k}}\|_{\Gamma_\sigma} \leq \log \frac{\sigma}{\rho} - \delta_0^*, \quad n_{j+k} \geq n_2^*,$$

where δ_0^* satisfies the inequality (3.23), since

$$1 < \kappa < \kappa_2^* = 1 + \frac{\alpha_{\sigma_0}(\sigma)}{\log(\rho/\sigma_0)} \delta_0$$

and δ_0 is defined by Lemma 2 in (3.20). Then,

$$\begin{aligned} \|A_j\|_{\Gamma_\sigma} &\leq \sum_{k=1}^{k_j-1} \|p_{n_{j+k+1}} - p_{n_{j+k}}\|_{\Gamma_\sigma} \\ &\leq \sum_{k=1}^{\infty} \left(\frac{\sigma}{\rho} e^{-\delta_0^*} \right)^{n_{j+k+1}} \\ &= \beta_1 \left(\frac{\sigma}{\rho} e^{-\delta_0^*} \right)^{n_{j+1}} \end{aligned} \quad (3.36)$$

for all j with $n_j \geq n_2^*$ and β_1 is a constant independent of j .

Estimation of B_j on Γ_σ Let us define

$$\gamma_j := 1 + \left(\frac{c}{1+c} \right)^{\tilde{l}_j} (\kappa - 1). \quad (3.37)$$

Because of (3.1), there exists $n_\varepsilon(\sigma)$ such that for $n \geq n_\varepsilon(\sigma)$

$$\frac{1}{n} \log \|f - p_n\|_{\Gamma_\sigma} \leq \log \frac{\sigma}{\rho} + \varepsilon,$$

where $0 < \varepsilon < \log(\rho/\sigma)$ is fixed. Then, for $n_j \geq n_\varepsilon(\sigma)$

$$\|p_{n_j+k_j+1} - p_{n_j+k_j}\|_{\Gamma_\sigma} \leq 2 \left(\frac{\sigma}{\rho} e^\varepsilon \right)^{n_j+k_j}$$

and with (3.35) and (3.37) we obtain

$$\begin{aligned}\|p_{n_j+k_j+1} - p_{n_j+k_j}\|_{\Gamma_\sigma} &\leq 2 \left(\frac{\sigma}{\rho} e^\varepsilon \right)^{\gamma_j n_j} \left(\frac{\sigma}{\rho} e^\varepsilon \right)^{-1} \\ &\leq \beta_2 \left(\frac{\sigma}{\rho} e^\varepsilon \right)^{\gamma_j n_j}\end{aligned}$$

with

$$\beta_2 = 2 \left(\frac{\sigma}{\rho} e^\varepsilon \right)^{-1}.$$

Analogously,

$$\|p_{n_j+k+1} - p_{n_j+k}\|_{\Gamma_\sigma} \leq \beta_2 \left(\frac{\sigma}{\rho} e^\varepsilon \right)^{\gamma_j n_j + k - k_j}$$

for all $k \geq k_j$ and $n_j \geq n_\varepsilon(\sigma)$. Hence, for such n_j

$$\begin{aligned}\|B_j\|_{\Gamma_\sigma} &\leq \sum_{k=k_j}^{\infty} \|p_{n_j+k+1} - p_{n_j+k}\|_{\Gamma_\sigma} \\ &\leq \beta_2 \left(\frac{\sigma}{\rho} e^\varepsilon \right)^{\gamma_j n_j} \sum_{v=0}^{\infty} \left(\frac{\sigma}{\rho} e^\varepsilon \right)^v \\ &= \beta_2 \frac{\rho}{\rho - \sigma e^\varepsilon} \left(\frac{\sigma}{\rho} e^\varepsilon \right)^{\gamma_j n_j} \\ &= \beta_3 \left(\frac{\sigma}{\rho} e^\varepsilon \right)^{\gamma_j n_j},\end{aligned}\tag{3.38}$$

where

$$\beta_3 = \beta_2 \frac{\rho}{\rho - \sigma e^\varepsilon}.$$

Because of (3.29),

$$1 \leq \frac{n_j}{n_{j+1}} \left(1 + \left(\frac{c}{1+c} \right)^{\tilde{l}_j+1} (\kappa - 1) \right)$$

