

Classification of anisotropic local Hardy spaces and inhomogeneous Triebel–Lizorkin spaces

Jordy Timo van Velthoven¹ · Felix Voigtlaender²

Received: 13 November 2023 / Accepted: 25 May 2024 / Published online: 19 June 2024 © The Author(s) 2024

Abstract

This paper provides a characterization of when two expansive matrices yield the same anisotropic local Hardy and inhomogeneous Triebel–Lizorkin spaces. The characterization is in terms of the coarse equivalence of certain quasi-norms associated to the matrices. For nondiagonal matrices, these conditions are strictly weaker than those classifying the coincidence of the corresponding homogeneous function spaces. The obtained results complete the classification of anisotropic Besov and Triebel–Lizorkin spaces associated to general expansive matrices.

Keywords Anisotropic function spaces · Coarse equivalence · Expansive matrices · Inhomogeneous function spaces · Triebel–Lizorkin spaces

Mathematics Subject Classification 42B25 · 42B30 · 42B35 · 46E35

1 Introduction

For an expansive matrix $A \in GL(d, \mathbb{R})$, consider Schwartz functions $\varphi, \Phi \in \mathcal{S}(\mathbb{R}^d)$ whose Fourier transforms $\widehat{\varphi}, \widehat{\Phi}$ satisfy the support conditions

$$\overline{\operatorname{supp}} \, \widehat{\varphi} \subseteq \left(-\frac{1}{2}, \frac{1}{2}\right)^d \setminus \{0\} \quad \text{and} \quad \overline{\operatorname{supp}} \, \widehat{\Phi} \subseteq \left(-\frac{1}{2}, \frac{1}{2}\right)^d,$$

and the positivity condition

$$\sup_{i\in\mathbb{N}}\max\{|\widehat{\varphi}((A^*)^{-i}\xi)|, \ |\widehat{\Phi}(\xi)|\}>0 \quad \text{for all } \xi\in\mathbb{R}^d.$$

Jordy Timo van Velthoven jordy.timo.van.velthoven@univie.ac.at Felix Voigtlaender felix@voigtlaender.xyz; felix.voigtlaender@ku.de

¹ Faculty of Mathematics, University of Vienna, Oskar-Morgenstern-Platz 1, 1090 Vienna, Austria

² Mathematical Institute for Machine Learning and Data Science (MIDS), Catholic University of Eichstätt-Ingolstadt (KU), Auf der Schanz 49, 85049 Ingolstadt, Germany The associated *inhomogeneous Triebel–Lizorkin space* $\mathbf{F}_{p,q}^{\alpha}(A)$ with $\alpha \in \mathbb{R}, p \in (0, \infty)$ and $q \in (0, \infty]$ is defined as the space of all tempered distributions $f \in \mathcal{S}'(\mathbb{R}^d)$ such that

$$\|f * \Phi\|_{L^p} + \left\| \left(\sum_{i=1}^{\infty} (|\det A|^{\alpha i} | f * \varphi_i^A |)^q \right)^{1/q} \right\|_{L^p} < \infty,$$
(1.1)

where $\varphi_i^A := |\det A|^i \varphi(A^i \cdot)$ for $i \in \mathbb{N}$, with the usual modification for $q = \infty$. For a general expansive matrix A, the spaces $\mathbf{F}_{p,q}^{\alpha}(A)$ were first introduced in [5] and have been further studied in, e.g., [1, 4, 7, 10]. The scale of Triebel–Lizorkin spaces $\mathbf{F}_{p,q}^{\alpha}(A)$ includes, among others, the Lebesgue spaces $L^p = \mathbf{F}_{p,2}^0(A)$ for $p \in (1, \infty)$, and the anisotropic local Hardy spaces $h^p(A) = \mathbf{F}_{p,2}^0(A)$ for $p \leq 1$; see Sect. 3.2 for its definition.

The aim of the present paper is to determine when two expansive matrices $A, B \in$ GL(d, \mathbb{R}) define the same inhomogeneous Triebel–Lizorkin space $\mathbf{F}_{p,q}^{\alpha}(A) = \mathbf{F}_{p,q}^{\alpha}(B)$. For diagonal matrices with positive anisotropy, the question of whether the associated Triebel–Lizorkin space depends on the choice of such anisotropy was considered in [13] (see also [14, Section 5.3]). For two such matrices A and B, it can be shown that the associated spaces $\mathbf{F}_{p,q}^{\alpha}(A)$ and $\mathbf{F}_{p,q}^{\alpha}(B)$ coincide precisely if $A = B^{c}$ for some c > 0; or if $p \in (1, \infty), q = 2$, and $\alpha = 0$, so that $L^{p} = \mathbf{F}_{p,2}^{0}(A) = \mathbf{F}_{p,2}^{0}(B)$. The same question for function spaces associated to general expansive matrices is more delicate and was investigated first for anisotropic Hardy spaces $H^{p}(A)$, $p \in (0, 1]$ (see Sect. 3.2 for a definition): In [2, Chapter 1, Theorem 10.5], it was shown that $H^{p}(A) = H^{p}(B)$ for some (equivalently, all) $p \in (0, 1]$ if, and only if, two homogeneous quasi-norms ρ_{A} and ρ_{B} associated to A and B are equivalent, in the usual sense of quasi-norms. Corresponding results for homogeneous anisotropic Besov and Triebel–Lizorkin spaces were only more recently obtained in [6, 9], respectively.

In contrast to the case of *homogeneous* function spaces, the equivalence of two homogeneous quasi-norms ρ_A and ρ_B corresponding to general expansive matrices *A* and *B* turns out to be *not* necessary in general for the coincidence of the associated *inhomogeneous* function spaces. More precisely, in [6, Theorem 6.4], it is shown that two inhomogeneous anisotropic Besov spaces defined by *A* and *B* coincide if and only if the quasi-norms ρ_{A^*} and ρ_{B^*} associated to the adjoints A^* and B^* are *coarsely* equivalent, which can be understood as the quasi-norms being merely equivalent at infinity (see Sect. 2.2). For simplicity, two expansive matrices *A* and *B* are said to be *(coarsely) equivalent* if their associated quasi-norms ρ_A and ρ_B are (coarsely) equivalent. We mention that various explicit and verifiable criteria for the (coarse) equivalence of two matrices *A* and *B* in terms of spectral properties are contained in [2, Chapter 1, Section 10] and [6, Section 7].

In the present paper, we provide a refinement of the approach towards the classification of homogeneous spaces [9], and show that matrices yielding the same scale of *inhomogeneous* Triebel–Lizorkin spaces are characterized by *coarse* equivalence. Our main result is the following theorem, proven in Sect. 5.7:

Theorem 1.1 Let $A, B \in GL(d, \mathbb{R})$ be expansive. The following assertions are equivalent:

- (i) $\mathbf{F}_{p,q}^{\alpha}(A) = \mathbf{F}_{p,q}^{\alpha}(B)$ for some $(\alpha, p, q) \in \mathbb{R} \times (0, \infty) \times (0, \infty]$ with $(\alpha, p, q) \notin \{0\} \times (1, \infty) \times \{2\};$
- (ii) $\mathbf{F}_{p,q}^{\alpha}(A) = \mathbf{F}_{p,q}^{\alpha}(B)$ for all $\alpha \in \mathbb{R}$, $p \in (0, \infty)$, and $q \in (0, \infty]$;
- (iii) A^{*} and B^{*} are coarsely equivalent.

Theorem 1.1 complements the classification of homogeneous Triebel–Lizorkin spaces in [9], and the classification of homogeneous and inhomogeneous Besov spaces in [6]. Combined with these previous results, Theorem 1.1 completes the classification of all anisotropic

Besov and Triebel–Lizorkin spaces introduced in [3, 5]. In the particular case $\alpha = 0$, $p \in (0, 1]$ and q = 2, Theorem 1.1 provides also a new result for anisotropic *local* Hardy spaces [1], and complements the classification of (nonlocal) anisotropic Hardy spaces in [2].

The proof method for establishing Theorem 1.1 follows the overall structure of the classification of homogeneous Triebel–Lizorkin spaces in [9]. The key ingredients for the sufficient condition on matrices are maximal inequalities involving a Peetre-type maximal function (cf. Sect. 4), and the necessary condition proceeds by establishing norm estimates for auxiliary functions and reduction to the case p = 2 using Khintchine's inequality (cf. Sect. 5). Our arguments for the case $\alpha = 0$, $p \in (0, 1]$ and q = 2 follow the overall proof structure of [2, Chapter 1, Theorem 10.5], while adding a significant detail for the case p = 1 that was missing in [2] (see Remark 5.11).

Despite the similarities in the overall proof structure, the arguments in the inhomogeneous case are more subtle and need to be more refined than their counterparts for homogeneous function spaces in [2, 9], for at least the following two reasons:

(1) The coarse equivalence of A and B does not imply their equivalence;

(2) The coarse equivalence of A and B is not equivalent to that of A^* and B^* .

The equivalence of quasi-norms and the stability of equivalence under taking adjoints are properties repeatedly used in [9]. Although the notions of equivalence and coarse equivalence are equivalent for diagonal matrices, this is not necessarily the case for nondiagonal matrices (see [6, Remark 7.10]). As such, various parts of the arguments in [2, 9] require nontrivial changes and new ideas in the inhomogeneous case, which we point out throughout the text.

Lastly, we mention that as in the homogeneous case [9], it appears that the classification of inhomogeneous Triebel–Lizorkin spaces cannot be deduced from the general framework of Besov-type decomposition spaces [17], unlike the case of anisotropic Besov spaces [6].

The organization of the paper is as follows: Sects. 2 and 3 are devoted to background material on expansive matrices and inhomogeneous function spaces, respectively. The sufficient condition for the classification of matrices is proven in Sect. 4, and the necessary condition is proven in Sect. 5. Some technical results are postponed to the appendices.

Notation

For two functions $f_1, f_2 : X \to [0, \infty)$ on a set X, we write $f_1 \leq f_2$ whenever there exists a constant C > 0 such that $f_1(x) \leq Cf_2(x)$ for all $x \in X$. The notation $f_1 \approx f_2$ is used to denote that $f_1 \leq f_2$ and $f_2 \leq f_1$. For a function $f : X \to \mathbb{C}$, we denote its (possibly nonclosed) support by supp $f := \{x \in X : f(x) \neq 0\}$ and denote its closure by supp f.

The Euclidean norm of a vector $x \in \mathbb{R}^d$ is denoted by |x|, and we write $\mathcal{B}(x, r)$ for the associated open Euclidean ball of radius r > 0 and center $x \in \mathbb{R}^d$. The Lebesgue measure of a measurable set $\Omega \subseteq \mathbb{R}^d$ is denoted by $m(\Omega)$. We write $\mathbb{N} := \{k \in \mathbb{Z} : k \ge 1\}$ and $\mathbb{N}_0 := \mathbb{N} \cup \{0\}$. For a multi-index $\sigma \in \mathbb{N}_0^d$, we define its length by $|\sigma| := \sum_{j=1}^d \sigma_j$.

The Fourier transform of a function $f \in L^1(\mathbb{R}^d)$ is defined as $\widehat{f}(\xi) = \int_{\mathbb{R}^d} f(x)e^{-2\pi i x \cdot \xi} dx$ for $\xi \in \mathbb{R}^d$, where $x \cdot \xi$ denotes the ordinary dot product. We also use the notation \mathcal{F} and \mathcal{F}^{-1} for the Fourier transform and its inverse. Recall that the Fourier transform restricts to a continuous linear map $\mathcal{F} : \mathcal{S}(\mathbb{R}^d) \to \mathcal{S}(\mathbb{R}^d)$ on the space $\mathcal{S}(\mathbb{R}^d)$ of tempered distributions, given by $\widehat{\phi}(f) := \phi(\widehat{f})$ for $\phi \in \mathcal{S}'(\mathbb{R}^d)$ and $f \in \mathcal{S}(\mathbb{R}^d)$.

For $f : \mathbb{R}^d \to \mathbb{C}$, we define $f^* : \mathbb{R}^d \to \mathbb{C}$ by $f^*(x) = \overline{f(-x)}$. The translation and modulation of a function $f : \mathbb{R}^d \to \mathbb{C}$ are defined as $T_y f(x) = f(x - y)$ and $M_{\xi} f(x) = f(x - y)$.

 $e^{2\pi i x \cdot \xi} f(x)$ for $x, y, \xi \in \mathbb{R}^d$. For $p \in (0, \infty)$ and a matrix $M \in GL(d, \mathbb{R})$, we define the associated dilation by $D_M^p f(x) = |\det M|^{1/p} f(Mx)$. For $A \in \mathbb{R}^{d \times d}$, we write $A^* := A^T$ for the transpose of A.

2 Expansive matrices, homogeneous quasi-norms and inhomogeneous covers

This section provides background on expansive matrices and their associated spaces of homogeneous type. In addition, various properties of covers generated by powers of expansive matrices are provided. References for the material in this section are, e.g., [2, 6].

2.1 Expansive dilations

Given a matrix $A \in \mathbb{R}^{d \times d}$, its spectrum is denoted by $\sigma(A) \subseteq \mathbb{C}$. A matrix $A \in GL(d, \mathbb{R})$ is said to be *expansive* if $|\lambda| > 1$ for all $\lambda \in \sigma(A)$.

Throughout, for an expansive matrix A, let $\lambda_{-}(A)$ and $\lambda_{+}(A)$ denote two fixed numbers satisfying

$$1 < \lambda_{-}(A) < \min_{\lambda \in \sigma(A)} |\lambda|$$
 and $\lambda_{+}(A) > \max_{\lambda \in \sigma(A)} |\lambda|$,

and let $\zeta_+(A) := \ln \lambda_+(A) / \ln |\det A|$ and $\zeta_-(A) := \ln \lambda_-(A) / \ln |\det A|$.

If *A* is an expansive matrix, then there exists an ellipsoid Ω_A , that is, a set of the form $\Omega_A = \{x \in \mathbb{R}^d : |Px| < 1\}$ for some $P \in GL(d, \mathbb{R})$, and there exists some r > 1 such that

$$\Omega_A \subseteq r\Omega_A \subseteq A\Omega_A, \tag{2.1}$$

and, additionally, $m(\Omega_A) = 1$, cf. [2, Chapter 1, Lemma 2.2]. The choice of an ellipsoid satisfying (2.1) is not necessarily unique. For this reason, given an expansive matrix A, we will fix one choice of ellipsoid Ω_A associated to A.

2.2 Homogeneous quasi-norms

A homogeneous quasi-norm associated to an expansive matrix A is a measurable function $\rho_A : \mathbb{R}^d \to [0, \infty)$ satisfying:

(q1) $\rho_A(x) = 0$ if and only if x = 0;

(q2) $\rho_A(Ax) = |\det A|\rho_A(x) \text{ for all } x \in \mathbb{R}^d;$

(q3) there exists C > 0 such that $\rho_A(x + y) \le C(\rho_A(x) + \rho_A(y))$ for all $x, y \in \mathbb{R}^d$.

Two homogeneous quasi-norms ρ_A , ρ_B associated to expansive matrices A and B are said to be *equivalent* if there exists C > 0 such that

$$\frac{1}{C}\rho_A(x) \le \rho_B(x) \le C\rho_A(x) \quad \text{for all } x \in \mathbb{R}^d.$$
(2.2)

Similarly, two homogeneous quasi-norms ρ_A and ρ_B associated to A and B are said to be *coarsely equivalent* if there exist constants C > 0 and $R \ge 0$ such that

$$\frac{1}{C}\rho_A(x) - R \le \rho_B(x) \le C\rho_A(x) + R \quad \text{for all } x \in \mathbb{R}^d.$$
(2.3)

Deringer

Clearly, any two equivalent quasi-norms are also coarsely equivalent, but the converse is not true in general, cf. [6, Remark 7.10].

By [2, Chapter 1, Lemma 2.4], any two quasi-norms ρ_A , ρ'_A associated to a fixed matrix A are equivalent. We will simply say that two expansive matrices A and B are equivalent (resp. coarsely equivalent) if their associated quasi-norms are equivalent (resp. coarsely equivalent).

In the sequel, we work with a specific choice of quasi-norm; namely, we will use the so-called *step homogeneous quasi-norm* ρ_A associated to A, defined by

$$o_A(x) = \begin{cases} |\det A|^i, & \text{if } x \in A^{i+1}\Omega_A \setminus A^i \Omega_A, \\ 0, & \text{if } x = 0, \end{cases}$$

where Ω_A is the fixed ellipsoid from (2.1); see [2, Chapter 1, Definition 2.5]. For this quasinorm, it is easy to see that it is symmetric, in the sense that $\rho_A(x) = \rho_A(-x)$ for all $x \in \mathbb{R}^d$.

Lastly, we state the following characterization of coarse equivalence of two matrices, which we will use in the proof of the main theorem. See [6, Lemma 4.10] for a proof.

Lemma 2.1 ([6]) Let $A, B \in GL(d, \mathbb{R})$ be expansive. Then A and B are coarsely equivalent *if and only if*

$$\sup_{k\in\mathbb{N}}\left\|A^{-k}B^{\lfloor\varepsilon k\rfloor}\right\|<\infty,$$

where $\varepsilon = \varepsilon(A, B) := \ln |\det A| / \ln |\det B|$.