and therefore

$$\begin{aligned} \frac{\gamma_j n_j}{n_{j+1}} &\geq \frac{1 + \left(\frac{c}{1+c}\right)^{\tilde{l}_j} (\kappa - 1)}{1 + \left(\frac{c}{1+c}\right)^{\tilde{l}_j+1} (\kappa - 1)} \\ &= 1 + \frac{\left(\frac{c}{1+c}\right)^{\tilde{l}_j} \frac{\kappa-1}{1+c}}{1 + \left(\frac{c}{1+c}\right)^{\tilde{l}_j+1} (\kappa - 1)}. \end{aligned} \quad (3.39)$$

For abbreviation, we define

$$\tilde{\delta}_j := \frac{\left(\frac{c}{1+c}\right)^{\tilde{l}_j} \frac{\kappa-1}{1+c}}{1 + \left(\frac{c}{1+c}\right)^{\tilde{l}_j+1} (\kappa - 1)}$$

and note that

$$\frac{1}{\kappa} \left(\frac{c}{1+c}\right)^{\tilde{l}_j} \frac{\kappa-1}{1+c} < \tilde{\delta}_j < \kappa - 1. \quad (3.40)$$

Since $\varepsilon < \log(\rho/\sigma)$, multiplication of (3.39) by $\log(\sigma/\rho) + \varepsilon$ yields

$$\frac{\gamma_j n_j}{n_{j+1}} \left(\log \frac{\sigma}{\rho} + \varepsilon \right) \leq \log \frac{\sigma}{\rho} + \tilde{\delta}_j \log \frac{\sigma}{\rho} + \varepsilon (1 + \tilde{\delta}_j).$$

Hence, the upper bound in (3.40) leads to

$$\frac{\gamma_j n_j}{n_{j+1}} \left(\log \frac{\sigma}{\rho} + \varepsilon \right) < \log \frac{\sigma}{\rho} - \tilde{\delta}_j \log \frac{\rho}{\sigma} + \varepsilon \kappa.$$

Next, we define

$$\varepsilon := \frac{1}{2\kappa^2} \left(\left(\frac{c}{1+c}\right)^{\tilde{l}_j} \frac{\kappa-1}{1+c} \log \frac{\rho}{\sigma} \right). \quad (3.41)$$

Then the general condition $\varepsilon < \log(\rho/\sigma)$ is satisfied and the lower bound of (3.40) yields

$$\begin{aligned} -\tilde{\delta}_j \log \frac{\rho}{\sigma} + \varepsilon \kappa &< -\tilde{\delta}_j \log \frac{\rho}{\sigma} + \frac{1}{2} \tilde{\delta}_j \log \frac{\rho}{\sigma} \\ &= -\frac{1}{2} \tilde{\delta}_j \log \frac{\rho}{\sigma}. \end{aligned}$$

Therefore, for such ε we obtain by (3.38) for $n_j \in \Lambda_2(\kappa, c)$ and $n_j \geq n_\varepsilon(\sigma)$

$$\|B_j\|_{\Gamma_\sigma} < \beta_3 \left(\frac{\sigma}{\rho} e^{-\delta_{2,j}^*} \right)^{n_{j+1}}, \quad (3.42)$$

where $\delta_{2,j}^*$ is defined by

$$\delta_{2,j}^* := \frac{\tilde{\delta}_j}{2} \log \frac{\rho}{\sigma}$$

and ε is defined by (3.41).

Summarizing, by (3.36) and (3.42) we have got for $n_j \in \Lambda_2(\kappa, c)$ and $n_j \geq \max(n_2^*, n_\varepsilon(\sigma))$

$$\begin{aligned} \|f - n_{j+1}\|_{\Gamma_\sigma} &\leq \|A_j\|_{\Gamma_\sigma} + \|B_j\|_{\Gamma_\sigma} \\ &\leq \beta_1 \left(\frac{\sigma}{\rho} e^{-\delta_0^*} \right)^{n_{j+1}} + \beta_3 \left(\frac{\sigma}{\rho} e^{-\delta_{2,j}^*} \right)^{n_{j+1}}. \end{aligned}$$

β_1 and β_3 are constants, independent of n_j . Hence, if we define

$$\delta_{2,j} := \frac{1}{2} \min \left(\delta_0^*, \frac{1}{2\kappa} \left(\frac{c}{1+c} \right)^{\tilde{l}_j} \frac{\kappa-1}{1+c} \log \frac{\rho}{\sigma} \right)$$

and if we use the lower bound in (3.40), then there exists $n_3^* = n_3^*(\kappa, c)$ such that

$$\frac{1}{n_{j+1}} \log \|f - p_{n_{j+1}}\|_{\Gamma_\sigma} \leq \log \frac{\sigma}{\rho} - \delta_{2,j}, \quad n_j \geq n_3^*,$$

and (3.32) and (3.33) of Lemma 5 are proven. \square

3.3.2 Fixing the Parameter c in $\Lambda(\kappa, c)$

In the case that $n_j \in \Lambda_2(\kappa, c)$, we have by Lemma 5: There exists $\delta_{2,j} > 0$ and $n_3^* = n_3^*(\kappa, c)$ such that

$$\frac{1}{n_{j+1}} \log \|f - p_{n_{j+1}}\|_{\Gamma_\sigma} \leq \log \frac{\sigma}{\rho} - \delta_{2,j} \quad (3.43)$$

for all $n_j \geq n_3^*(\kappa, c)$. Moreover,

$$\delta_{2,j} \geq \frac{1}{2} \min \left(\delta_0^*, \frac{1}{2\kappa} \left(\frac{c}{1+c} \right)^{\tilde{l}_j} \frac{\kappa-1}{1+c} \log \frac{\rho}{\sigma} \right) \quad (3.44)$$

with $\delta_0^* > 0$ as in Lemma 3. Because of (3.43), we have a fortiori

$$\frac{1}{n_{j+1}} \log \|f - p_{n_{j+1}}\|_{\Gamma_\sigma^{s(n_j)}} \leq \log \frac{\sigma}{\rho} - \delta_{2,j}. \quad (3.45)$$

On the other hand, we have by (3.2)

$$\frac{1}{n_j} \log \|f - p_{n_j}\|_{\Gamma_\sigma^{s(n_j)}} \leq \log \frac{\sigma}{\rho} - \delta \quad (3.46)$$

for all $n_j \geq n_1(\delta)$ with $\delta > 0$. Now, we can apply Lemma 1 by taking into account (3.45) and (3.46): There exists $n_4^* = n_4^*(\kappa)$ such that

$$\frac{1}{n_{j+1}} \log \|p_{n_{j+1}} - p_{n_j}\|_{\Gamma_\rho} \leq -\delta_3^*, \quad n_j \geq n_4^*, \quad (3.47)$$

where

$$\delta_3^* \geq \frac{1}{2} \left(\frac{\alpha_\sigma(\rho)}{\kappa} \min(\delta, \delta_{2,j}) - \left(1 - \frac{1}{\kappa}\right) \log \frac{\rho}{\sigma} \right) > 0,$$

if we can achieve, i.e., if we can arrange c with $0 < c < 1$ such that

$$\kappa_j := \frac{n_{j+1}}{n_j} \leq \kappa < 1 + \frac{\alpha_\sigma(\rho)}{\log(\rho/\sigma)} \min(\delta, \delta_{2,j}). \quad (3.48)$$

Since

$$\kappa_j = \frac{n_{j+1}}{n_j} \leq \kappa < \kappa_1^* = 1 + \frac{\alpha_\sigma(\rho)}{\log(\rho/\sigma)} \delta,$$

the inequalities (3.48) are fulfilled if

$$\kappa_j = \frac{n_{j+1}}{n_j} < 1 + \frac{\alpha_\sigma(\rho)}{\log(\rho/\sigma)} \delta_{2,j}.$$

Taking into account (3.44) and

$$\kappa < \kappa_3^* = 1 + \frac{\alpha_\sigma(\rho)}{\log(\rho/\sigma)} \frac{\delta_0^*}{2},$$

the inequality (3.48) is satisfied if

$$\kappa_j \leq \kappa < 1 + \frac{\alpha_\sigma(\rho)}{\log(\rho/\sigma)} \frac{1}{4\kappa} \left(\frac{c}{1+c} \right)^{\tilde{l}_j} \frac{\kappa-1}{1+c} \log \frac{\rho}{\sigma}.$$