2.3 Inhomogeneous covers

Let $A \in GL(d, \mathbb{R})$ be an expansive matrix and fix an open set $Q \subseteq \mathbb{R}^d$ with compact closure $\overline{Q} \subseteq \mathbb{R}^d \setminus \{0\}$. An *inhomogeneous cover induced by* A is a family $(Q_i^A)_{i \in \mathbb{N}_0}$ of sets $Q_i^A \subseteq \mathbb{R}^d$, where $Q_i^A = A^i Q$ for $i \ge 1$, and $Q_0^A \subseteq \mathbb{R}^d$ is any relatively compact open set with the property that $\bigcup_{i \in \mathbb{N}_0} Q_i^A = \mathbb{R}^d$.

For two inhomogeneous covers $(Q_i^A)_{i \in \mathbb{N}_0}$ and $(P_j^B)_{j \in \mathbb{N}_0}$ induced by expansive matrices A and B respectively, define, for fixed $i, j \in \mathbb{N}_0$, the index sets

$$J_i := \{\ell \in \mathbb{N}_0 : Q_i^A \cap P_\ell^B \neq \emptyset\} \text{ and } I_j := \{\ell \in \mathbb{N}_0 : Q_\ell^A \cap P_j^B \neq \emptyset\}.$$
(2.4)

Moreover, given $i \in \mathbb{N}_0$ and $n \in \mathbb{N}_0$, define the index sets $i^{n*} \subseteq \mathbb{N}_0$ inductively as

$$i^{0*} := \{i\} \text{ and } i^{(n+1)*} := \{j \in \mathbb{N}_0 : Q_k^A \cap Q_j^A \neq \emptyset \text{ for some } k \in i^{n*}\}.$$
 (2.5)

We will also often simply write i^* for i^{1*} . If we need to make clear whether the sets i^{n*} are computed with respect to the cover $(Q_i^A)_{i \in \mathbb{N}_0}$ or the cover $(P_i^B)_{j \in \mathbb{N}_0}$, we write i^{n*A} or i^{n*B} .

Following the terminology of [17], the cover $(Q_i^A)_{i \in \mathbb{N}_0}$ is said to be *almost subordinate* to $(P_j^B)_{j \in \mathbb{N}_0}$ if there exists $k \in \mathbb{N}_0$ such that for every $i \in \mathbb{N}_0$ there exists $j_i \in \mathbb{N}_0$ with $Q_i^A \subseteq \bigcup_{j \in j_i^{k*}} P_j^B$. In addition, the covers $(Q_i^A)_{i \in \mathbb{N}_0}$ and $(P_j^B)_{j \in \mathbb{N}_0}$ are said to be *equivalent* if $(Q_i^A)_{i \in \mathbb{N}_0}$ is almost subordinate to $(P_i^B)_{j \in \mathbb{N}_0}$, and vice versa.

The following result provides a characterization of the coarse equivalence of two matrices in terms of geometric properties of their associated inhomogeneous covers; cf. [6, Lemma 6.3]. These properties are the ones that will actually be used/verified in our proof of Theorem 1.1.

Lemma 2.2 ([6]) Let $A, B \in GL(d, \mathbb{R})$ be expansive matrices and let $(Q_i^A)_{i \in \mathbb{N}_0}$ and $(P_i^B)_{j \in \mathbb{N}_0}$ be inhomogeneous covers induced by A and B, respectively. Then the following assertions are equivalent:

- (i) A and B are coarsely equivalent;
- (ii) $(Q_i^A)_{i \in \mathbb{N}_0}$ and $(P_j^B)_{j \in \mathbb{N}_0}$ are equivalent; (iii) $\sup_{i \in \mathbb{N}_0} |J_i| + \sup_{j \in \mathbb{N}_0} |I_j| < \infty$.

In the remainder of this subsection, we collect several additional observations about the index sets defined in Eqs. (2.4) and (2.5) that will be used later. We begin with the following inclusion property for the sets defined in Eq. (2.5). Its proof is similar, but not identical, to that of [6, Lemma 5.2].

Lemma 2.3 Let $A \in GL(d, \mathbb{R})$ be expansive and let $(Q_i^A)_{i \in \mathbb{N}_0}$ be an inhomogeneous cover induced by A. Then there exists $M \in \mathbb{N}$ such that, for all $i \in \mathbb{N}_0$,

$$i^* \subseteq \{\ell \in \mathbb{N}_0 : |\ell - i| \le M\}.$$

Proof By definition of an inhomogeneous cover induced by A, there exists an open set $Q \subset \mathbb{R}^d$ with compact closure $\overline{Q} \subseteq \mathbb{R}^d \setminus \{0\}$ and such that $Q_j^A = A^j Q$ for all $j \in \mathbb{N}$. Moreover, $Q_0^A \subseteq \mathbb{R}^d$ is open and relatively compact. Thus, we can choose R > 0 sufficiently large such that

$$Q_0^A \subseteq \overline{\mathcal{B}}(0, R)$$
 and $Q \subset C_R := \{x \in \mathbb{R}^d : \frac{1}{R} \le |x| \le R\}.$

By [2, Chapter 1, Section 2], there exists a constant c > 0 satisfying

$$\frac{1}{c}\lambda_{-}^{j}|x| \leq |A^{j}x| \leq c\,\lambda_{+}^{j}|x| \quad \text{for all } j \in \mathbb{N}_{0} \text{ and } x \in \mathbb{R}^{d},$$

where $\lambda_{\pm} = \lambda_{\pm}(A) > 1$ are as in Sect. 2.1. Fix some $M \in \mathbb{N}$ so large that

$$M \ge \ln(cR^2) / \ln(\lambda_-).$$

The remainder of the proof is divided into two cases, which together easily imply the claim.

Case 1. We show that if $i, \ell \in \mathbb{N}$ satisfy $Q_i^A \cap Q_\ell^A \neq \emptyset$, then $|i - \ell| \leq M$. By symmetry, we can clearly assume that $\ell \geq i$. Since $\emptyset \neq A^i Q \cap A^\ell Q$, and thus

$$\emptyset \neq Q \cap A^{\ell-i} Q \subseteq C_R \cap A^{\ell-i} C_R,$$

there exists some $x \in C_R$ such that $A^{\ell-i}x \in C_R$ as well. But this implies

$$R \ge |A^{\ell-i}x| \ge \frac{1}{c} \lambda_{-}^{\ell-i} |x| \ge \frac{1}{cR} \lambda_{-}^{\ell-i},$$

and this easily implies $0 \le \ell - i \le \ln(cR^2) / \ln(\lambda_-) \le M$, as desired.

Case 2. If $Q_0^A \cap Q_i^A \neq \emptyset$ for some $i \in \mathbb{N}$, then there exists $x \in Q \subseteq C_R$ satisfying $A^i x \in Q_0^A \subseteq \overline{\mathcal{B}}(0, R)$. Hence,

$$R \ge |A^{i}x| \ge \frac{1}{c} \lambda_{-}^{i} |x| \ge \frac{1}{cR} \lambda_{-}^{i},$$

which yields $i \leq \ln(cR^2)/\ln(\lambda_-) \leq M$.

As a consequence of the previous two lemmata, we obtain the following corollary.

Corollary 2.4 With notation as in Lemma 2.2, the following holds: If A and B are coarsely equivalent, there exists a constant C > 0 such that whenever $Q_i^A \cap P_j^B \neq \emptyset$ for some $i, j \in \mathbb{N}_0$, then

$$\frac{1}{C} |\det B|^j \le |\det A|^i \le C |\det B|^j.$$

Proof For ease of notation, let us set $P_j^B := P_0$ for $j \in \mathbb{Z}$ with j < 0. If A and B are coarsely equivalent, then the covers $(Q_i^A)_{i \in \mathbb{N}_0}$ and $(P_j^B)_{j \in \mathbb{N}_0}$ are equivalent by Lemma 2.2. Hence, there exists $k \in \mathbb{N}$ such that for every $i \in \mathbb{N}_0$, there exists $j_i \in \mathbb{N}_0$ with $Q_i^A \subset \bigcup_{\ell \in j_i^{k*B}} P_\ell^B$. As an easy consequence of Lemma 2.3, there exists $M \in \mathbb{N}$ such that $j^{(2k+1)*B} \subseteq \{\ell \in \mathbb{N}_0 : |\ell - j| \leq M\}$ for all $j \in \mathbb{N}_0$.

Let $i, j \in \mathbb{N}_0$ be such that $\emptyset \neq Q_i^A \cap P_j^B \subseteq \bigcup_{\ell \in j_i^{k*B}} (P_\ell^B \cap P_j^B)$. Then $\emptyset \neq P_\ell^B \cap P_j^B$ for some $\ell \in j_i^{k*B}$, and hence $j_i \in \ell^{k*B} \subseteq j^{(k+1)*B}$, which implies

$$j_i^{k*B} \subseteq j^{(2k+1)*B} \subseteq \{\ell \in \mathbb{N}_0 : |\ell - j| \le M\}.$$

Therefore,

$$Q_i^A \subseteq \bigcup_{\ell \in j_i^{k*B}} P_\ell^B \subseteq \bigcup_{\ell = -M}^M P_{j+\ell}^B,$$
(2.6)

and thus

$$|\det A|^i \lesssim \mathrm{m}(Q_i^A) \lesssim \sum_{\ell=-M}^M \mathrm{m}(P_{j+\ell}^B) \lesssim \sum_{\ell=-M}^M |\det B|^{j+\ell} \lesssim |\det B|^j.$$

The reverse inequality follows by exchanging the role of A and B.

Lastly, we state the following adaptation of a corresponding result for homogeneous covers. Its proof is virtually identical to that of [9, Lemma 2.5], and hence omitted.

Lemma 2.5 Let $A, B \in GL(d, \mathbb{R})$ be expansive matrices with associated induced inhomogeneous covers $(Q_i^A)_{i \in \mathbb{N}_0}$ and $(P_i^B)_{j \in \mathbb{N}_0}$, respectively. If there exists C > 0 satisfying

$$\frac{1}{C} |\det A|^i \le |\det B|^j \le C |\det A|^i \text{ for all } i, j \in \mathbb{N}_0 \text{ for which } Q_i^A \cap P_j^B \neq \emptyset,$$

then there exists $N \in \mathbb{N}$ satisfying

$$J_i \subseteq \left\{ j \in \mathbb{N}_0 : |j - \lfloor \varepsilon i \rfloor| \le N \right\} \text{ and } I_j \subseteq \left\{ i \in \mathbb{N}_0 : \left| i - \left\lfloor \frac{j}{\varepsilon} \right\rfloor \right| \le N \right\}$$

for all $i, j \in \mathbb{N}_0$, where $\varepsilon := \ln |\det A| / \ln |\det B|$ is as in Lemma 2.1.

3 Anisotropic inhomogeneous function spaces

This section provides various preliminary results on anisotropic local Hardy spaces and inhomogeneous Triebel–Lizorkin spaces that are used in the proof of Theorem 1.1. For further background and results on these spaces, see the papers [1, 5].

3.1 Inhomogeneous Triebel–Lizorkin spaces

Let $A \in GL(d, \mathbb{R})$ be an expansive matrix. A pair (φ, Φ) consisting of Schwartz functions $\varphi, \Phi \in S(\mathbb{R}^d)$ is said to be an *A*-analyzing pair if the Fourier transforms $\widehat{\varphi}, \widehat{\Phi}$ satisfy¹

(c1) $\overline{\operatorname{supp}} \, \widehat{\varphi} \subseteq (-\frac{1}{2}, \frac{1}{2})^d \setminus \{0\} \text{ and } \overline{\operatorname{supp}} \, \widehat{\Phi} \subseteq (-\frac{1}{2}, \frac{1}{2})^d;$

(c2) $\sup_{i\in\mathbb{N}} \max\{|\overline{\widehat{\varphi}(A^*)}^{-i}\xi\rangle|, |\widehat{\Phi}(\xi)|\} > 0 \text{ for all } \xi \in \mathbb{R}^d.$

There always exists an A-analyzing pair (φ, Φ) that in addition to conditions (c1) and (c2) satisfies the additional condition

(c3) $\widehat{\Phi}(\xi) + \sum_{i \in \mathbb{N}} \widehat{\varphi}((A^*)^{-i}\xi) = 1 \text{ for all } \xi \in \mathbb{R}^d;$

see, e.g., [5, Section 3.3] and [6, Remark 2.3].

Following [5], given an *A*-analyzing pair (φ, Φ) , $\alpha \in \mathbb{R}$, $0 and <math>0 < q \le \infty$, the associated *inhomogeneous anisotropic Triebel–Lizorkin space* $\mathbf{F}_{p,q}^{\alpha}(A) = \mathbf{F}_{p,q}^{\alpha}(A;\varphi,\Phi)$ is defined as the collection of all tempered distributions $f \in \mathcal{S}'(\mathbb{R}^d)$ for which

$$\|f\|_{\mathbf{F}_{p,q}^{\alpha}(A)} := \|f\|_{\mathbf{F}_{p,q}^{\alpha}(A;\varphi,\Phi)} := \left\| \left(\sum_{i \in \mathbb{N}_{0}} (|\det A|^{\alpha i} |f \ast \varphi_{i}^{A}|)^{q} \right)^{1/q} \right\|_{L^{p}} < \infty, \quad (3.1)$$

where $\varphi_0^A := \Phi$ and $\varphi_i^A := |\det A|^i \varphi(A^i \cdot)$ for $i \ge 1$, and with the usual modification in (3.1) for $q = \infty$. The quantity (3.1) is easily seen to be equivalent to the quasi-norm (1.1), a fact that will often be used without further mention. The spaces $\mathbf{F}_{p,q}^{\alpha}(A)$ are well-defined, in the sense that they do not depend on the choice of the *A*-analyzing pair (φ , Φ), cf. [5, Section 3.3].

In addition to the above properties, the spaces $\mathbf{F}_{p,q}^{\alpha}(A)$ are complete. This property appears to be taken as self-evident in the literature, but is never explicitly stated. As this property is used repeatedly in the proof of our main result, we provide a short proof in the appendix; see Lemma A.2.

3.2 Local Hardy spaces

Let $A \in GL(d, \mathbb{R})$ be an expansive matrix. Given $\phi \in S(\mathbb{R}^d)$ with $\int \phi \, dx \neq 0$, the associated *local radial maximal function* of $f \in S'(\mathbb{R}^d)$ is defined as

$$M_{\phi,A}^{0,\text{loc}} f(x) := \sup_{j \in \mathbb{N}_0} |\det A|^j | (f * (\phi \circ A^j))(x) |, \quad x \in \mathbb{R}^d.$$

The anisotropic local Hardy space $h^p(A)$, with $p \in (0, \infty)$, is the space of all $f \in S'(\mathbb{R}^d)$ satisfying

$$\|f\|_{h^p(A)} := \|M^{0, \text{loc}}_{\phi, A} f\|_{L^p} < \infty,$$

and is complete with respect to the quasi-norm $\|\cdot\|_{h^p(A)}$. The definition of $h^p(A)$ is independent of the choice of defining vector ϕ . If $p \in (1, \infty)$, then $h^p(A) = L^p$, and for p = 1 it holds that $h^1(A) \subseteq L^1$. See, e.g., [1, Section 2] for these claims.

In a similar manner, the (nonlocal) anisotropic Hardy space $H^p(A)$ is defined as the space of all $f \in S'(\mathbb{R}^d)$ such that

$$\|f\|_{H^{p}(A)} := \|M_{\phi,A}^{0}f\|_{L^{p}} < \infty, \quad \text{where } M_{\phi,A}^{0}f(x) := \sup_{j \in \mathbb{Z}} |\det A|^{j} |f * (\phi \circ A^{j})(x)|.$$

¹ In most other papers, including [5], the cube $[-\pi, \pi]^d$ is used instead of $(-\frac{1}{2}, \frac{1}{2})^d$. The reason for this seeming inconsistency is that [5] uses a different normalization of the Fourier transform than we do.

Clearly, $H^p(A) \subseteq h^p(A)$, with $||f||_{h^p(A)} \leq ||f||_{H^p(A)}$ for all $f \in \mathcal{S}'(\mathbb{R}^d)$. For $p \in (1, \infty)$, we have $L^p = H^p(A)$; see [2, Chapter 1, Section 3].

The following Littlewood–Paley characterization identifies local Hardy spaces as special inhomogeneous Triebel–Lizorkin spaces.

Proposition 3.1 Let $\varphi \in S(\mathbb{R}^d)$ be a function such that supp $\widehat{\varphi} \subseteq (-\frac{1}{2}, \frac{1}{2})^d \setminus \{0\}$ and

$$\sum_{i\in\mathbb{Z}}\widehat{\varphi}((A^*)^{-i}\xi)=1, \quad \xi\in\mathbb{R}^d\setminus\{0\}.$$

Define $\Phi \in \mathcal{S}(\mathbb{R}^d)$ by $\widehat{\Phi}(\xi) = \sum_{i=-\infty}^{0} \widehat{\varphi}((A^*)^{-i}\xi)$ for $\xi \in \mathbb{R}^d \setminus \{0\}$ and $\widehat{\Phi}(0) = 1$. Then, for every $p \in (0, \infty)$, the (quasi)-norm equivalence

$$\|f\|_{h^{p}(A)} \asymp \|f * \Phi\|_{L^{p}} + \left\| \left(\sum_{i=1}^{\infty} |f * \varphi_{i}^{A}|^{2} \right)^{1/2} \right\|_{L^{p}} \asymp \|f\|_{\mathbf{F}_{p,2}^{0}(A)}$$

holds for all $f \in \mathcal{S}'(\mathbb{R}^d)$.

Proof For $p \in (0, 1]$, the claim corresponds to [7, Theorem 1.2, Part (ii)]. For $p \in (1, \infty)$, recall from above that $h^p(A) = L^p = H^p(A)$. Let $f \in S'(\mathbb{R}^d)$. First, note that

$$\|f\|_{L^p} \asymp \|f\|_{H^p(A)} \asymp \left\| \left(\sum_{i \in \mathbb{Z}} \left(|\det A|^i | f * (\varphi \circ A^i) | \right)^2 \right)^{1/2} \right\|_{L^p}$$

by a combination of [4, Theorem 7.1] and [2, Chapter 1, Theorem 3.9]. It follows that

$$\|f\|_{\mathbf{F}^{0}_{p,2}(A)} \lesssim \|f\|_{L^{p}} \|\Phi\|_{L^{1}} + \left\| \left(\sum_{i \in \mathbb{Z}} \left(|\det A|^{i} | f * (\varphi \circ A^{i}) | \right)^{2} \right)^{1/2} \right\|_{L^{p}} \lesssim \|f\|_{L^{p}}$$

$$\approx \|f\|_{h^{p}(A)}.$$
(3.2)

The reverse inequality is an adaptation of a standard argument from Littlewood–Paley theory to the anisotropic setting. By [5, Section 3.3], there exists another A-analyzing pair (ψ, Ψ) such that

$$f = f * \Phi * \Psi^* + \sum_{i \in \mathbb{N}} f * \varphi_i^A * (\psi^*)_i^A$$

with convergence in $S'(\mathbb{R}^d)$; this convergence follows from [5, Lemma 2.6] (see also [5, Section 3.3]). Using this identity, it follows that

$$\|f\|_{h^{p}(A)} \asymp \|f\|_{L^{p}} \le \|f \ast \Phi\|_{L^{p}} \|\Psi^{\ast}\|_{L^{1}} + \left\|\sum_{i \in \mathbb{N}} f \ast \varphi_{i}^{A} \ast (\psi^{\ast})_{i}^{A}\right\|_{L^{p}}.$$
 (3.3)

For estimating the second summand, we use the dual characterization of L^p . Let $\langle \cdot, \cdot \rangle$ denote the sesquilinear dual pairing between $S'(\mathbb{R}^d)$ and $S(\mathbb{R}^d)$, which is antilinear in the second component, and let $p' \in (1, \infty)$ denote the conjugate exponent for p. If $h \in L^{p'} \cap S(\mathbb{R}^d)$, then an application of the monotone convergence theorem and the Cauchy-Schwarz inequality gives

$$\begin{split} \left| \left\langle \sum_{i \in \mathbb{N}} f * \varphi_i^A * (\psi^*)_i^A, h \right\rangle \right| &\leq \sum_{i \in \mathbb{N}} \left| \left\langle f * \varphi_i^A, h * \psi_i^A \right\rangle \right| \\ &\leq \int_{\mathbb{R}^d} \left(\sum_{i \in \mathbb{N}} |f * \varphi_i^A(x)|^2 \right)^{\frac{1}{2}} \left(\sum_{i \in \mathbb{N}} |h * \psi_i^A(x)|^2 \right)^{\frac{1}{2}} dx \\ &\leq \left\| \left(\sum_{i \in \mathbb{N}} |f * \varphi_i^A|^2 \right)^{\frac{1}{2}} \right\|_{L^p} \left\| \left(\sum_{i \in \mathbb{N}} |h * \psi_i^A|^2 \right)^{\frac{1}{2}} \right\|_{L^{p'}} \\ &\lesssim \left\| \left(\sum_{i \in \mathbb{N}} |f * \varphi_i^A|^2 \right)^{\frac{1}{2}} \right\|_{L^p} \|h\|_{L^{p'}}, \end{split}$$

where the penultimate step used Hölder's inequality and the last step used Eq. (3.2) (for ψ instead of φ and p' instead of p). Thus, by the dual characterization of L^p , the tempered distribution $\sum_{i \in \mathbb{N}} f * \varphi_i^A * (\psi^*)_i^A$ satisfies

$$\left\|\sum_{i\in\mathbb{N}}f\ast\varphi_{i}^{A}\ast(\psi^{\ast})_{i}^{A}\right\|_{L^{p}}=\sup_{\substack{h\in\mathcal{S}(\mathbb{R}^{d})\\\|h\|_{L^{p'}}\leq 1}}\left|\left\langle\sum_{i\in\mathbb{N}}f\ast\varphi_{i}^{A}\ast(\psi^{\ast})_{i}^{A},h\right\rangle\right|\lesssim \left\|\left(\sum_{i\in\mathbb{N}}|f\ast\varphi_{i}^{A}|^{2}\right)^{\frac{1}{2}}\right\|_{L^{p}}.$$

In combination with Eqs. (3.2) and (3.3), this finishes the proof.

3.3 Local atoms

Let $p \in (0, 1]$ and $s \in \mathbb{N}$ be such that $s \ge \lfloor (\frac{1}{p} - 1)\zeta_{-}(A)^{-1} \rfloor$. A *local* (p, s)-*atom associated* to A is a measurable function $a : \mathbb{R}^d \to \mathbb{C}$ such that there exist $x_0 \in \mathbb{R}^d$ and $j \in \mathbb{Z}$ satisfying:

(a1) supp $a \subseteq x_0 + A^j \Omega_A$; (a2) $||a||_{L^{\infty}} \leq |\det A|^{-\frac{j}{p}}$; (a3) If j < 0, then $\int_{\mathbb{R}^d} a(x) x^{\sigma} dx = 0$ for all $\sigma \in \mathbb{N}_0^d$ with $|\sigma| \leq s$.

In addition, we call a measurable function a merely a (p, s)-atom associated to A if it satisfies (a1), (a2) and

(a4) $\int_{\mathbb{R}^d} a(x) x^{\sigma} dx = 0$ for all $\sigma \in \mathbb{N}_0^d$ with $|\sigma| \le s$.

Clearly, any (p, s)-atom is a local (p, s)-atom.

Remark 3.2 A useful alternative definition of (local) atoms is as follows. Let $p \in (0, 1]$ and $s \in \mathbb{N}$ be such that $s \ge \lfloor (\frac{1}{p} - 1)\zeta_{-}(A)^{-1} \rfloor$. An *alternative local* (p, s)-*atom* (*resp.* alternative (p, s)-atom) associated to A, is a measurable function $a : \mathbb{R}^d \to \mathbb{C}$ such that there exist $x_0 \in \mathbb{R}^d$ and $j \in \mathbb{Z}$ satisfying:

(a1') supp
$$a \subseteq x_0 + A^j \mathcal{B}(0, 1),$$

(a2') $||a||_{L^{\infty}} \le m(A^j(\mathcal{B}(0, 1)))^{-\frac{1}{p}},$

and (a3) (resp. (a4)). Any alternative (local) (p, s)-atom is a constant multiple of a (local) (p, s)-atom and vice versa, with a constant only depending on p, A; see [2, Remark on page 72].

By [1, Proposition 2.2], the local Hardy space $h^p(A)$ is equal to the space of all tempered distributions f of the form

$$f = \sum_{n \in \mathbb{N}} c_n a_n \tag{3.4}$$

for a sequence $(a_n)_{n \in \mathbb{N}}$ of local (p, s)-atoms a_n associated to A and $(c_n)_{n \in \mathbb{N}} \in \ell^p(\mathbb{N})$. In addition, for every $f \in h^p(A)$, the quantity

$$||f||_{h^p_s(A)} := \inf \left\{ ||c||_{\ell^p} : f = \sum_n c_n a_n \right\},$$

where the infimum is taken over all atomic decompositions (3.4) in terms of local (p, s)atoms, is equivalent to $||f||_{h^p(A)}$.

4 Sufficient conditions for classification

This section is devoted to proving the sufficient condition of Theorem 1.1 for the equality of anisotropic inhomogeneous Triebel–Lizorkin spaces. We prove this result as Proposition 4.2 below.

4.1 General notation

Throughout this section, let $A, B \in GL(d, \mathbb{R})$ be expansive matrices and let (φ, Φ) and (ψ, Ψ) be pairs of analyzing vectors satisfying conditions (c1)–(c3) for A and B, respectively. Define $Q_0 := \sup p \widehat{\Phi}$ and $Q := \sup p \widehat{\varphi}$, and set $P_0 := \sup p \widehat{\Psi}$ and $P := \sup p \widehat{\psi}$. Furthermore, define $Q_i^{A^*} := (A^*)^i Q$ and $P_j^{B^*} := (B^*)^j P$ for $i, j \ge 1$ and $Q_i^{A^*} := Q_0$ and $P_j^{B^*} := P_0$ for $i, j \le 0$. Then the conditions (c1) and (c3) guarantee that the families $(Q_i^{A^*})_{i \in \mathbb{N}_0}$ and $(P_j^{B^*})_{j \in \mathbb{N}_0}$ are inhomogeneous covers induced by A^* and B^* , respectively. As in Sect. 2.3, we define

$$J_i := \{\ell \in \mathbb{N}_0 : Q_i^{A^*} \cap P_\ell^{B^*} \neq \varnothing\} \text{ and } I_j := \{\ell \in \mathbb{N}_0 : Q_\ell^{A^*} \cap P_j^{B^*} \neq \varnothing\},\$$

for fixed $i, j \in \mathbb{N}_0$. Lastly, set $\varphi_0^A := \Phi$ and $\varphi_i^A := |\det A|^i \varphi(A^i \cdot)$ for $i \ge 1$, and define ψ_j^B for $j \in \mathbb{N}_0$ in a similar manner (using *B* instead of *A*). Note that $\operatorname{supp} \widehat{\varphi_i^A} = Q_i^{A^*}$ and $\operatorname{supp} \widehat{\psi_j^B} = P_j^{B^*}$ for $i, j \in \mathbb{N}_0$.

4.2 Peetre-type inequality

Throughout the remainder of this section, we assume that the adjoint matrices A^* and B^* are coarsely equivalent, in the sense of Sect. 2.2.

A central ingredient in establishing the sufficient condition of Theorem 1.1 is an anisotropic Peetre-type inequality involving the *two* dilation matrices A and B (cf. Lemma 4.1). For stating this result, recall that the *anisotropic Hardy–Littlewood maximal operator* $M_{\rho_A}h$ applied to a measurable function $h : \mathbb{R}^d \to \mathbb{C}$ is defined by

$$M_{\rho_A}h(x) := \sup_{\mathcal{B}_A \ni x} \frac{1}{\mathsf{m}(\mathcal{B}_A)} \int_{\mathcal{B}_A} |h(y)| \, dy, \quad x \in \mathbb{R}^d, \tag{4.1}$$

🖄 Springer

where the supremum is taken over all ρ_A -balls $\mathcal{B}_A = \mathcal{B}_{\rho_A}(y, r) = \{z \in \mathbb{R}^d : \rho_A(z-y) < r\}$ that contain *x*.

The significance of the Peetre-type maximal function in the following lemma for our purposes is that it involves a mixture of the matrices A and B, in the sense that the convolution $f * \psi_j^B$ involves the matrix B, whereas the weight $(1 + \rho_A(A^i z))^\eta$ involves the matrix A. Its proof exploits the coarse equivalence of A^* and B^* in a crucial manner.

Lemma 4.1 Suppose that A^* and B^* are coarsely equivalent. With notation as in Sect. 4.1, for $j \in \mathbb{N}_0$, $\eta > 0$ and $f \in S'(\mathbb{R}^d)$, define

$$M_{j,\eta}^{\psi}f(x) := \max_{i \in I_j} \sup_{z \in \mathbb{R}^d} \frac{|(f * \psi_j^B)(x+z)|}{(1 + \rho_A(A^i z))^{\eta}}, \quad x \in \mathbb{R}^d.$$

Then there exists C > 0 (independent of j, x, f) such that

$$M_{j,\eta}^{\psi}f(x) \leq C \bigg(M_{\rho_A} \big[|f * \psi_j^B|^{1/\eta} \big](x) \bigg)^{\eta}, \quad x \in \mathbb{R}^d,$$

where M_{ρ_A} denotes the Hardy–Littlewood maximal operator defined in Eq. (4.1).

Proof Let $i \in I_j \subseteq \mathbb{N}_0$ be arbitrary. Since A^* and B^* are coarsely equivalent, the associated covers $(Q_i^{A^*})_{i \in \mathbb{N}_0}$ and $(P_j^{B^*})_{j \in \mathbb{N}_0}$ from Sect. 4.1 are equivalent by Lemma 2.2. Therefore, we see as in the proof of Corollary 2.4 (see Eq. (2.6)) that there exists $M \in \mathbb{N}$ (independent of i, j) such that supp $\widehat{\psi}_j^B = P_j^{B^*} \subseteq \bigcup_{\ell=-M}^M Q_{i+\ell}^{A^*}$. Let

$$K := \bigcup_{\ell=-M}^{M} \overline{Q_{\ell}^{A^*}} \cup \bigcup_{\ell=-M}^{M} (A^*)^{\ell} \overline{Q} \text{ and } K^* := \overline{\bigcup_{\ell=-\infty}^{0} (A^*)^{\ell} K}.$$

Note that $K \subseteq K^*$ and that K, K^* are compact in \mathbb{R}^d and do not depend on i, j.

Define $g := (f * \psi_j^B) \circ A^{-i}$. Denoting the bilinear dual pairing between $\mathcal{S}'(\mathbb{R}^d)$ and $\mathcal{S}(\mathbb{R}^d)$ by $\langle \cdot, \cdot \rangle$, a direct calculation entails that, for $\gamma \in \mathcal{S}(\mathbb{R}^d)$ with supp $\gamma \subseteq \mathbb{R}^d \setminus (A^*)^{-i} \overline{P_i^{B^*}}$,

 $\gamma \langle \cdot, \cdot \rangle$, a direct calculation entails that, for $\gamma \in \mathcal{S}(\mathbb{R}^{n})$ with supp $\gamma \subseteq \mathbb{R}^{n} \setminus (A^{+}) \cdot P_{j}^{n}$

$$\langle \widehat{g}, \gamma \rangle = \langle \widehat{f * \psi_j^B}, \gamma \circ (A^*)^{-i} \rangle = \langle \widehat{f}, \widehat{\psi_j^B} \cdot (\gamma \circ (A^*)^{-i}) \rangle = 0,$$

and thus supp $\widehat{g} \subseteq (A^*)^{-i} \overline{P_j^{B^*}} \subseteq \bigcup_{\ell=-M}^M (A^*)^{-i} \overline{Q_{i+\ell}^{A^*}}$. Note for $-M \leq \ell \leq M$ that if $i + \ell \leq M$, then $\overline{Q_{i+\ell}^{A^*}} \subseteq K$ and thus $(A^*)^{-i} \overline{Q_{i+\ell}^{A^*}} \subseteq K^*$. On the other hand, $i + \ell > M$ for $-M \leq \ell \leq M$ implies i > 0 and

$$(A^*)^{-i}\overline{\mathcal{Q}_{i+\ell}^{A^*}} = (A^*)^{-i}(A^*)^{i+\ell}\overline{\mathcal{Q}} = (A^*)^{\ell}\overline{\mathcal{Q}} \subseteq K \subseteq K^*.$$

Overall, this shows that $\overline{\text{supp}} \ \widehat{g} \subseteq K^*$. An application of the anisotropic Peetre inequality (cf. [5, Lemma 3.4]) therefore yields a constant $C = C(K^*, \eta) > 0$ such that

$$\sup_{z \in \mathbb{R}^d} \frac{|g(x-z)|}{(1+\rho_A(z))^{\eta}} \le C[(M_{\rho_A}|g|^{1/\eta})(x)]^{\eta}, \quad x \in \mathbb{R}^d.$$
(4.2)

In view of the identity $M_{\rho_A}[h \circ A^k] = (M_{\rho_A}h) \circ A^k$ for $h : \mathbb{R}^d \to \mathbb{C}$ and $k \in \mathbb{Z}$ (see, e.g., [8, Lemma 3.1]) and since $\rho_A(-x) = \rho_A(x)$, this finally implies that

$$\sup_{z \in \mathbb{R}^{d}} \frac{|(f * \psi_{j}^{B})(x + z)|}{(1 + \rho_{A}(A^{i}z))^{\eta}} = \sup_{z \in \mathbb{R}^{d}} \frac{|g(A^{i}(x + z))|}{(1 + \rho_{A}(A^{i}z))^{\eta}}$$
$$= \sup_{w \in \mathbb{R}^{d}} \frac{|g(A^{i}x - w)|}{(1 + \rho_{A}(w))^{\eta}}$$
$$\leq C[(M_{\rho_{A}}|g|^{1/\eta})(A^{i}x)]^{\eta}$$
$$= C[(M_{\rho_{A}}(|g|^{1/\eta} \circ A^{i}))(x)]^{\eta}$$
$$= C[(M_{\rho_{A}}|f * \psi_{j}^{B}|^{1/\eta})(x)]^{\eta}.$$

Since $i \in I_i$ was chosen arbitrarily, this completes the proof.