Because of (3.29), we know that

$$\kappa_j = \frac{n_{j+1}}{n_j} \leq 1 + \left(\frac{c}{1+c} \right)^{\tilde{l}_j+1} (\kappa - 1).$$

Therefore, (3.48) is guaranteed if

$$c < \frac{1}{4\kappa} \alpha_\sigma(\rho). \quad (3.49)$$

3.4 Conclusions

We consider the telescoping series

$$f = p_{n_1} + \sum_{j=1}^{\infty} (p_{n_{j+1}} - p_{n_j}),$$

associated with the sequence

$$\Lambda(\kappa, c) = \{n_j\}_{j=1}^{\infty} = \Lambda_1(\kappa, c) \cup \Lambda_2(\kappa, c).$$

The parameter κ satisfies

$$1 < \kappa < \min(\kappa_1^*, \kappa_2^*, \kappa_3^*),$$

and we fix a parameter c such that

$$0 < c < \frac{1}{4\kappa} \alpha_\sigma(\rho),$$

where $\kappa_1^*, \kappa_2^*, \kappa_3^*$ are defined by (3.18), (3.21), (3.24). If $n_j \in \Lambda_1(\kappa, c)$, then according to (3.25)

$$\frac{1}{n_{j+1}} \log \|p_{n_{j+1}} - p_{n_j}\|_{\Gamma_\rho} \leq -\delta_1^*$$

for all $n_j \in \Lambda_1(\kappa, c)$, $n_j \geq n_1^*(\kappa)$. If $n_j \in \Lambda_2(\kappa, c)$, then according to (3.47)

$$\frac{1}{n_{j+1}} \log \|p_{n_{j+1}} - p_{n_j}\|_{\Gamma_\rho} \leq -\delta_3^*.$$

for all $n_j \in \Lambda_2(\kappa, c)$, $n_j \geq n_4^*(\kappa)$, since c satisfies (3.49). Therefore,

$$\frac{1}{n_{j+1}} \log \|p_{n_{j+1}} - p_{n_j}\|_{\Gamma_\rho} \leq -\min(\delta_1^*, \delta_3^*) < 0$$

for all $n_j \in \Lambda(\kappa, c)$ with $n_j \geq \max(n_1^*(\kappa), n_4^*(\kappa))$. Finally, the Lemma of Bernstein–Walsh implies that f is holomorphic in a neighborhood of $\overline{E_\rho}$, i.e.,

$$\rho(f) > \rho,$$

and Proposition 1 is proven.

4 Proof of Proposition 2

We choose r and R such that

$$1 < r < \sigma < R < \rho$$

under the additional condition that in the decomposition of E_R , resp. E_ρ , analogous to (2.1), the numbers l_R and l_ρ satisfy $l_R = l_\rho$. For abbreviation, we define

$$l := l_R = l_\rho.$$

Now, for all $z \in \Omega = \overline{\mathbb{C}} \setminus E$ we have

$$(U^{v_n} - U^{\mu_E})(z) = U^{v_n}(z) + G(z) + \log \operatorname{cap} E$$

and therefore

$$\begin{aligned} \max_{z \in \Gamma_r} (U^{v_n} - U^{\mu_E})(z) &= \max_{z \in \Gamma_r} U^{v_n}(z) + \log r + \log \operatorname{cap} E \\ &= \max_{z \in \Gamma_r} U^{v_n}(z) + \log \operatorname{cap} \overline{E_r}. \end{aligned}$$

Hence, the uniqueness of the equilibrium measure of $\overline{E_r}$ implies

$$\delta_n := \max_{z \in \Gamma_r} (U^{v_n} - U^{\mu_E})(z) > 0. \quad (4.1)$$

Next, we fix $z_n \in \Gamma_r$ such that

$$\delta_n = (U^{v_n} - U^{\mu_E})(z_n) \quad (4.2)$$

and we choose $s^*(n) \in \mathbb{N}$ such that

$$1 \leq s^*(n) \leq l \text{ and } z_n \in E_R^{s^*(n)}. \quad (4.3)$$

Consider

$$D_{R,r}^{s^*(n)} := E_R^{s^*(n)} \setminus \overline{E_r},$$

then $D_{R,r}^{s^*(n)}$ is a region with boundary

$$\Gamma_R^{s^*(n)} \cup \left(\Gamma_r \cap E_R^{s^*(n)} \right),$$

where

$$\Gamma_R^{s^*(n)} \cap \left(\Gamma_r \cap E_R^{s^*(n)} \right) = \emptyset.$$

The Lagrange–Hermite formula for the error $f - p_n$ at $z \in \Gamma_r$ is

$$f(z) - p_n(z) = \frac{1}{2\pi i} \int_{\Gamma_R} \frac{w_n(z)}{w_n(t)} \frac{f(t)}{t - z} d(t)$$

with

$$w_n(t) = \prod_{i=0}^n (t - z_{n,i}), \quad t \in \mathbb{C},$$

where $z_{n,i}$, $0 \leq i \leq n$, are the interpolation points of Z_n . Moreover, we can write

$$f(z) - p_n(z) = \frac{1}{2\pi i} \int_{\Gamma_R} \frac{w_n(z)}{w_n(t)} \frac{f(t) - p_n(t)}{t - z} d(t)$$

for $z \in \Gamma_r$. If $z \in \Gamma_r \cap E_R^{s^*(n)}$, we may reduce the path of integration to $\Gamma_R^{s^*(n)}$, hence

$$f(z) - p_n(z) = \frac{1}{2\pi i} \int_{\Gamma_R^{s^*(n)}} \frac{w_n(z)}{w_n(t)} \frac{f(t) - p_n(t)}{t - z} d(t). \quad (4.4)$$

Let $\varepsilon > 0$, then (2.5) implies that there exists $n_0(\varepsilon)$ such that

$$\frac{1}{n} \log \|f - p_n\|_{\Gamma_R} \leq \log \frac{R}{\rho} + \varepsilon$$

and

$$\frac{1}{n} \log \|f - p_n\|_{\Gamma_r} \leq \log \frac{r}{\rho} + \varepsilon$$

for all $n \geq n_0(\varepsilon)$. Using (4.4), we may choose $n_0(\varepsilon)$ in such a way that for all $z \in \Gamma_r^{s^*(n)}$ and $n \geq n_0(\varepsilon)$

$$\begin{aligned} \frac{1}{n} \log |f(z) - p_n(z)| &\leq -U^{v_n}(z) + \max_{t \in \Gamma_R} U^{v_n}(t) + \frac{1}{n} \log \|f - p_n\|_{\Gamma_R} + \varepsilon \\ &\leq -U^{v_n}(z) + \max_{t \in \Gamma_R} U^{v_n}(t) + \log \frac{R}{\rho} + 2\varepsilon. \end{aligned}$$

Since

$$U^{\mu_E}(t) = U^{\mu_E}(z) + \log \frac{r}{R} \quad \text{for } t \in \Gamma_R \quad \text{and } z \in \Gamma_r,$$

we get for $z \in \Gamma_r^{s^*(n)}$

$$\begin{aligned} \frac{1}{n} \log |f(z) - p_n(z)| &\leq (U^{\mu_E} - U^{\nu_n})(z) + \max_{t \in \Gamma_R} (U^{\nu_n} - U^{\mu_E})(t) \\ &\quad + \log \frac{r}{\rho} + 2\varepsilon. \end{aligned} \quad (4.5)$$

Now, let us consider the difference

$$U^{\nu_n}(z) - U^{\mu_E}(z), \quad z \in \Omega,$$

which is a harmonic function in Ω . Then, the maximum of this difference on the level curve Γ_{σ^*} is increasing with decreasing σ^* , $1 < \sigma^* < \infty$. Consequently,

$$\max_{t \in \Gamma_r} (U^{\nu_n} - U^{\mu_E})(t) > \max_{t \in \Gamma_R} (U^{\nu_n} - U^{\mu_E})(t). \quad (4.6)$$

We note for further applications that (4.6) holds also if we replace ν_n by any probability measure $\nu \neq \mu_E$ with support in E .