4.3 Sufficient condition

The following proposition is the main result of this section, and settles the sufficient condition of Theorem 1.1.

Proposition 4.2 Suppose A^* and B^* are coarsely equivalent. Then $\mathbf{F}_{p,q}^{\alpha}(A) = \mathbf{F}_{p,q}^{\alpha}(B)$ for all $\alpha \in \mathbb{R}$, $p \in (0, \infty)$ and $q \in (0, \infty]$.

Proof We will use the notation introduced in Sect. 4.1. Let $\alpha \in \mathbb{R}$, $p \in (0, \infty)$, and $q \in (0, \infty]$. We only show that $\|\cdot\|_{\mathbf{F}_{p,q}^{\alpha}(A;\varphi,\Phi)} \lesssim \|\cdot\|_{\mathbf{F}_{p,q}^{\alpha}(B;\psi,\Psi)}$; the reverse inequality follows by symmetry. Throughout, fix some $\eta > \max\{1/p, 1/q\}$ and let $f \in \mathcal{S}'(\mathbb{R}^d)$. Since A^* and B^* are coarsely equivalent, it follows that $\sup_{i\in\mathbb{N}_0} |J_i| < \infty$ and $\sup_{j\in\mathbb{N}_0} |I_j| < \infty$ by Lemma 2.2.

Step 1. (*Pointwise estimate.*) Let $i \in \mathbb{N}_0$. Define $\psi_B^{(i)} := \sum_{j \in J_i} \psi_j^B$. Then $\psi_B^{(i)} \in \mathcal{S}(\mathbb{R}^d)$, and $\psi_B^{(i)} * \varphi_i^A = \varphi_i^A$ by condition (c3) for ψ , Ψ . Therefore, for $x \in \mathbb{R}^d$,

$$\begin{split} |(f * \varphi_i^A)(x)| &= |(f * (\psi_B^{(i)} * \varphi_i^A))(x)| \\ &\leq \sum_{j \in J_i} |(f * (\psi_j^B * \varphi_i^A))(x)| \\ &\leq \sum_{j \in J_i} \int_{\mathbb{R}^d} \frac{|(f * \psi_j^B)(x + y)|}{(1 + \rho_A(A^i y))^{\eta}} (1 + \rho_A(A^i y))^{\eta} |\varphi_i^A(-y)| \, dy \\ &\leq \sum_{j \in J_i} M_{j,\eta}^{\psi} f(x) \int_{\mathbb{R}^d} (1 + \rho_A(A^i y))^{\eta} |\varphi_i^A(-y)| \, dy, \end{split}$$

where $M_{j,\eta}^{\psi} f(x)$ is defined as in Lemma 4.1. For estimating the integral on the right-hand side above, choose $N > 1 + \eta$. Then, since $\varphi, \Phi \in \mathcal{S}(\mathbb{R}^d)$, and in view of [2, Chapter 1, Lemma 3.2], there exists C > 0 such that $\max\{|\Phi(\cdot)|, |\varphi(\cdot)|\} \le C(1+\rho_A(\cdot))^{-N}$. In addition, since $\eta - N < -1$, an application of [8, Lemma 2.3] yields that $\int_{\mathbb{R}^d} (1+\rho_A(x))^{\eta-N} dx < \infty$. Therefore, if i = 0, the symmetry of ρ_A gives

$$\int_{\mathbb{R}^d} \left(1 + \rho_A(A^i y)\right)^{\eta} |\varphi_i^A(-y)| \ dy \le C \int_{\mathbb{R}^d} \left(1 + \rho_A(y)\right)^{\eta - N} \ dy < \infty.$$

Similarly, if $i \in \mathbb{N}$, then the change-of-variable $x = A^i y$ gives

Deringer

$$\begin{split} \int_{\mathbb{R}^d} (1+\rho_A(A^i y))^\eta |\varphi_i^A(-y)| \, dy &= \int_{\mathbb{R}^d} (1+\rho_A(x))^\eta |\varphi(-x)| \, dx \\ &\leq C \int_{\mathbb{R}^d} (1+\rho_A(x))^{\eta-N} \, dx < \infty, \end{split}$$

where the right-hand side is independent of *i*. Therefore,

$$|(f * \varphi_i^A)(x)| \lesssim \sum_{j \in J_i} M_{j,\eta}^{\psi} f(x), \quad x \in \mathbb{R}^d.$$
(4.3)

Since A^* , B^* are coarsely equivalent, Corollary 2.4 shows that

$$|\det A|^i = |\det A^*|^i \asymp |\det B^*|^j = |\det B|^j$$

whenever $i \in I_j$ (equivalently, $j \in J_i$). Hence, combining this with (4.3) gives

$$|\det A|^{\alpha i} |(f * \varphi_i^A)(x)| \lesssim \sum_{j \in J_i} |\det B|^{\alpha j} M_{j,\eta}^{\psi} f(x)$$
(4.4)

for $x \in \mathbb{R}^d$, with implied constant independent of $i \in \mathbb{N}_0$.

Step 2. (*Norm estimate for* $q < \infty$.) This step establishes the desired (quasi)-norm estimate for the case $q < \infty$. Since $\sup_{i \in \mathbb{N}_0} |J_i| < \infty$ and $\sup_{j \in \mathbb{N}_0} |I_j| < \infty$, it follows from Eq. (4.4) that, for every $x \in \mathbb{R}^d$,

$$\begin{split} \sum_{i\in\mathbb{N}_{0}} \left(|\det A|^{\alpha i} | (f*\varphi_{i}^{A})(x)| \right)^{q} &\lesssim \sum_{i\in\mathbb{N}_{0}} \left(\sum_{j\in J_{i}} |\det B|^{\alpha j} M_{j,\eta}^{\psi} f(x) \right)^{q} \\ &\lesssim \sum_{i\in\mathbb{N}_{0}} \sum_{j\in J_{i}} \left(|\det B|^{\alpha j} M_{j,\eta}^{\psi} f(x) \right)^{q} \\ &= \sum_{j\in\mathbb{N}_{0}} \sum_{i\in I_{j}} \left(|\det B|^{\alpha j} M_{j,\eta}^{\psi} f(x) \right)^{q} \\ &\lesssim \sum_{j\in\mathbb{N}_{0}} \left(|\det B|^{\alpha j} M_{j,\eta}^{\psi} f(x) \right)^{q} \\ &\lesssim \sum_{j\in\mathbb{N}_{0}} \left(M_{\rho_{A}} \left[|\det B|^{\frac{\alpha j}{\eta}} | f*\psi_{j}^{B} |^{\frac{1}{\eta}} \right] (x) \right)^{\eta q}, \end{split}$$

where the last inequality used Lemma 4.1. Since ηq , $\eta p > 1$, the vector-valued Fefferman–Stein inequality (see, e.g., [5, Theorem 2.5]) is applicable, and yields

$$\begin{split} \|f\|_{\mathbf{F}_{p,q}^{\alpha}(A;\varphi,\Phi)} &= \left\| \left(\sum_{i \in \mathbb{N}_{0}} \left(|\det A|^{\alpha i} |f * \varphi_{i}^{A}| \right)^{q} \right)^{1/q} \right\|_{L^{p}} \\ &\lesssim \left\| \left(\sum_{j \in \mathbb{N}_{0}} \left(M_{\rho_{A}} \left[|\det B|^{\frac{\alpha j}{\eta}} |f * \psi_{j}^{B}|^{\frac{1}{\eta}} \right] \right)^{\eta q} \right)^{\frac{1}{\eta q}} \right\|_{L^{\eta p}}^{\eta} \\ &\lesssim \left\| \left(\sum_{j \in \mathbb{N}_{0}} \left(|\det B|^{\frac{\alpha j}{\eta}} |f * \psi_{j}^{B}|^{\frac{1}{\eta}} \right)^{\eta q} \right)^{\frac{1}{\eta q}} \right\|_{L^{\eta p}}^{\eta} \\ &= \|f\|_{\mathbf{F}_{p,q}^{\alpha}(B;\psi,\Psi)}, \end{split}$$

Deringer

which completes the proof for the case $q < \infty$.

Step 3. (*Norm estimate for* $q = \infty$.) As in Step 2, combining Eq. (4.4) with $\sup_{i \in \mathbb{N}_0} |J_i|$, $\sup_{i \in \mathbb{N}_0} |I_j| < \infty$ and Lemma 4.1, yields

$$\begin{split} \sup_{i \in \mathbb{N}_{0}} |\det A|^{\alpha i} |(f * \varphi_{i}^{A})(x)| &\lesssim \sup_{i \in \mathbb{N}_{0}} \sum_{j \in J_{i}} |\det B|^{\alpha j} M_{j,\eta}^{\psi} f(x) \\ &\lesssim \sup_{j \in \mathbb{N}_{0}} |\det B|^{\alpha j} M_{j,\eta}^{\psi} f(x) \\ &\lesssim \sup_{j \in \mathbb{N}_{0}} \left(\det B|^{\frac{\alpha j}{\eta}} |f * \psi_{j}^{B}|^{\frac{1}{\eta}}](x) \right)^{\eta} \end{split}$$

for $x \in \mathbb{R}^d$. Since $\eta p, q > 1$, an application of the vector-valued Fefferman–Stein inequality gives

$$\begin{split} \|f\|_{\mathbf{F}_{p,q}^{\alpha}(A;\varphi;\Phi)} &\lesssim \left\| \left(\sup_{j\in\mathbb{N}_{0}} M_{\rho_{A}} \left[|\det B|^{\frac{\alpha_{j}}{\eta}} |f * \psi_{j}^{B}|^{\frac{1}{\eta}} \right] \right)^{\eta} \right\|_{L^{p}} \\ &= \left\| \sup_{j\in\mathbb{N}_{0}} M_{\rho_{A}} \left[|\det B|^{\frac{\alpha_{j}}{\eta}} |f * \psi_{j}^{B}|^{\frac{1}{\eta}} \right] \right\|_{L^{\eta_{p}}}^{\eta} \\ &\lesssim \left\| \sup_{j\in\mathbb{N}_{0}} \left(|\det B|^{\frac{\alpha_{j}}{\eta}} |f * \psi_{j}^{B}|^{\frac{1}{\eta}} \right) \right\|_{L^{\eta_{p}}}^{\eta} \\ &= \left\| \sup_{j\in\mathbb{N}_{0}} \left(|\det B|^{\frac{\alpha_{j}}{\eta}} |f * \psi_{j}^{B}|^{\frac{1}{\eta}} \right)^{\eta} \right\|_{L^{p}} \\ &= \|f\|_{\mathbf{F}_{p,q}^{\alpha}(B;\psi,\Psi)}. \end{split}$$

This completes the proof.

5 Necessary conditions for classification

In this section, we prove the necessary conditions of Theorem 1.1 for the equality of inhomogeneous Triebel–Lizorkin spaces. Explicitly, we prove the following theorem.

Theorem 5.1 Let $A, B \in GL(d, \mathbb{R})$ be expansive matrices. Suppose that $\mathbf{F}_{p,q}^{\alpha}(A) = \mathbf{F}_{p,q}^{\alpha}(B)$ for some $\alpha \in \mathbb{R}, p \in (0, \infty)$ and $q \in (0, \infty]$. Then at least one of the following two cases hold:

(i) A^* and B^* are coarsely equivalent; (ii) $\alpha = 0, p \in (1, \infty)$ and q = 2.

Remark 5.2 In addition to Theorem 5.1, one can also show that if $\mathbf{F}_{p_1,q_1}^{\alpha}(A) = \mathbf{F}_{p_2,q_2}^{\beta}(B)$ for some $\alpha, \beta \in \mathbb{R}, p_1, p_2 \in (0, \infty)$ and $q_1, q_2 \in (0, \infty]$, then $\alpha = \beta, p_1 = p_2$ and $q_1 = q_2$. This follows without much modification from the corresponding arguments for the homogeneous Triebel–Lizorkin spaces in [9, Section 5], together with their adaptations to inhomogeneous function spaces that are proven in this section. As no new ideas are required, we do not provide the details.

5.1 General notation

Throughout all of this section, the same notation as in Sect. 4.1 will be used. In addition, define the index sets

$$N_i(A^*) := \{k \in \mathbb{N}_0 : Q_i^{A^*} \cap Q_k^{A^*} \neq \emptyset\} \text{ and } N_j(B^*) := \{k \in \mathbb{N}_0 : P_k^{B^*} \cap P_j^{B^*} \neq \emptyset\}$$

for fixed $i, j \in \mathbb{N}_0$. Then a combination of Corollary 2.4 and Lemma 2.5 (applied to A = B) implies the existence of a constant $N \in \mathbb{N}$ such that

$$N_i(A^*) \cup N_i(B^*) \subseteq \{k \in \mathbb{N}_0 : |k-i| \le N\} \text{ for all } i \in \mathbb{N}_0.$$

For $i, j \in \mathbb{N}_0$, define the functions $\varphi_A^{(i)}, \psi_B^{(j)} \in \mathcal{S}(\mathbb{R}^d)$ by

$$\varphi_A^{(i)} := \sum_{k \in N_i(A^*)} \varphi_k^A \quad \text{and} \quad \psi_B^{(j)} := \sum_{k \in N_j(B^*)} \psi_k^B.$$

Then, by condition (c3), it follows that $\widehat{\varphi_A^{(i)}} \equiv 1$ on $Q_i^{A^*}$, and $\widehat{\psi_B^{(j)}} \equiv 1$ on $P_j^{B^*}$.

Lastly, we fix some $\chi \in \mathcal{S}(\mathbb{R}^d) \setminus \{0\}$ with the property that $\widehat{\chi} \ge 0$ and $\overline{\text{supp}} \ \widehat{\chi} \subseteq \mathcal{B}(0, 1)$. For $\delta > 0$, the associated (scalar) dilation of χ is defined by $\chi_{\delta} := \delta^d \chi(\delta \cdot)$.

5.2 Auxiliary results

This section contains two lemmata that are repeatedly in the remainder.

Lemma 5.3 Let $\alpha \in \mathbb{R}$, $p \in (0, \infty)$, and $q \in (0, \infty]$. With $\varphi_A^{(i)}$, $i \in \mathbb{N}_0$ as in Sect. 5.1, there exists a constant $C = C(\alpha, p, q, A, \varphi, \Phi) > 0$ satisfying

$$\left\| \left\| \left(|\det A|^{\alpha i} | f * \varphi_A^{(i)} | \right)_{i \in \mathbb{N}_0} \right\|_{\ell^q} \right\|_{L^p} \le C \| f \|_{\mathbf{F}_{p,q}^{\alpha}(A)}$$

for all $f \in \mathcal{S}'(\mathbb{R}^d)$.

Proof We only provide the proof for $q < \infty$; the proof for $q = \infty$ is similar, but easier. With N as in Sect. 5.1, it follows that for each $i \in \mathbb{N}_0$, we can write $N_i(A^*) = \{\ell_1^{(i)}, \ldots, \ell_{M_i}^{(i)}\}$ with $M_i = |N_i(A^*)| \le 2N + 1$. Thus, $\varphi_A^{(i)} = \sum_{t=1}^{2N+1} \mathbb{1}_{t \le M_i} \varphi_{\ell_t^{(i)}}^A$, with $\mathbb{1}_{t \le M_i} = 1$ for $t \le M_i$ and $\mathbb{1}_{t \le M_i} = 0$, otherwise. Hence, given $f \in \mathcal{S}'(\mathbb{R}^d)$,

$$|f * \varphi_A^{(i)}| \le \sum_{t=1}^{2N+1} \left(\mathbb{1}_{t \le M_i} \cdot |f * \varphi_{\ell_t^{(i)}}^A| \right).$$

Furthermore, note because of $|\ell_t^{(i)} - i| \leq N$ that $|\det A|^{\alpha i} \leq |\det A|^{\alpha \ell_t^{(i)}}$. Overall, this implies

$$\sum_{i \in \mathbb{N}_{0}} \left(|\det A|^{\alpha i} | f * \varphi_{A}^{(i)} | \right)^{q} \lesssim \sum_{i \in \mathbb{N}_{0}} \sum_{t=1}^{2N+1} \left(\mathbb{1}_{t \le M_{i}} \cdot \left(|\det A|^{\alpha i} | f * \varphi_{\ell_{i}^{(i)}}^{A} | \right)^{q} \right)$$
$$\lesssim \sum_{i \in \mathbb{N}_{0}} \sum_{t=1}^{2N+1} \left(\mathbb{1}_{t \le M_{i}} \cdot \left(|\det A|^{\alpha \ell_{i}^{(i)}} | f * \varphi_{\ell_{i}^{(i)}}^{A} | \right)^{q} \right)$$

🖉 Springer

Fix $\ell \in \mathbb{N}_0$ for the moment, and note that if $\ell = \ell_t^{(i)}$ for some $i \in \mathbb{N}_0$ and $1 \le t \le M_i$, then $|\ell - i| = |\ell_t^{(i)} - i| \le N$. Since also $M_i \le 2N + 1$, this implies that

$$\#\{(i, t) : i \in \mathbb{N}_0, 1 \le t \le M_i \text{ and } \ell_t^{(i)} = \ell\} \le (2N+1)^2.$$

Thus, in combination with the above, it follows that

$$\begin{split} \sum_{i \in \mathbb{N}_0} \left(|\det A|^{\alpha i} |f \ast \varphi_A^{(i)}| \right)^q &\lesssim \sum_{i \in \mathbb{N}_0} \sum_{t=1}^{2N+1} \left(\mathbb{1}_{t \le M_i} \cdot \left(|\det A|^{\alpha \ell_t^{(i)}} |f \ast \varphi_{\ell_t^{(i)}}^A| \right)^q \right) \\ &\lesssim \sum_{\ell \in \mathbb{N}_0} \left(|\det A|^{\alpha \ell} |f \ast \varphi_{\ell}^A| \right)^q. \end{split}$$

By definition of $\|\cdot\|_{\mathbf{F}_{n,q}^{\alpha}(A)}$, this easily implies the claim.