Because of (4.1) and (4.2) and the choice of $s^*(n)$, we have

$$\begin{aligned} \max_{z \in \Gamma_r^{s^*(n)}} (U^{\nu_n} - U^{\mu_E})(z) &= \max_{z \in \Gamma_r} (U^{\nu_n} - U^{\mu_E})(z) \\ &> \max_{t \in \Gamma_R} (U^{\nu_n} - U^{\mu_E})(t). \end{aligned}$$

Next, we define the Dirichlet problem for the harmonic function $\Phi_n(z)$ in the region

$$D_{R,r}^{s^*(n)} = E_R^{s^*(n)} \setminus \overline{E_r}$$

with the boundary conditions

$$\Phi_n(z) = 0, \quad z \in \Gamma_R^{s^*(n)}$$

and

$$\Phi_n(z) = \min(0, (U^{\mu_E} - U^{\nu_n})(z) + c(\nu_n; \Gamma_R)), \quad z \in \Gamma_r \cap E_R^{s^*(n)}, \quad (4.7)$$

where

$$c(\nu_n; \Gamma_R) := \max_{t \in \Gamma_R} (U^{\nu_n} - U^{\mu_E})(t).$$

Because of (4.3) and (4.6), $\Phi_n(z_n) < 0$ and therefore $\Phi_n(z) < 0$ for all $z \in D_{R,r}^{s^*(n)}$. Thus, if we define

$$\Phi_n^\sigma := \max_{t \in \Gamma_\sigma \cap E_R^{s^*(n)}} \Phi_n(t), \quad r < \sigma < R,$$

then

$$\Phi_n^\sigma < 0 \quad \text{for all } n \in \Lambda.$$

Moreover, the maximum principle for harmonic functions, together with (4.5), implies that the harmonic function

$$\Phi_n(z) + G(z) - \log \rho + 2\varepsilon,$$

is an upper bound for the subharmonic function

$$\frac{1}{n} \log |f(z) - p_n(z)|,$$

i.e.,

$$\frac{1}{n} \log |f(z) - p_n(z)| \leq \Phi_n(z) + G(z) - \log \rho + 2\varepsilon$$

for all $z \in D_{R,r}^{s^*(n)}$. Hence, we obtain

$$\frac{1}{n} \log |f(z) - p_n(z)| \leq \log \frac{\sigma}{\rho} + \Phi_n^\sigma + 2\varepsilon \quad (4.8)$$

for all $z \in \Gamma_\sigma \cap E_R^{s^*(n)}$ and all $n \geq n_0(\varepsilon)$.

Now, we claim: There exists $\delta > 0$ such that

$$\Phi_n^\sigma \leq -\delta \quad \text{for all } n \in \Lambda. \quad (4.9)$$

Let us assume that the claim is false:

Then, there exists a subsequence $\Lambda_1 \subset \Lambda$ such that

$$\lim_{n \in \Lambda_1, n \rightarrow \infty} \Phi_n^\sigma = 0.$$

By Helly's theorem, there exists a subsequence $\Lambda_2 \subset \Lambda_1$ such that

$$\lim_{n \in \Lambda_2, n \rightarrow \infty} \widehat{v}_n = \nu$$

with $\text{supp}(\nu) \subset E$ and $\nu \neq \mu_E$. Since there are only l different sets

$$\Gamma_\sigma \cap E_R^i, \quad 1 \leq i \leq l,$$

we can finally choose Λ_2 such that the sets

$$\Gamma_\sigma \cap E_R^{s^*(n)}$$

are fixed for all $n \in \Lambda_2$, i.e., $s^*(n) = j_0$ is fixed for all $n \in \Lambda_2$.