The following lemma is a consequence of the closed graph theorem. We provide its proof for the sake of completeness.

Lemma 5.4 Let $A, B \in GL(d, \mathbb{R})$ be expansive and let $\alpha \in \mathbb{R}$, $p \in (0, \infty)$, and $q \in (0, \infty]$. If $\mathbf{F}_{p,q}^{\alpha}(A) = \mathbf{F}_{p,q}^{\alpha}(B)$, then $\|\cdot\|_{\mathbf{F}_{p,q}^{\alpha}(A)} \asymp \|\cdot\|_{\mathbf{F}_{p,q}^{\alpha}(B)}$.

Proof Suppose that $\mathbf{F}_{p,q}^{\alpha}(A) = \mathbf{F}_{p,q}^{\alpha}(B)$ as sets. Then the identity map

:
$$\mathbf{F}^{\alpha}_{p,q}(A) \to \mathbf{F}^{\alpha}_{p,q}(B), \quad f \mapsto f$$

is well-defined and linear. Moreover, its graph is closed because if $f_n \to f$ in $\mathbf{F}_{p,q}^{\alpha}(A)$ and $f_n \to g$ in $\mathbf{F}_{p,q}^{\alpha}(B)$, then Lemma A.2 shows for arbitrary $\phi \in \mathcal{F}(C_c^{\infty}(\mathbb{R}^d))$ that

$$\langle f, \phi \rangle = \lim_{n \to \infty} \langle f_n, \phi \rangle = \langle g, \phi \rangle.$$

Note that $\mathcal{F}(C_c^{\infty}(\mathbb{R}^d)) \subseteq \mathcal{S}(\mathbb{R}^d)$ is dense by [12, Theorems 7.7 and 7.10]. Hence, since $f, g \in \mathcal{S}'(\mathbb{R}^d)$, we get f = g, showing that ι has closed graph. Therefore, it follows that $||f||_{\mathbf{F}_{p,q}^{\alpha}(B)} \lesssim ||f||_{\mathbf{F}_{p,q}^{\alpha}(A)}$ by an application of the closed graph theorem (see, e.g., [12, Theorem 2.15]), which is applicable since $\mathbf{F}_{p,q}^{\alpha}(A)$, $\mathbf{F}_{p,q}^{\alpha}(B)$ are complete with respect to the quasi-norms $||\cdot||_{\mathbf{F}_{p,q}^{\alpha}(A)}$ and $||\cdot||_{\mathbf{F}_{p,q}^{\alpha}(B)}$, which are *r*-norms for $r := \min\{1, p, q\}$, cf. Lemma A.2. This implies that the topology on $\mathbf{F}_{p,q}^{\alpha}(A)$ is induced by the complete, translation-invariant metric $d(f, g) := ||f - g||_{\mathbf{F}_{p,q}^{\alpha}(A)}^{r}$, and similarly for $\mathbf{F}_{p,q}^{\alpha}(B)$; thus, $\mathbf{F}_{p,q}^{\alpha}(A)$, $\mathbf{F}_{p,q}^{\alpha}(B)$ are both F-spaces in the terminology of [12, Section 1.8].

The estimate $||f||_{\mathbf{F}_{p,q}^{\alpha}(B)} \lesssim ||f||_{\mathbf{F}_{p,q}^{\alpha}(A)}$ follows by symmetry.

5.3 The case $\alpha \neq 0$

This section is devoted to proving the necessary condition of Theorem 1.1 for the case $\alpha \neq 0$. A crucial ingredient in the proof of this result is the following proposition, which is an adaptation of [9, Proposition 5.3] to the case of inhomogeneous function spaces.

Proposition 5.5 Let $\alpha \in \mathbb{R}$, $p \in (0, \infty)$ and $q \in (0, \infty]$. If $f \in \mathcal{S}(\mathbb{R}^d)$ satisfies supp $\widehat{f} \subseteq Q_{i_0}^{A^*}$ for some $i_0 \in \mathbb{N}_0$, then

$$||f||_{\mathbf{F}_{p,q}^{\alpha}(A)} \asymp |\det A|^{\alpha \iota_{0}} ||f||_{L^{p}}$$

with implicit constants independent of i_0 and f.

Proof Let $f \in \mathcal{S}(\mathbb{R}^d)$ be such that supp $\widehat{f} \subseteq Q_{i_0}^{A^*}$ for $i_0 \in \mathbb{N}_0$. Then, using that supp $\widehat{\varphi_i^A} = Q_i^{A^*}$ for $i \in \mathbb{N}_0$, we see that $f * \varphi_i^A = 0$ whenever $i \notin N_{i_0}(A^*)$. Therefore,

$$\|f\|_{\mathbf{F}_{p,q}^{\alpha}} = \left\| \left(\sum_{i \in N_{i_0}(A^*)} \left(|\det A|^{\alpha i} | f * \varphi_i^A | \right)^q \right)^{1/q} \right\|_{L^p} \\ \lesssim_{p,q,N} \sum_{i \in N_{i_0}(A^*)} |\det A|^{\alpha i} \| f * \varphi_i^A \|_{L^p},$$
(5.1)

with the usual modification in case of $q = \infty$.

For further estimating the right-hand side above, note that an application of Young's inequality implies that $||f * \varphi_i^A||_{L^p} \lesssim_{\varphi} ||f||_{L^p}$ provided that $p \in [1, \infty)$. For the case $p \in (0, 1)$, note first that

$$\operatorname{supp} \widehat{f}, \ \operatorname{supp} \widehat{\varphi_i^A} \subseteq \bigcup_{\ell=-N}^N \mathcal{Q}_{i_0+\ell}^{A^*} \subseteq (A^*)^{i_0} K^*,$$
(5.2)

where $K := \bigcup_{\ell=-N}^{N} (A^*)^{\ell} (\overline{Q} \cup \overline{Q_0})$ and $K^* := \overline{\bigcup_{\ell=-\infty}^{0} (A^*)^{\ell} K}$ are compact and independent of i_0, i . To show that the second inclusion in (5.2) is indeed true, we distinguish two cases: In case of $i_0 + \ell \le N$, we see because of $i_0 + \ell \ge \ell \ge -N$ that $Q_{i_0+\ell}^{A^*} \subset K$, and thus $Q_{i_0+\ell}^{A^*} = (A^*)^{i_0} (A^*)^{-i_0} Q_{i_0+\ell}^{A^*} \subset (A^*)^{i_0} K^*$. If $i_0 + \ell > N$, then necessarily $i_0 > 0$, and thus $Q_{i_0+\ell}^{A^*} = (A^*)^{i_0+\ell} Q = (A^*)^{i_0} (A^*)^{\ell} Q \subset (A^*)^{i_0} K \subset (A^*)^{i_0} K^*$. In view of (5.2), choosing R > 0 such that $K^* \subseteq \mathcal{B}(0, R)$, an application of the convolution relation [17, Theorem 3.4] (see also [15, Section 1.5.1]) yields that

$$\|f * \varphi_i^A\|_{L^p} \le [m((A^*)^{i_0}\mathcal{B}(0, 2R))]^{\frac{1}{p}-1} \|f\|_{L^p} \|\varphi_i^A\|_{L^p}$$

$$\lesssim_{A,\varphi,\Phi,N,p} |\det A|^{(i_0-i)\left(\frac{1}{p}-1\right)} \|f\|_{L^p}$$

$$\lesssim_{A,N,p} \|f\|_{L^p}.$$

Thus, $||f * \varphi_i^A||_{L^p} \lesssim ||f||_{L^p}$ for all $|i_0 - i| \leq N$ and all $p \in (0, \infty]$. Using this estimate in (5.1) gives

$$\|f\|_{\mathbf{F}_{p,q}^{\alpha}} \lesssim \sum_{i \in N_{i_0}(A^*)} |\det A|^{\alpha i} \|f * \varphi_i^A\|_{L^p} \lesssim |\det A|^{\alpha i_0} \|f\|_{L^p},$$

with implicit constants independent of i_0 and f.

For the reverse inequality, we use Lemma 5.3 and note that $f = f * \varphi_A^{(i_0)}$; thus,

$$\|f\|_{\mathbf{F}_{p,q}^{\alpha}} \gtrsim |\det A|^{\alpha i_0} \|f * \varphi_A^{(i_0)}\|_{L^p} = |\det A|^{\alpha i_0} \|f\|_{L^p}.$$

This completes the proof.

Using Proposition 5.5, we now prove the necessity in Theorem 1.1 for the case $\alpha \neq 0$.

Theorem 5.6 Suppose that $\mathbf{F}_{p,q}^{\alpha}(A) = \mathbf{F}_{p,q}^{\alpha}(B)$ for some $\alpha \in \mathbb{R} \setminus \{0\}$, $p \in (0, \infty)$ and $q \in (0, \infty]$. Then A^* and B^* are coarsely equivalent.

Proof Let $i, j \in \mathbb{N}_0$ be arbitrary with $Q_i^{A^*} \cap P_j^{B^*} \neq \emptyset$. Choose $\xi_0 \in \mathbb{R}^d$ and $\delta > 0$ such that $\mathcal{B}(\xi_0, \delta) \subseteq Q_i^{A^*} \cap P_j^{B^*}$, which is possible since $Q_i^{A^*}, P_j^{B^*}$ are open. Define $f^{(\delta)} := M_{\xi_0} \chi_{\delta}$,

where χ is as in Sect. 5.1. Then it follows that $\operatorname{supp} \widehat{f^{(\delta)}} \subseteq \mathcal{B}(\xi_0, \delta) \subseteq Q_i^{A^*} \cap P_j^{B^*}$. Hence, applying Proposition 5.5 to $f^{(\delta)}$ (with A and B) gives

$$|\det A|^{\alpha i}\delta^{d(1-\frac{1}{p})} \asymp |\det A|^{\alpha i} ||f^{(\delta)}||_{L^p} \asymp ||f^{(\delta)}||_{\mathbf{F}_{p,q}^{\alpha}(A)} \asymp ||f^{(\delta)}||_{\mathbf{F}_{p,q}^{\alpha}(B)}|| \asymp |\det B|^{\alpha j}\delta^{d(1-\frac{1}{p})},$$

where we also used Lemma 5.4. Note that the implicit constants are independent of i, j. Thus, canceling the factor involving δ , we see that there exists a constant C > 0 (independent of i, j) such that

$$\frac{1}{C} |\det A^*|^{\alpha i} \le |\det B^*|^{\alpha j} \le C |\det A^*|^{\alpha i} \quad \text{for all } i, j \in \mathbb{N}_0 \text{ for which } Q_i^{A^*} \cap P_j^{B^*} \neq \emptyset.$$

Since $\alpha \neq 0$, an application of Lemma 2.5 therefore yields a constant $M \in \mathbb{N}$ such that

$$J_i \subseteq \{j \in \mathbb{N}_0 : |j - \lfloor \varepsilon i \rfloor| \le M\}$$
 and $I_j \subseteq \{i \in \mathbb{N}_0 : |i - \lfloor \frac{j}{\varepsilon} \rfloor| \le M$

for all $i, j \in \mathbb{N}_0$, where $\varepsilon := \ln |\det A| / \ln |\det B|$. In particular, this implies that $|J_i|, |I_j| \lesssim 1$ with implicit constant independent of $i, j \in \mathbb{N}_0$. Thus, A^* and B^* are coarsely equivalent by Lemma 2.2.

5.4 The case $\alpha = 0$ and $q \neq 2$

This subsection is concerned with proving the necessary condition for the case $\alpha = 0$ and $q \neq 2$. For this, we need in addition to Proposition 5.5 the following more refined version.

Proposition 5.7 Let $\alpha \in \mathbb{R}$, $p \in (0, \infty)$ and $q \in (0, \infty]$. For $K \in \mathbb{N}$, let $(i_k)_{k=1}^K$ be a sequence in \mathbb{N}_0 such that $|i_k - i_{k'}| > 2N$ for $k \neq k'$, where $N \in \mathbb{N}$ is the constant fixed in Sect. 5.1. Let χ be as in Sect. 5.1.

If there exist $\delta > 0$ and points $\xi_1, \ldots, \xi_K \in \mathbb{R}^d$ such that

$$\mathcal{B}(\xi_k, \delta) \subseteq Q_{i_k}^{A^*}, \text{ for all } k = 1, \dots, K,$$

then, for any $c \in \mathbb{C}^K$, the function $f := \sum_{k=1}^K c_k M_{\xi_k} \chi_{\delta}$ satisfies the norm estimate

$$\|f\|_{\mathbf{F}_{p,q}^{\alpha}(A)} \asymp \delta^{d(1-1/p)} \left\| \left(|\det A|^{\alpha i_{k}} |c_{k}| \right)_{k=1}^{K} \right\|_{\ell^{q}},$$
(5.3)

with implicit constants independent of $K, c, \delta, (\xi_k)_{k=1}^K$ and $(i_k)_{k=1}^K$.

Proof We only deal with the case $q < \infty$; the case $q = \infty$ follows by the usual modification. The proof follows (parts of) the arguments proving [9, Proposition 5.5] closely.

Throughout, let δ , $(\xi_k)_{k=1}^K$, $(i_k)_{k=1}^K$, and f be as in the statement of the proposition. Then, since $\mathcal{B}(\xi_k, \delta) \subseteq Q_{i_k}^{A^*}$, it follows that $\operatorname{supp} \widehat{M_{\xi_k}\chi_{\delta}} = \operatorname{supp} T_{\xi_k}\widehat{\chi_{\delta}} \subseteq Q_{i_k}^{A^*}$ for $k = 1, \ldots, K$. On the other hand, $\operatorname{supp} \widehat{\varphi_i^A} = Q_i^{A^*}$ for $i \in \mathbb{N}_0$. Therefore, $M_{\xi_k}\chi_{\delta} * \varphi_i^A = 0$ whenever $|i - i_k| > N$ as then $i \notin N_{i_k}(A^*)$. Since, for fixed $i \in \mathbb{N}_0$, there can be at most one i_k such that $|i - i_k| \leq N$, it follows that

$$f * \varphi_i^A = \sum_{\ell=1}^K c_\ell \cdot (M_{\xi_\ell} \chi_\delta * \varphi_i^A) = \begin{cases} c_k \cdot (M_{\xi_k} \chi_\delta * \varphi_i^A), & \text{if } |i - i_k| \le N \text{ for some } 1 \le k \le K \\ 0, & \text{if } |i - i_k| > N \text{ for all } 1 \le k \le K. \end{cases}$$

Therefore, if $|i - i_k| \le N$, we can estimate

$$|f * \varphi_i^A(x)| \le |c_k| \cdot (|\chi_{\delta}| * |\varphi_i^A|)(x) \lesssim_{d,p,N,\varphi,\Phi,\chi,A} |c_k|\delta^d (1 + |\delta x|)^{-\frac{d}{p}-1},$$
(5.4)

Springer

where the last inequality follows from an application² of [9, Lemma A.3] (applied to the bounded set $Q \cup Q_0$, $\ell = i_k$ and M = d/p + 1). This, together with $|f * \varphi_i^A(x)| = 0$ for $|i - i_k| > N$, yields the estimate

$$\begin{split} \left(\sum_{i\in\mathbb{N}_{0}}\left(|\det A|^{\alpha i}|f*\varphi_{i}^{A}(x)|\right)^{q}\right)^{1/q} &\leq \left(\sum_{k=1}^{K}\sum_{\substack{i\in\mathbb{N}_{0}\\|i-i_{k}|\leq N}}\left(|\det A|^{\alpha i}|f*\varphi_{i}^{A}(x)|\right)^{q}\right)^{1/q} \\ &\lesssim \left(\sum_{k=1}^{K}\left(|\det A|^{\alpha i_{k}}|c_{k}|\delta^{d}(1+|\delta x|)^{-\frac{d}{p}-1}\right)^{q}\right)^{1/q} \\ &= \delta^{d}(1+|\delta x|)^{-\frac{d}{p}-1}\left\|\left(|\det A|^{\alpha i_{k}}|c_{k}|\right)_{k=1}^{K}\right\|_{\ell^{q}}, \end{split}$$

where the penultimate step uses Eq. (5.4) and $N_{i_k}(A^*) \leq_N 1$ for k = 1, ..., K. Hence, taking the L^p -(quasi)-norm yields

$$\|f\|_{\mathbf{F}_{p,q}^{\alpha}(A)} \lesssim \left(\int_{\mathbb{R}^{d}} \left(\delta^{d}(1+|\delta x|)^{-\frac{d}{p}-1}\right)^{p} dx\right)^{1/p} \left\| \left(|\det A|^{\alpha i_{k}}|c_{k}|\right)_{k=1}^{K} \right\|_{\ell^{q}}$$
$$\lesssim_{d,p} \delta^{d(1-1/p)} \left\| \left(|\det A|^{\alpha i_{k}}|c_{k}|\right)_{k=1}^{K} \right\|_{\ell^{q}},$$

which establishes one of the inequalities in Eq. (5.3).