Because of

$$\widehat{\nu}_n \xrightarrow[n \in \Lambda_2, n \rightarrow \infty]{*} \nu,$$

there exists $n_1(\varepsilon) \geq n_0(\varepsilon)$ such that

$$|U^\nu(z) - U^{\nu_n}(z)| < \varepsilon, \quad z \in \Gamma_r \cup \Gamma_R,$$

for all $n \in \Lambda_2$, $n \geq n_1(\varepsilon)$. Then, for $z \in \Gamma_r$ and $n \geq n_1(\varepsilon)$

$$\begin{aligned} & (U^{\mu_E} - U^{\nu_n})(z) + c(\nu_n; \Gamma_R) \\ &= (U^{\mu_E} - U^{\nu_n})(z) + \max_{t \in \Gamma_R} (U^{\nu_n} - U^{\mu_E})(t) \\ &= (U^{\mu_E} - U^\nu)(z) + (U^\nu - U^{\nu_n})(z) \\ & \quad + \max_{t \in \Gamma_R} ((U^{\nu_n} - U^\nu)(t) + (U^\nu - U^{\mu_E})(t)) \\ &\leq (U^{\mu_E} - U^\nu)(z) + c(\nu; \Gamma_R) + 2\varepsilon, \end{aligned}$$

where we have defined

$$c(\nu; \Gamma_R) := \max_{t \in \Gamma_R} (U^\nu - U^{\mu_E})(t). \quad (4.10)$$

Therefore, the boundary condition (4.7) can be estimated by

$$\begin{aligned} & \min(0, (U^{\mu_E} - U^{\nu_n})(z) + c(\nu_n; \Gamma_R)) \\ & \leq \min(0, (U^{\mu_E} - U^\nu)(z) + c(\nu; \Gamma_R) + 2\varepsilon) \end{aligned} \quad (4.11)$$

for $z \in \Gamma_r \cap E_R^{j_0}$.

Now, we consider the Dirichlet Problem for the function $\Phi(z)$ in the region $D_{R,r}^{j_0} = E_R^{j_0} \setminus \overline{E_r}$ with the boundary conditions

$$\Phi(z) = 0 \text{ for } z \in \Gamma_R^{j_0} \quad (4.12)$$

and

$$\Phi(z) = \min \left(0, (U^{\mu_E} - U^\nu)(z) + c(\nu; \Gamma_R) + 2\varepsilon \right), \quad z \in \Gamma_r \cap E_R^{j_0} \quad (4.13)$$

where $c(\nu; \Gamma_R)$ is defined by (4.10). The continuous functions $U^{\mu_E} - U^{\nu_n}$ converge in Ω uniformly on compact sets, especially on $\Gamma_r \cup \Gamma_R$, as $n \in \Lambda_2, n \rightarrow \infty$. Hence, by (4.1) and (4.2)

$$\begin{aligned} \max_{z \in \Gamma_r \cap E_R^{j_0}} (U^\nu - U^{\mu_E})(z) &= \lim_{n \in \Lambda_2, n \rightarrow \infty} \max_{z \in \Gamma_r \cap E_R^{j_0}} (U^{\nu_n} - U^{\mu_E})(z) \\ &= \lim_{n \in \Lambda_2, n \rightarrow \infty} \max_{z \in \Gamma_r} (U^{\nu_n} - U^{\mu_E})(z) \\ &= \max_{z \in \Gamma_r} (U^\nu - U^{\mu_E})(z) \\ &> \max_{t \in \Gamma_R} (U^\nu - U^{\mu_E})(t). \\ &= c(\nu; \Gamma_R). \end{aligned}$$

The last inequality follows from $\nu \neq \mu_E$, mentioned in the remark following (4.6).

Next, we choose $\varepsilon > 0$ such that

$$\max_{z \in \Gamma_r \cap E_R^{j_0}} (U^\nu - U^{\mu_E})(z) - 2\varepsilon > c(\nu; \Gamma_R).$$

Hence, the boundary conditions for the harmonic function $\Phi(z)$ in (4.12) and (4.13) read as $\Phi(z) \leq 0$, but $\Phi(z)$ is not identically 0 on $\Gamma_r \cap E_R^{j_0}$. Then, the maximum principle for harmonic functions yields

$$\Phi(t) < 0 \quad \text{for } t \in D_{R,r}^{j_0} = E_{R,r}^{j_0} \setminus \overline{E_r}.$$

If we compare the Dirichlet problems for Φ_n and Φ , then by (4.11)

$$\Phi_n(t) \leq \Phi(t) \quad \text{for } t \in D_{R,r}^{j_0} \quad \text{and for all } n \in \Lambda_2, n \geq n_1(\varepsilon).$$

Therefore,

$$\Phi_n^\sigma = \max_{t \in \Gamma_\sigma \cap E_R^{j_0}} \Phi_n(t) \leq \max_{t \in \Gamma_\sigma \cap E_R^{j_0}} \Phi(t) < 0$$

for $n \in \Lambda_2, n \geq n_1(\varepsilon)$, contradicting our assumption that (4.9) is not true.

Hence, (4.8) and (4.9) imply that

$$\frac{1}{n} |f(z) - p_n(z)| \leq \log \frac{\sigma}{\rho} - \delta + 2\varepsilon$$

for all $z \in \Gamma_\sigma \cap E_R^{s^*(n)}$ and $n \geq n_0(\varepsilon)$, $n \in \Lambda$. If we choose $\varepsilon = \delta/4$, then we finally get

$$\frac{1}{n} |f(z) - p_n(z)| \leq \log \frac{\sigma}{\rho} - \frac{\delta}{2} \quad (4.14)$$

for $z \in \Gamma_\sigma \cap E_R^{s^*(n)}$ and $n \geq n_0(\varepsilon)$, $n \in \Lambda$.

We note that each $\Gamma_\sigma \cap E_R^{s^*(n)}$, $1 \leq s^*(n) \leq l$, consists of a finite number of connected components of Γ_σ . Therefore, because of (4.14) we can define for each $n \in \Lambda$ a number $s(n)$, $1 \leq s(n) \leq l_\sigma$ such that

$$\limsup_{n \in \Lambda, n \rightarrow \infty} \|f - p_n\|_{\Gamma_\sigma^{s(n)}}^{1/n} < \frac{\sigma}{\rho}.$$

Hence, (2.6) of Proposition 2 is proven.

5 Proof of the Theorems

We have already mentioned that Theorem 1 is a direct consequence of Proposition 1. More precisely, if the condition (2.4) of Theorem 1 is true, then the sequence $p_n \in \mathcal{P}_n$ is maximally convergent to f , due to Bernstein–Walsh. Conversely, if the condition (2.4) is not true for some σ , $1 < \sigma < \rho(f) < \infty$, i.e.,

$$\limsup_{n \rightarrow \infty} \min_{1 \leq i \leq l_\sigma} \|f - p_n\|_{\Gamma_\sigma^i}^{1/n} < \frac{\sigma}{\rho(f)} = \limsup_{n \rightarrow \infty} \|f - p_n\|_{\Gamma_\sigma}^{1/n},$$

then Proposition 1 shows that $\rho(f)$ is not the maximal parameter of holomorphy of f , which is a contradiction.

Concerning part (a) of Theorem 2, let us assume that μ_E is not a weak* limit point of \widehat{v}_n , $n \in \mathbb{N}$. Then, Proposition 2 yields—using $\Lambda = \mathbb{N}$ —that there exist parameter $s(n)$, $1 \leq s(n) \leq l_\sigma$, such that

$$\limsup_{n \rightarrow \infty} \|f - p_n\|_{\Gamma_\sigma^{s(n)}}^{1/n} < \frac{\sigma}{\rho(f)}.$$

But according to Theorem 1, then $\rho(f)$ could not be the maximal parameter of holomorphy of f . This is a contradiction to the maximal convergence of $\{p_n\}_{n \in \mathbb{N}}^\infty$.

Concerning part (b), we know already that there exists a subsequence $\Lambda \in \mathbb{N}$ such that (2.7) holds. Let us assume that μ_E is not a weak* limit point of \widehat{v}_n , $n \in \Lambda$. Then, Proposition 2 implies that there exist

$$s(n), \quad 1 \leq s(n) \leq l_\sigma,$$

such that

$$\limsup_{n \in \Lambda, n \rightarrow \infty} \|f - p_n\|_{\Gamma_{\sigma}^{s(n)}}^{1/n} < \frac{\sigma}{\rho(f)}.$$

This contradicts (2.7) and Theorem 2 is proven.

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