For the reverse inequality, note first for $\varphi_A^{(i)}$ as in Sect. 5.1 that

$$\operatorname{supp}\widehat{\varphi_A^{(i_k)}} \subseteq \bigcup_{\ell=-N}^N \mathcal{Q}_{i_k+\ell}^{A^*} \quad \text{for all } k=1,\ldots,K.$$

The assumption $|i_{k'} - i_k| > 2N$ for $k \neq k'$, together with $N_i(A^*) \subseteq \{j \in \mathbb{N}_0 : |i - j| \leq N\}$ for all $i \in \mathbb{N}_0$ (see Sect. 5.1), yields

$$Q_{i_k}^{A^*} \cap \bigcup_{\ell=-N}^N Q_{i_{k'}+\ell}^{A^*} = \varnothing, \quad k \neq k',$$

and hence $M_{\xi_k} \chi_{\delta} * \varphi_A^{(i_{k'})} = 0$ for $k \neq k'$. Additionally, $\widehat{\varphi_A^{(i_k)}} \equiv 1$ on $Q_{i_k}^{A^*}$, and thus

$$f * \varphi_A^{(i_k)} = c_k \cdot M_{\xi_k} \chi_{\xi_k}$$

for all k = 1, ..., K. Using this identity, together with Lemma 5.3, a direct calculation entails

$$\|f\|_{\mathbf{F}_{p,q}^{\alpha}(A)} \gtrsim \left\| \left(\sum_{k=1}^{K} \left(|\det A|^{\alpha i_{k}} | f * \varphi_{A}^{(i_{k})} | \right)^{q} \right)^{1/q} \right\|_{L^{p}} \ge \|\chi_{\delta}\|_{L^{p}} \left\| \left(|\det A|^{\alpha i_{k}} | c_{k} | \right)_{k=1}^{K} \right\|_{\ell^{q}}.$$

Since $\|\chi_{\delta}\|_{L^p} = \delta^{d(1-1/p)} \|\chi\|_{L^p}$, this finishes the proof.

Theorem 5.8 Let $p \in (0, \infty)$ and $q \in (0, \infty]$. If $\mathbf{F}_{p,q}^0(A) = \mathbf{F}_{p,q}^0(B)$ and A^* and B^* are not coarsely equivalent, then q = 2.

Consequently, if $\mathbf{F}_{p,q}^{0}(A) = \mathbf{F}_{p,q}^{0}(B)$ for some $q \neq 2$, then A^{*} and B^{*} are coarsely equivalent.

² The statement of [9, Lemma A.3] assumes slightly different conditions on φ , but its proof is valid for general Schwartz functions $\varphi \in S(\mathbb{R}^d)$.

Proof Suppose that $\mathbf{F}_{p,q}^0(A) = \mathbf{F}_{p,q}^0(B)$ and that A^* and B^* are not coarsely equivalent. By Lemma 2.2, the latter condition is equivalent to $\sup_{i \in \mathbb{N}_0} |J_i| + \sup_{j \in \mathbb{N}_0} |I_j| = \infty$. Throughout, we assume that $\sup_{j \in \mathbb{N}_0} |I_j| = \infty$, the other case being similar. We split the proof into two steps.

Step 1. In this step, we show that, for arbitrary $K \in \mathbb{N}$, there exist $\delta > 0$ and $j_0 = j_0(K) \in \mathbb{N}_0$, as well as sequences $(i_k)_{k=1}^K \subseteq \mathbb{N}_0$ and $(\xi_k)_{k=1}^K \subseteq \mathbb{R}^d$ satisfying the assumptions of Proposition 5.7 and furthermore $\mathcal{B}(\xi_k, \delta) \subseteq Q_{i_k}^{A^*} \cap P_{j_0}^{B^*}$. Since $\sup_{j \in \mathbb{N}_0} |I_j| = \infty$, there exists $j_0 \in \mathbb{N}_0$ for which $|I_{j_0}| \ge (2N+1)K$, where $N \in \mathbb{N}$

Since $\sup_{j \in \mathbb{N}_0} |I_j| = \infty$, there exists $j_0 \in \mathbb{N}_0^{\wedge}$ for which $|I_{j_0}| \ge (2N+1)K$, where $N \in \mathbb{N}$ is the fixed constant from Sect. 5.1. For n = 0, ..., 2N, set $\mathbb{N}_0^{(n)} := n + (2N+1)\mathbb{N}_0$. Then $I_{j_0} = \bigcup_{n=0}^{2N} (\mathbb{N}_0^{(n)} \cap I_{j_0})$, and hence there exists $n \in \{0, ..., 2N\}$ for which $|I_{j_0} \cap \mathbb{N}_0^{(n)}| \ge K$. Thus, there exist pairwise distinct indices $i_1, ..., i_K \in I_{j_0} \cap \mathbb{N}_0^{(n)}$, which then necessarily satisfy $|i_k - i_{k'}| \ge 2N + 1$ for $k \ne k'$. The intersections $Q_{i_k}^{A^*} \cap P_{j_0}^{B^*} \ne \emptyset$ being open for each $k \in \{1, ..., K\}$, one can choose points $\xi_1, ..., \xi_K \in \mathbb{R}^d$ and a constant $\delta > 0$ such that

$$\mathcal{B}(\xi_k, \delta) \subseteq Q_{i_k}^{A^*} \cap P_{j_0}^{B^*}, \quad k = 1, \dots, K,$$
(5.5)

as required.

Step 2. Let $K \in \mathbb{N}$, and let $\delta > 0$, $j_0 \in \mathbb{N}_0$, as well as $(i_k)_{k=1}^K$ and $(\xi_k)_{k=1}^K$ be as in Step 1, and let $c \in \mathbb{C}^K$ be arbitrary. Given $\theta \in \{-1, +1\}^K$, define

$$f_{\theta,c} := \sum_{k=1}^{K} \theta_k \, c_k \, M_{\xi_k} \, \chi_{\delta} \in \mathcal{S}(\mathbb{R}^d).$$

If θ is considered as a random vector which is uniformly distributed in $\{\pm 1\}^K$ and denoting the expectation with respect to θ by \mathbb{E}_{θ} , then an application of Khintchine's inequality (see, e.g., [18, Proposition 4.5]) gives

$$\mathbb{E}_{\theta} \| f_{\theta,c} \|_{L^{p}}^{p} = \mathbb{E}_{\theta} \int_{\mathbb{R}^{d}} |\chi_{\delta}(x)|^{p} \left| \sum_{k=1}^{K} \theta_{k} c_{k} e^{2\pi i \xi_{k} \cdot x} \right|^{p} dx$$

$$= \int_{\mathbb{R}^{d}} |\chi_{\delta}(x)|^{p} \mathbb{E}_{\theta} \left| \sum_{k=1}^{K} \theta_{k} c_{k} e^{2\pi i \xi_{k} \cdot x} \right|^{p} dx$$

$$\approx \int_{\mathbb{R}^{d}} |\chi_{\delta}(x)|^{p} \left(\sum_{k=1}^{K} |c_{k}|^{2} \right)^{p/2} dx$$

$$\approx \delta^{d(p-1)} \| c \|_{\ell^{2}}^{p}, \qquad (5.6)$$

with implied constants only depending on p, d, χ .

We next apply Propositions 5.5 and 5.7 to $f_{\theta,c}$. First, since

$$\operatorname{supp} \widehat{f_{\theta,c}} \subseteq \bigcup_{k=1}^{K} \mathcal{B}(\xi_k,\delta) \subseteq P_{j_0}^{B^*},$$

an application of Proposition 5.5 gives

$$\|f_{\theta,c}\|_{\mathbf{F}^0_{p,q}(B)} \asymp \|f_{\theta,c}\|_{L^p}.$$

Deringer

On the other hand, an application of Proposition 5.7 yields that

$$||f_{\theta,c}||_{\mathbf{F}^{0}_{p,q}(A)} \asymp \delta^{d(1-1/p)} ||c||_{\ell^{q}}.$$

Since $||f||_{\mathbf{F}_{p,q}^{0}(A)} \simeq ||f||_{\mathbf{F}_{p,q}^{0}(B)}$ by Lemma 5.4, a combination of these estimates yields that $\delta^{d(1-1/p)} ||c||_{\ell^{q}} \simeq ||f_{\theta,c}||_{L^{p}}$ and hence

$$\delta^{d(p-1)} \|c\|_{\ell^q}^p \asymp \|f_{\theta,c}\|_{L^p}^p$$

Combining this in turn with Eq. (5.6) yields $||c||_{\ell^q}^p \simeq ||c||_{\ell^2}^p$, with implicit constants independent of *c* and *K*. Since $K \in \mathbb{N}$ and $c \in \mathbb{C}^K$ were chosen arbitrarily, this implies that q = 2.

5.5 The case $\alpha = 0$ and q = 2

This final subsection treats the Triebel–Lizorkin spaces $\mathbf{F}_{p,2}^{0}(A)$ with $p \in (0, \infty)$. By Proposition 3.1, these spaces correspond to $h^{p}(A) = \mathbf{F}_{p,2}^{0}(A)$ for $p \in (0, 1]$ and to $L^{p} = \mathbf{F}_{p,2}^{0}(A)$ for p > 1. Hence, it remains to consider the case $p \in (0, 1]$.

We start by introducing a family of functions that will be used in the proof of Theorem 5.10 below. Let $A, B \in GL(d, \mathbb{R})$ be expansive matrices. Fix $p \in (0, 1]$ and let

$$s \ge \max\left\{ \left\lfloor \left(\frac{1}{p} - 1\right) \zeta_{-}(A)^{-1} \right\rfloor, \ \left\lfloor \left(\frac{1}{p} - 1\right) \zeta_{-}(B)^{-1} \right\rfloor \right\}.$$
(5.7)

We will consider the following conditions on a measurable function $f : \mathbb{R}^d \to \mathbb{C}$:

- (f1) supp $f \subseteq x_0 + B^{j_1} A^{j_2} \mathcal{B}(0, 1)$ for some $x_0 \in \mathbb{R}^d$ and $j_1 \in \mathbb{N}_0$ and $j_2 \in \mathbb{Z}$;
- (f2) $||f||_{L^{\infty}} \leq |\det B|^{-j_1/p} |\det A|^{-j_2/p};$
- (f3) $\int_{\mathbb{R}^d} f(x) x^{\sigma} dx = 0$ for all $\sigma \in \mathbb{N}_0^d$ satisfying $|\sigma| \le s$.

An essential property of functions satisfying (f1)–(f3) is given by the following lemma. Its proof is more refined than corresponding results for (nonlocal) anisotropic Hardy spaces (see, e.g., the proof of [2, Chapter 1, Theorem 10.5]) due to the fact that dilations D_A^p do generally not act isometrically on local Hardy spaces $h^p(A)$. In addition, we need to consider $j_1 \ge 0$ in condition (f1).

Lemma 5.9 Suppose $h^p(A) = h^p(B)$ for some $p \in (0, 1]$. Then there exists a constant C > 0 such that $||f||_{h^p(A)}, ||f||_{h^p(B)} \le C$ for all functions f satisfying conditions (f1)–(f3).

Proof Recall that since $h^p(A) = h^p(B)$, it follows that $\|\cdot\|_{h^p(A)} \simeq \|\cdot\|_{h^p(B)}$ by a combination of Proposition 3.1 and Lemma 5.4.

Let f satisfy (f1)–(f3). Then the support of $D_{B^{j_1}}^p f$ is $B^{-j_1} \operatorname{supp} f \subseteq B^{-j_1} x_0 + A^{j_2} \mathcal{B}(0, 1)$. Moreover, $D_{B^{j_1}}^p f$ satisfies the norm estimate

$$\|D_{B^{j_1}}^p f\|_{L^{\infty}} = |\det B|^{j_1/p} \|f\|_{L^{\infty}} \le |\det A|^{-j_2/p}.$$

Finally, $\int_{\mathbb{R}^d} D_{B^{j_1}}^p f(x) x^{\sigma} dx = 0$ for all $|\sigma| \leq s$. Thus, by Remark 3.2, the function $D_{B^{j_1}}^p f$ is (a constant multiple of) a (p, s)-atom associated to A. Therefore, by [2, Chapter 1, Theorem 4.2], it follows that $\|D_{B^{j_1}}^p f\|_{H^p(A)} \lesssim 1$, with a constant independent of j_1 and f.

In view of the above and the assumption $\|\cdot\|_{h^p(A)} \simeq \|\cdot\|_{h^p(B)}$, it remains to prove the estimate $||f||_{h^p(B)} \lesssim ||D_{B^{j_1}}^p f||_{H^p(A)}$. For this, note first that, for any measurable function $h: \mathbb{R}^d \to \mathbb{C}$ and any $x \in \mathbb{R}^d$,

$$\begin{split} M_{\phi,B}^{0,\text{loc}}[D_B^p h](x) &= \sup_{j \in \mathbb{N}_0} |\det B|^j |((D_B^p h) * (\phi \circ B^j))(x)| \\ &= \sup_{j \in \mathbb{N}_0} |\det B|^{1/p} |\det B|^{j-1} |(h * (\phi \circ B^{j-1}))(Bx)| \\ &\geq |\det B|^{1/p} \sup_{\ell \in \mathbb{N}_0} |\det B|^\ell |(h * (\phi \circ B^\ell))(Bx)| \\ &= |\det B|^{1/p} (M_{\phi,B}^{0,\text{loc}} h)(Bx). \end{split}$$

Hence,

 $\|h\|_{h^{p}(B)} = \|M_{\phi,B}^{0,\text{loc}}h\|_{L^{p}} = |\det B|^{1/p} \|(M_{\phi,B}^{0,\text{loc}}h)(B\cdot)\|_{L^{p}} \le \|M_{\phi,B}^{0,\text{loc}}[D_{B}^{p}h]\|_{L^{p}} = \|D_{B}^{p}h\|_{h^{p}(B)},$

which implies, in particular, that $||f||_{h^p(B)} \leq ||D^p_{B^{j_1}}f||_{h^p(B)}$ since $j_1 \geq 0$. Second, by definition, it holds that $H^p(A) \hookrightarrow h^p(A)$. All in all, this gives

$$\|f\|_{h^{p}(B)} \leq \|D_{B^{j_{1}}}^{p}f\|_{h^{p}(B)} \lesssim \|D_{B^{j_{1}}}^{p}f\|_{h^{p}(A)} \lesssim \|D_{B^{j_{1}}}^{p}f\|_{H^{p}(A)} \lesssim 1,$$

second inequality follows from $\|\cdot\|_{h^{p}(A)} \approx \|\cdot\|_{h^{p}(B)}.$

where the second inequality follows from $\|\cdot\|_{h^p(A)} \simeq \|\cdot\|_{h^p(B)}$.

The following theorem provides the desired necessary condition for the equality of anisotropic local Hardy spaces associated to different expansive matrices A, B. Its proof structure is analogous to the classification of anisotropic (nonlocal) Hardy spaces in [2], with various essential modifications; see also Remark 5.11.

Theorem 5.10 If $h^p(A) = h^p(B)$ for some $p \in (0, 1]$, then A^* and B^* are coarsely equivalent.

Proof Arguing by contradiction, assume that A^* and B^* are not coarsely equivalent. Then, by Lemma 2.1, it follows for $\varepsilon = \ln |\det A^*| / \ln |\det B^*| = \ln |\det A| / \ln |\det B|$ that

$$\sup_{k\in\mathbb{N}} \|B^{\lfloor\varepsilon k\rfloor}A^{-k}\| = \sup_{k\in\mathbb{N}} \|(A^*)^{-k}(B^*)^{\lfloor\varepsilon k\rfloor}\| = \infty.$$

Hence, by passing to a subsequence if necessary, it may be assumed that

$$\lim_{k \to \infty} \|B^{\lfloor \varepsilon k \rfloor} A^{-k}\| = \infty.$$

Let $d(k) \in \mathbb{Z}$ be minimal with the property that $||B^{\lfloor \varepsilon k \rfloor}A^{-k-d(k)}|| \leq 1$. Then, as in [2, Chapter 1, Theorem 10.5], it follows that $1 < \|B^{\lfloor \varepsilon k \rfloor}A^{-k-(d(k)-1)}\| < \|B^{\lfloor \varepsilon k \rfloor}A^{-k-d(k)}\|$. ||A||, and hence

$$1 \ge c(k) := \|B^{\lfloor \varepsilon k \rfloor} A^{-k-d(k)}\| \ge \|A\|^{-1}.$$
(5.8)

Moreover, we have $d(k) \rightarrow \infty$ as $k \rightarrow \infty$, which follows by recalling that $||B^{\lfloor \varepsilon k \rfloor} A^{-k-d(k)}|| \le 1$, and hence

$$\|A\|^{d(k)} \ge \|A^{d(k)}\| \ge \|B^{\lfloor \mathcal{E}k \rfloor} A^{-k-d(k)}\| \cdot \|A^{d(k)}\| \ge \|B^{\lfloor \mathcal{E}k \rfloor} A^{-k}\| \to \infty$$

as $k \to \infty$.

In order to simplify notation, denote

$$Q_k := B^{\lfloor \varepsilon k \rfloor} A^{-k-d(k)}$$

Springer

and let $z_k \in \mathbb{R}^d$ be such that

$$|z_k| = 1$$
 and $|Q_k z_k| = ||Q_k|| = c(k)$.

In addition, let $U_k \in \mathbb{R}^{d \times d}$ be an orthogonal matrix satisfying $U_k e_1 = z_k$, where e_1 denotes the first element of the canonical basis for \mathbb{R}^d . Using the matrices Q_k and U_k for $k \in \mathbb{N}$, we define the sequence of functions

$$f_k := D_{Q_k^{-1}}^p D_{U_k^{-1}}^p f_0,$$

where $f_0 : \mathbb{R}^d \to \mathbb{C}$ is a bounded measurable function satisfying

$$f_0(x) = \begin{cases} \delta_0 > 0, & \text{if } x \in \mathcal{B}\left(\frac{3}{4}e_1, \frac{1}{4}\right) \\ 0, & \text{if } x \notin \mathcal{B}\left(0, \frac{1}{2}\right) \cup \mathcal{B}\left(\frac{3}{4}e_1, \frac{1}{4}\right) \end{cases}$$
(5.9)

and such that conditions (f1)–(f3) hold with $x_0 = 0$ and $j_1 = j_2 = 0$. The existence of such a function is guaranteed by Lemma A.1. It is then not hard to see that also each function f_k , $k \in \mathbb{N}$, satisfies conditions (f1)–(f3) with $x_0 = 0$, $j_1 = \lfloor \varepsilon k \rfloor$ and $j_2 = -k - d(k)$.

The remainder of the proof is split into two steps, which consider the cases p < 1 and p = 1 separately.

Step 1. (*Case* p < 1). In this step, we show that $||f_k||_{h^p(B)} \to \infty$ as $k \to \infty$. Since $||f_k||_{h^p(B)} \lesssim 1$ by Lemma 5.9, this will provide the desired contradiction.

Since $Q_k U_k \mathcal{B}(0, \frac{1}{2}) \subseteq \mathcal{B}(0, \frac{c(k)}{2})$ and $Q_k U_k \mathcal{B}(\frac{3}{4}e_1, \frac{1}{4}) = Q_k \mathcal{B}(\frac{3}{4}z_k, \frac{1}{4}) \subseteq \mathcal{B}(\frac{3}{4}Q_k z_k, \frac{1}{4})$, it follows by the definition of f_k and (5.9) that if $f_k(x) \neq 0$ for $x \in \mathbb{R}^d \setminus \mathcal{B}(0, \frac{c(k)}{2})$, then

$$f_k(x) = \delta_0 |\det B|^{-\frac{\lfloor \varepsilon k \rfloor}{p}} |\det A|^{\frac{k+d(k)}{p}} =: \delta_k, \quad \text{and} \quad x \in Q_k \mathcal{B}\left(\frac{3}{4}z_k, \frac{1}{4}\right).$$
(5.10)

Let $\phi \in \mathcal{S}(\mathbb{R}^d)$ be a fixed nonnegative Schwartz function satisfying $\phi \equiv 1$ on $\mathcal{B}(0, \frac{1}{8} ||A||^{-1})$ and $\phi \equiv 0$ outside of $\mathcal{B}(0, \frac{3}{16} ||A||^{-1})$. Then, for $z \in \mathbb{R}^d$,

$$M_{\phi,B}^{0,\text{loc}} f_k(z) \ge |f_k * \phi(z)| = \left| \int_{\mathbb{R}^d} f_k(x)\phi(z-x) \, dx \right|.$$
(5.11)

Fix $z \in \mathcal{B}(\frac{3}{4}Q_k z_k, \frac{c(k)}{16} ||A||^{-1}) \subset \mathcal{B}(\frac{3}{4}Q_k z_k, \frac{1}{16} ||A||^{-1})$ for the moment. Then $\phi(z - x) \neq 0$ implies that

$$x = -(z - x) + z \in \mathcal{B}(0, \frac{3}{16} \|A\|^{-1}) + \mathcal{B}(\frac{3}{4}Q_k z_k, \frac{1}{16} \|A\|^{-1}) \subseteq \mathcal{B}(\frac{3}{4}Q_k z_k, \frac{1}{4} \|A\|^{-1}),$$

so that Eq. (5.8) implies

$$|x| \ge \frac{3}{4} |Q_k z_k| - \frac{1}{4} ||A||^{-1} \ge \frac{3}{4} c(k) - \frac{1}{4} c(k) = \frac{c(k)}{2}$$

and hence $x \in \mathbb{R}^d \setminus \mathcal{B}(0, \frac{c(k)}{2})$. Using Eq. (5.10), it follows therefore that

$$M_{\phi,B}^{0,\text{loc}} f_k(z) \ge \delta_k \int_{\mathbb{R}^d} \mathbb{1}_{Q_k \mathcal{B}(3/4z_k, 1/4)}(x) \phi(z-x) \, dx$$

$$\ge \delta_k m \left(\mathcal{B}\left(z, \frac{1}{8} \|A\|^{-1}\right) \cap Q_k \mathcal{B}\left(\frac{3}{4} z_k, \frac{1}{4}\right) \right)$$

Now, an application of [2, Chapter 1, Lemma 10.6] (with $r = \frac{1}{2} ||A||^{-1} \le 1/2$ and $P = \frac{1}{4} Q_k$) yields because of $||P||r = \frac{\frac{1}{4} ||Q_k||}{2||A||} \le \frac{1}{8} ||A||^{-1}$ and because of $z - \frac{3}{4} Q_k z_k \in \mathcal{B}(0, ||P||_2^r)$ that

$$m \left(\mathcal{B}\left(z, \frac{1}{8} \|A\|^{-1}\right) \cap Q_k \mathcal{B}\left(\frac{3}{4} z_k, \frac{1}{4}\right) \right) = m \left(\mathcal{B}\left(z - \frac{3}{4} Q_k z_k, \frac{1}{8} \|A\|^{-1}\right) \cap \frac{1}{4} Q_k \mathcal{B}(0, 1) \right) \\ \geq m \left(\mathcal{B}\left(z - \frac{3}{4} Q_k z_k, \|P\| \cdot r\right) \cap P \mathcal{B}(0, 1) \right) \\ \geq \left(\frac{r}{2}\right)^d \cdot m \left(P \mathcal{B}(0, 1) \right) \\ = (16 \|A\|)^{-d} \cdot |\det Q_k| \cdot m \left(\mathcal{B}(0, 1) \right),$$

so that

$$\delta_k \cdot \mathbf{m}\left(\mathcal{B}\left(z, \frac{1}{8} \|A\|^{-1}\right) \cap Q_k \mathcal{B}\left(\frac{3}{4} z_k, \frac{1}{4}\right)\right) \ge |\det Q_k| \cdot \mathbf{m}(\mathcal{B}(0, 1)) \cdot \delta_k \cdot (16 \|A\|)^{-d}.$$

Since

$$|\det Q_k| \cdot \delta_k = \delta_0 \cdot |\det B|^{\lfloor \mathcal{E}k \rfloor} \cdot |\det A|^{-k-d(k)} \cdot |\det B|^{-\frac{\lfloor \mathcal{E}k \rfloor}{p}} \cdot |\det A|^{\frac{k+d(k)}{p}}$$

$$\geq \delta_0 \cdot |\det B|^{\mathcal{E}k \left(1-\frac{1}{p}\right)} \cdot |\det A|^{(k+d(k)) \left(\frac{1}{p}-1\right)}$$

$$\gtrsim |\det A|^{k \left(1-\frac{1}{p}\right)} |\det A|^{(k+d(k)) \left(\frac{1}{p}-1\right)}$$

$$= |\det A|^{d(k) \left(\frac{1}{p}-1\right)},$$

by definition of δ_k in Eq. (5.10) and because $\varepsilon = \ln |\det A| / \ln |\det B|$, a combination of the above inequalities gives

$$\delta_k \cdot \mathbf{m}\left(\mathcal{B}\left(z, \frac{1}{8} \|A\|^{-1}\right) \cap \mathcal{Q}_k \mathcal{B}\left(\frac{3}{4} z_k, \frac{1}{4}\right)\right) \gtrsim |\det A|^{d(k)(1/p-1)}.$$

Recall that $z \in \mathcal{B}(\frac{3}{4}Q_k z_k, \frac{c(k)}{16} ||A||^{-1})$ was arbitrary. Thus, combining the estimates obtained above and recalling from Eq. (5.8) that $c(k) \ge ||A||^{-1}$ gives

$$\|f_k\|_{h^p(B)}^p = \int_{\mathbb{R}^d} \left(M_{\phi,B}^{0,\text{loc}} f_k(z) \right)^p dz \ge \int_{\mathcal{B}\left(\frac{3}{4}Q_k z_k, \frac{c(k)}{16} \|A\|^{-1}\right)} \left(M_{\phi,B}^{0,\text{loc}} f_k(z) \right)^p dz \\\gtrsim |\det A|^{d(k)(1-p)},$$

which shows that $||f_k||_{h^p(B)} \to \infty$ as $k \to \infty$, since $d(k) \to \infty$ and p < 1, as well as $|\det A| > 1$. As noted at the beginning of this step, this completes the proof for the case p < 1.

Step 2. (*Case* p = 1). Since $||A||^{-1} \le c(k) = ||Q_k|| \le 1$ and $|z_k| = 1$, by passing to a subsequence if necessary, we can assume that $Q_k \to Q$, as well as $U_k \to U$ and $z_k \to z^*$ for a matrix $Q \in \mathbb{R}^{d \times d}$ satisfying $||A||^{-1} \le ||Q|| \le 1$, a vector $z^* \in \mathbb{R}^d$ satisfying $||z^*| = 1$, and an orthogonal matrix $U \in \mathbb{R}^{d \times d}$. Note because of $\varepsilon = \ln |\det A| / \ln |\det B|$ and $d(k) \to \infty$ that

$$|\det Q_k| = |\det B|^{\lfloor \varepsilon k \rfloor} |\det A|^{-k-d(k)} \le |\det B|^{\varepsilon k} |\det A|^{-k-d(k)}$$
$$= |\det A|^k |\det A|^{-k-d(k)} = |\det A|^{-d(k)} \to 0,$$

so that $|\det Q| = 0$, meaning that Q is *not* invertible.

Next, for an arbitrary bounded, continuous function $g \in C_b(\mathbb{R}^d)$, we have

$$\begin{split} \int_{\mathbb{R}^d} f_k(x)g(x) \, dx &= \int_{\mathbb{R}^d} |\det Q_k^{-1}| \cdot (D^1_{U_k^{-1}} f_0)(Q_k^{-1}x) \cdot g(Q_k Q_k^{-1}x) \, dx \\ &= \int_{\mathbb{R}^d} (D^1_{U_k^{-1}} f_0)(y)g(Q_k y) \, dy \end{split}$$

Deringer

$$= \int_{\mathbb{R}^d} |\det U_k^{-1}| f_0(U_k^{-1}y)g(Q_k U_k U_k^{-1}y) dy$$

$$= \int_{\mathbb{R}^d} f_0(z)g(Q_k U_k z) dz$$

$$\to \int_{\mathbb{R}^d} f_0(z)g(Q U z) dz =: \int_{\mathbb{R}^d} g(x) d\mu(x),$$

for a uniquely determined regular, real-valued (finite) Borel measure μ on \mathbb{R}^d . The convergence above follows from the dominated convergence theorem, since f_0 and g are bounded, with f_0 of compact support, and since $g(Q_k U_k z) \rightarrow g(QUz)$ by continuity of g. Note that $\sup \mu \subseteq \operatorname{range}(QU)$, which is a proper subspace of \mathbb{R}^d , since $Q \in \mathbb{R}^{d \times d}$ is not invertible and thus not surjective. Hence, μ is mutually singular with respect to the Lebesgue measure. Note furthermore that the above implies $f_k \rightarrow \mu$ in the sense of tempered distributions.

To show that $\mu \neq 0$, choose $0 < c < \frac{1}{4} ||A||^{-1}$, and note

$$|QUe_1| = \lim_k |Q_k U_k e_1| = \lim_k |Q_k z_k| = \lim_k |Q_k| = ||Q||,$$

which implies for any $z \in \mathcal{B}(0, \frac{1}{2})$ that

$$\begin{split} \left| QUz - \frac{3}{4}QUe_1 \right| &\geq \frac{3}{4} |QUe_1| - |QUz| \geq \frac{3}{4} ||Q|| - ||Q|| \cdot |Uz| \\ &\geq \frac{3}{4} ||Q|| - \frac{1}{2} ||Q|| = \frac{1}{4} ||Q|| \geq \frac{1}{4} ||A||^{-1} > c. \end{split}$$

Choose a nonnegative, continuous function $g \in C(\mathbb{R}^d)$ satisfying supp $g \subseteq \mathcal{B}(\frac{3}{4}QUe_1, c)$ and $g(\frac{3}{4}QUe_1) = 1$. By what we just showed, we then have g(QUz) = 0 for all $z \in \mathcal{B}(0, \frac{1}{2})$. By the properties of f_0 (see Eq. (5.9)), we then see

$$\begin{split} \int_{\mathbb{R}^d} g(x) \, d\mu(x) &= \int_{\mathbb{R}^d} f_0(z) g(QUz) \, dz \\ &= \int_{\mathcal{B}\left(0, \frac{1}{2}\right)} f_0(z) g(QUz) \, dz + \delta_0 \int_{\mathcal{B}\left(0, \frac{1}{4}\right)} g\left(QU\left(\frac{3}{4}e_1 + z\right)\right) \, dz \\ &= \delta_0 \int_{\mathcal{B}\left(0, \frac{1}{4}\right)} g\left(QU\left(\frac{3}{4}e_1 + z\right)\right) \, dz > 0, \end{split}$$

since the domain of integration is open and the integrand is continuous, nonnegative, and strictly positive at z = 0.

We will now show that the tempered distribution μ satisfies $\mu \in h^1(B) \subseteq L^1$, which will yield the desired contradiction. For this, fix a nonnegative, nonzero Schwartz function ϕ . Then an application of Fatou's lemma yields

$$\|\mu\|_{h^1(B)} \asymp \int_{\mathbb{R}^d} M_{\phi,B}^{0,\mathrm{loc}}\mu(x) \, dx \leq \liminf_{k \to \infty} \int_{\mathbb{R}^d} M_{\phi,B}^{0,\mathrm{loc}}f_k(x) \, dx \asymp \liminf_{k \to \infty} \|f_k\|_{h^1(B)}.$$

Since $||f_k||_{h^p(B)} \leq 1$ for all $k \in \mathbb{N}$ by Lemma 5.9, this shows that $\mu \in h^1(B) \subseteq L^1$, which is a contradiction, since $\mu \neq 0$ is mutually singular with respect to the Lebesgue measure. \Box

Remark 5.11 While being based on the same general ideas, the proof for the case p = 1 above adds a significant detail that was missing in the proof of [2, Chapter 1, Theorem 10.5]. The reason is that one of the claims used in [2] appears not correct as stated: In [2], it is effectively claimed that if $(f_n)_{n \in \mathbb{N}}$ is a sequence in L^1 with uniformly bounded supports that converges in the sense of tempered distributions to some real-valued Borel measure μ ,

and such that $m(\overline{\text{supp}} f_n) \to 0$ as $n \to \infty$, then μ is mutually singular with respect to the Lebesgue measure.

To see that this claim is not correct in general, let $f_n : \mathbb{R} \to [0, \infty), n \in \mathbb{N}$, be defined by

$$f_n(x) = \frac{1}{n} \sum_{i=1}^n \frac{n^2}{2} \mathbb{1}_{\frac{i}{n} + [-n^{-2}, n^{-2}]}.$$

Then $||f_n||_{L^1} = 1$, and $m(\overline{\text{supp}} f_n) \le \frac{2}{n}$, so that $m(\overline{\text{supp}} f_n) \to 0$ as $n \to \infty$., However, it follows by standard arguments hat $f_n \to \mathbb{1}_{[0,1]}$ in the weak-*-topology of $M(\mathbb{R}) = (C_0(\mathbb{R}))^*$, so that $\lim_n f_n \in L^1$ is *not* singular with respect to the Lebesgue measure m.

5.6 Proof of Theorem 5.1

Combining the results from the previous subsections, we can prove Theorem 5.1.

Proof of Theorem 5.1 If $\mathbf{F}_{p,q}^{\alpha}(A) = \mathbf{F}_{p,q}^{\alpha}(B)$ for some $\alpha \neq 0$, then case (i) follows by Theorem 5.6. If $\mathbf{F}_{p,q}^{0}(A) = \mathbf{F}_{p,q}^{0}(B)$ for some $p \in (0, \infty)$ and $q \neq 2$, then case (i) follows from Theorem 5.8. Lastly, if $\mathbf{F}_{p,q}^{0}(A) = \mathbf{F}_{p,q}^{0}(B)$ for $p \in (0, 1]$ and q = 2, then case (i) follows from Theorem 5.10, combined with Proposition 3.1. In the remaining case, we have $\alpha = 0$, q = 2, and $p \in (1, \infty)$, so that case (ii) of Theorem 5.1 holds.

5.7 Proof of Theorem 1.1

Theorem 5.1 shows that (i) implies (iii), whereas Proposition 4.2 shows that (iii) implies (ii). The remaining implication is immediate.

Appendix A: Postponed proofs

Lemma A.1 Let $s, d \in \mathbb{N}$, and let $e_1 = (1, 0, \dots, 0) \in \mathbb{R}^d$ denote the first standard basis vector. There exists a bounded measurable function $f : \mathbb{R}^d \to \mathbb{C}$ satisfying

$$f(x) = \begin{cases} 1, & \text{if } x \in \mathcal{B}\left(\frac{3}{4}e_{1}, \frac{1}{4}\right) \\ 0, & \text{if } x \notin \mathcal{B}\left(0, \frac{1}{2}\right) \cup \mathcal{B}\left(\frac{3}{4}e_{1}, \frac{1}{4}\right) \end{cases}$$

and $\int_{\mathbb{R}^d} f(x) x^{\sigma} dx = 0$ for all $\sigma \in \mathbb{N}_0^d$ with $|\sigma| \leq s$.

Proof Define $n_s := |\{\sigma \in \mathbb{N}_0^d : |\sigma| \le s\}|$ and $v \in \mathbb{R}^{n_s}$ by $v_\sigma := -\int_{\mathcal{B}(\frac{3}{4}e_1, \frac{1}{4})} x^{\sigma} dx$. Then, the linear function

$$\theta: \quad L^{\infty}(\mathcal{B}(0, 1/2)) \to \mathbb{R}^{n_s}, \quad h \mapsto \left(\int_{\mathcal{B}(0, \frac{1}{2})} h(x) x^{\sigma} \, dx\right)_{|\sigma| \le s}$$

is surjective. Indeed, if this was not the case, since range(θ) is finite-dimensional, there would exist $c \in \mathbb{R}^{n_s}$ with $c \neq 0$ but $c \perp \text{range}(\theta)$, which then implies for the nonzero polynomial $p(x) := \sum_{|\sigma| \le s} c_{\sigma} x^{\sigma}$ that $0 = \int_{\mathcal{B}(0,\frac{1}{2})} h(x) p(x) dx$ for all $h \in L^{\infty}(\mathcal{B}(0,\frac{1}{2}))$, which is absurd.

Deringer

Hence, there exists $h \in L^{\infty}(\mathcal{B}(0, 1/2))$ such that $\theta(h) = v$. Define $f : \mathbb{R}^d \to \mathbb{C}$ by

$$f(x) = \begin{cases} h(x) & \text{if } x \in \mathcal{B}\left(0, \frac{1}{2}\right), \\ 1 & \text{if } x \in \mathcal{B}\left(\frac{3}{4}e_{1}, \frac{1}{4}\right), \\ 0 & \text{if } x \notin \mathcal{B}\left(0, \frac{1}{2}\right) \cup \mathcal{B}\left(\frac{3}{4}e_{1}, \frac{1}{4}\right). \end{cases}$$

Then, given $\sigma \in \mathbb{N}_0^d$ with $|\sigma| \leq s$, we have

$$\int_{\mathbb{R}^d} f(x) x^{\sigma} \, dx = \int_{\mathcal{B}(0,\frac{1}{2})} h(x) x^{\sigma} \, dx + \int_{\mathcal{B}(\frac{3}{4}e_1,\frac{1}{4})} x^{\sigma} \, dx = 0,$$

as desired.

The next lemma is part of the folklore. However, since we could not locate a reference and since the properties derived in the lemma are crucial for our arguments (see Lemma 5.4), we provide a short proof.

Lemma A.2 Let $A \in GL(d, \mathbb{R})$ be expansive and let $\alpha \in \mathbb{R}$, $p \in (0, \infty)$, and $q \in (0, \infty]$. Then the following assertions hold:

(i) The quasi-norm $\|\cdot\|_{\mathbf{F}_{p,q}^{\alpha}(A)}$ is an r-norm for $r := \min\{1, p, q\}$, that is,

$$\|f_1 + f_2\|_{\mathbf{F}_{p,q}^{\alpha}(A)}^r \le \|f_1\|_{\mathbf{F}_{p,q}^{\alpha}(A)}^r + \|f_2\|_{\mathbf{F}_{p,q}^{\alpha}(A)}^r$$

- for all $f_1, f_2 \in \mathbf{F}_{p,q}^{\alpha}(A)$; (ii) The space $\mathbf{F}_{p,q}^{\alpha}(A)$ is complete with respect to the quasi-norm $\|\cdot\|_{\mathbf{F}_{p,q}^{\alpha}(A)}$;
- (iii) If $(f_n)_{n\in\mathbb{N}}$ is a sequence in $\mathbf{F}_{p,q}^{\alpha}(A)$ satisfying $f_n \to f_0$ with convergence in $\mathbf{F}_{p,q}^{\alpha}(A)$, then $\langle f_n, \phi \rangle \rightarrow \langle f_0, \phi \rangle$ for all $\phi \in \mathcal{F}(C_c^{\infty}(\mathbb{R}^d))$.

Proof (i) Let $r := \min\{1, p, q\}$. To ease notation, define $C_f(x) := (|\det A|^{\alpha i} | f *$ $\varphi_i^A(x)|_{i\in\mathbb{N}_0}$ for $f\in\mathcal{S}'$ and $x\in\mathbb{R}^d$. Then

$$\|f\|_{\mathbf{F}_{p,q}^{\alpha}}^{r} = \|\|C_{f}(\cdot)\|_{\ell^{q}}\|_{L^{p}}^{r} = \|\|(C_{f}(\cdot))^{r}\|_{\ell^{q/r}}^{1/r}\|_{L^{p}}^{r} = \|\|(C_{f}(\cdot))^{r}\|_{\ell^{q/r}}\|_{L^{p/r}}^{r}.$$

Since $r \le 1$, we have $C_{f_1+f_2}^r \le C_{f_1}^r + C_{f_2}^r$. Since moreover q/r, $p/r \ge 1$, applications of the triangle inequality yield

$$\|f_{1}+f_{2}\|_{\mathbf{F}_{p,q}^{\alpha}}^{r} \leq \|\|\left(C_{f_{1}}(\cdot)\right)^{r}\|_{\ell^{q/r}}\|_{L^{p/r}} + \|\|\left(C_{f_{2}}(\cdot)\right)^{r}\|_{\ell^{q/r}}\|_{L^{p/r}} = \|f_{1}\|_{\mathbf{F}_{p,q}^{\alpha}}^{r} + \|f_{2}\|_{\mathbf{F}_{p,q}^{\alpha}}^{r},$$

as required.

(ii) Let $\mathcal{D} := \{D = A^j([0, 1]^d + k) : j \in \mathbb{Z}, k \in \mathbb{Z}^d\}$ and $\mathcal{D}_0 := \{D \in \mathcal{D} : m(D) \le 1\}$. For a complex-valued sequence $c = (c_D)_{D \in \mathcal{D}_0}$, define

$$\|c\|_{\mathbf{f}_{p,q}^{\alpha}(A)} := \left\| \left(\sum_{D \in \mathcal{D}_{0}} \left(\mathbf{m}(D)^{-\alpha - 1/2} |c_{D}| \mathbb{1}_{D} \right)^{q} \right)^{1/q} \right\|_{L^{p}} \in [0, \infty],$$

and set $\mathbf{f}_{p,q}^{\alpha}(A) := \{c \in \mathbb{C}^{\mathcal{D}_0} : \|c\|_{\mathbf{f}_{p,q}^{\alpha}(A)} < \infty\}$. Then it is easily verified that $\mathbf{f}_{p,q}^{\alpha}(A)$, with respect to the quasi-norm $\|\cdot\|_{\mathbf{f}_{p,q}^{\alpha}(A)}$, is a (solid) quasi-normed function space on \mathcal{D}_{0} , in the sense of [16, Section 2.2] and [11, Section 2]. Moreover, $\mathbf{f}_{p,q}^{\alpha}(A)$ satisfies the Fatou property, and hence it is complete, see, e.g., [16, Lemma 2.2.15] and [11, Proposition 2.2] (combined with [11, Remark 2.1]).

By [5, Section 3.3], there exist two bounded linear maps $S: \mathbf{F}_{p,q}^{\alpha}(A) \to \mathbf{f}_{p,q}^{\alpha}(A)$ and $T: \mathbf{f}_{p,q}^{\alpha}(A) \to \mathbf{F}_{p,q}^{\alpha}(A)$ satisfying $T \circ S = \mathrm{id}_{\mathbf{F}_{p,q}^{\alpha}(A)}$. Hence, if $(f_n)_{n \in \mathbb{N}}$ is a Cauchy

sequence in $\mathbf{F}_{p,q}^{\alpha}(A)$, then the sequence $(c^{(n)})_{n \in \mathbb{N}}$ given by $c^{(n)} = Sf_n \in \mathbf{f}_{p,q}^{\alpha}(A)$ is Cauchy in $\mathbf{f}_{p,q}^{\alpha}(A)$, and thus converges to some $c \in \mathbf{f}_{p,q}^{\alpha}(A)$. Since *T* is bounded, this easily implies that $f_n = T(Sf_n) = T(c^{(n)}) \rightarrow Tc \in \mathbf{F}_{p,q}^{\alpha}(A)$, which shows that $\mathbf{F}_{p,q}^{\alpha}(A)$ is complete. (iii) Choose an *A*-analyzing pair (φ, Φ) that satisfies properties $(c_1)-(c_3)$. Let $(f_n)_{n\in\mathbb{N}}$ be a sequence in $\mathbf{F}_{p,q}^{\alpha}(A)$ that converges in $\mathbf{F}_{p,q}^{\alpha}(A)$ to some $f_0 \in \mathbf{F}_{p,q}^{\alpha}(A)$. Let $\phi \in \mathcal{F}(C_c^{\infty}(\mathbb{R}^d))$ and note by elementary properties of the Fourier transform (see [12, Theorem 7.19]) and

because of $\sum_{i \in \mathbb{N}_0} \widehat{\varphi_i^A} \equiv 1$ (see property (c3)) that

$$\langle f_n, \phi \rangle = \langle \widehat{f}_n, \mathcal{F}^{-1}\phi \rangle = \sum_{i \in \mathbb{N}_0} \langle \widehat{f}_n, \widehat{\varphi_i^A} \cdot \mathcal{F}^{-1}\phi \rangle = \sum_{i \in \mathbb{N}_0} \langle f_n * \varphi_i^A, \phi \rangle$$

for any $n \in \mathbb{N}_0$. Moreover, there exists a finite set $I_{\phi} \subseteq \mathbb{N}_0$ independent of n, such that $\langle f_n * \varphi_i^A, \phi \rangle = 0$ for all $n \in \mathbb{N}_0$ and all $i \in \mathbb{N}_0 \setminus I_{\phi}$. Thus, it remains to show

$$\langle f_n * \varphi_i^A, \phi \rangle \to \langle f_0 * \varphi_i^A, \phi \rangle$$

as $n \to \infty$, for all $i \in \mathbb{N}_0$. To see this, we will use [3, Corollary 3.2], which yields a constant C > 0 and some $N \in \mathbb{N}$ such that

$$\sup_{x \in \mathbb{R}^d} \frac{|h(x)|}{(1+|x|)^N} \le C^{i+1} ||h||_{L^p} \text{ for all } h \in \mathcal{S}'(\mathbb{R}^d) \text{ with } \overline{\operatorname{supp}} \widehat{h} \subseteq \overline{\operatorname{supp}} \widehat{\varphi_i^A}.$$

Hence, in particular,

$$\sup_{x \in \mathbb{R}^d} \frac{|(f_0 * \varphi_i^A - f_n * \varphi_i^A)(x)|}{(1+|x|)^N} \le C^{i+1} ||f_0 * \varphi_i^A - f_n * \varphi_i^A||_{L^p}$$
$$\le C^{i+1} |\det A|^{-\alpha i} ||f_0 - f_n||_{\mathbf{F}_{p,q}^{\alpha}(A)},$$

which easily implies that $f_n * \varphi_i^A \to f_0 * \varphi_i^A$ in $\mathcal{S}'(\mathbb{R}^d)$, as $n \to \infty$. Thus, we see that $\langle f_n * \varphi_i^A, \phi \rangle \to \langle f_0 * \varphi_i^A, \phi \rangle$, as desired.

Acknowledgements For J.v.V., this research was funded in whole or in part by the Austrian Science Fund (FWF): 10.55776/J4555. For open access purposes, the author has applied a CC BY public copyright license to any author-accepted manuscript version arising from this submission. J.v.V. is grateful for the hospitality and support of the Katholische Universität Eichstätt-Ingolstadt during his visit. F. V. acknowledges support by the Hightech Agenda Bavaria.

Funding Open access funding provided by University of Vienna.

Data availability No data was used for the research described in the article.

Open Access This article is licensed under a Creative Commons Attribution 4.0 International License, which permits use, sharing, adaptation, distribution and reproduction in any medium or format, as long as you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons licence, and indicate if changes were made. The images or other third party material in this article are included in the article's Creative Commons licence, unless indicated otherwise in a credit line to the material. If material is not included in the article's Creative Commons licence and your intended use is not permitted by statutory regulation or exceeds the permitted use, you will need to obtain permission directly from the copyright holder. To view a copy of this licence, visit http://creativecommons.org/licenses/by/4.0/.

References

1. Betancor, J.J., Damián, W.: Anisotropic local Hardy spaces. J. Fourier Anal. Appl. 16(5), 658–675 (2010)

- 2. Bownik, M.: Anisotropic Hardy spaces and wavelets. Mem. Am. Math. Soc. 164(781), vi+122 (2003)
- Bownik, M.: Atomic and molecular decompositions of anisotropic Besov spaces. Math. Z. 250(3), 539– 571 (2005)
- Bownik, M.: Anisotropic Triebel–Lizorkin spaces with doubling measures. J. Geom. Anal. 17(3), 387–424 (2007)
- Bownik, M., Ho, K.-P.: Atomic and molecular decompositions of anisotropic Triebel–Lizorkin spaces. Trans. Am. Math. Soc. 358(4), 1469–1510 (2006)
- Cheshmavar, J., F
 ühr, H.: A classification of anisotropic Besov spaces. Appl. Comput. Harmon. Anal. 49(3), 863–896 (2020)
- Hu, G.: Littlewood–Paley characterization of weighted anisotropic Hardy spaces. Taiwan. J. Math. 17(2), 675–700 (2013)
- Koppensteiner, S., Van Velthoven, J.T., Voigtlaender, F.: Anisotropic Triebel–Lizorkin spaces and wavelet coefficient decay over one-parameter dilation groups, I. Monatshefte Math. 201(2), 375–429 (2023)
- Koppensteiner, S., van Velthoven, J.T., Voigtlaender, F.: Classification of anisotropic Triebel–Lizorkin spaces. Math. Ann. 389(2), 1883–1923 (2024)
- Liu, J., Yang, D., Yuan, W.: Littlewood–Paley characterizations of weighted anisotropic Triebel–Lizorkin spaces via averages on balls I. Z. Anal. Anwend. 38(4), 397–418 (2019)
- 11. Lorist, E., Nieraeth, Z.: Banach function spaces done right. Indag. Math. New Ser. 35(2), 247-268 (2024)
- 12. Rudin, W.: Functional Analysis. International Series in Pure and Applied Mathematics, 2nd edn. McGraw-Hill Inc, New York (1991)
- Triebel, H.: Wavelet bases in anisotropic function spaces. In: Function spaces, differential operators and nonlinear analysis. conference held in svratka (May 2004), pp. 370–387. Math. Inst. Acad. Sci. Czech Republic, Praha (2005)
- 14. Triebel, H.: Theory of Function Spaces. III. Monographs in Mathematics, vol. 100. Birkhäuser, Basel (2006)
- 15. Triebel, H.: Theory of Function Spaces. Modern Birkhäuser Classics. Birkhäuser, Basel (2010). Reprint of the 1983 original edition
- Voigtlaender, F.: Embedding theorems for decomposition spaces with applications to wavelet coorbit spaces. PhD thesis, RWTH Aachen University (2015). http://publications.rwth-aachen.de/record/564979
- 17. Voigtlaender, F.: Embeddings of Decomposition Spaces. Memoirs of the American Mathematical Society, vol. 1426. American Mathematical Society (AMS), Providence (2023)
- Wolff, T.H.: Lectures on Harmonic Analysis. University Lecture Series, vol. 29. American Mathematical Society, Providence (2003)

Publisher's Note Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.