

# Evaluation of effects of robot-assisted early mobilization on critically ill patients, on the mobilization behaviour and experience of the mobilizing professionals and the organizational processes in an intensive care unit - a clinical intervention study: study protocol

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## Study Protocol

**Keywords:** intensive care, robotics, early mobilization, nursing, muscle mass, feasibility

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1 **Evaluation of effects of robot-assisted early mobilization on critically ill**  
2 **patients, on the mobilization behaviour and experience of the mobilizing**  
3 **professionals and the organizational processes in an intensive care unit - a**  
4 **clinical intervention study: study protocol**

5 Project MobiStaR (Mobilization of intensive care patients establishing a new standard in adaptive  
6 robotics)

7

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Figure 1: Training of a robot-assisted mobilization (LMU Klinikum 2020)

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44 **ABSTRACT**

45 **Background:** Early mobilization positively influences the outcome of critically ill patients, yet in the  
46 clinical practice the implementation is sometimes challenging. In this study, an adaptive robotic  
47 assistance system will be used for early mobilization in intensive care units. The study aims to evaluate  
48 the experience of the mobilizing professionals, the effects on patient outcomes, and the general  
49 feasibility of implementing robotic assistance for mobilization in intensive care.

50 **Methods:** The study is monocentric, prospective, interventional, and has multiple time points for data  
51 collection. To evaluate the feasibility of robotic-assisted early mobilization, the number of patients  
52 included, the number of performed VEM (very early mobilization) sessions, as well as the number and  
53 type of adverse events will be collected. The behavior and experience of mobilizing professionals will  
54 be evaluated using standardized observations (n>90) and episodic interviews (n>36) before  
55 implementation, shortly after, and in routine. Patient outcomes such as duration of mechanical  
56 ventilation, loss of muscle mass and physical activity will be measured and compared with a historical  
57 patient population. Approximately 30 patients will be included.

58 **Discussion:** The study will provide information about patient outcomes, feasibility, and the experience  
59 of mobilizing professionals. It will show whether robotic systems can increase early mobilization  
60 frequency of critically ill patients. Within ICU structures, early mobilization as therapy could become  
61 more of a focus. Effects on the mobilizing professionals such as increased motivation, physical relief,  
62 or stress will be evaluated. In addition, this study will focus on whether current structures allow  
63 following the recommendation of mobilizing patients twice a day for at least 20 minutes. The aim of  
64 this study is to evaluate the implementation of a new standard of robotic-assisted early mobilization  
65 in the intensive care setting and whether it can be utilized permanently within the current framework.

66

67 **Trial registration:** ([clinicaltrials.org](https://clinicaltrials.org) TRN: NCT05071248, Date: 2021/10/21) URL  
68 <https://clinicaltrials.gov/ct2/show/NCT05071248>

69 **Keywords:** intensive care, robotics, early mobilization, nursing, muscle mass, feasibility

## 70 BACKGROUND

71 Many studies have shown positive impacts of very early mobilization (VEM) on the functional and  
72 cognitive health (1–7) of intensive care unit (ICU) patients. It achieves the best possible rehabilitation  
73 (8,9) and shortens the length of stay in the ICU and hospital (3).

74 It has also been described that VEM can prevent functional disorders (9,10). Regular mobilization,  
75 meaning all forms and processes of mobilization aiming at the rehabilitation of intensive care patients,  
76 leads to important positive healing processes and consequently to an overall faster recovery (11).  
77 Assisted walking movements in particular reduce the risk of decubitus ulcers, maintain mobility and  
78 cardiac function, and facilitate bowel movements. These mobilizing measures are already part of the  
79 therapy programs of less seriously ill patients (9,12).

80 However, optimal VEM therapy, i.e. mobilization starting within 72 hours of ICU admission, should  
81 include daily mobilization sessions of at least 20 minutes, combining verticalization and gait-like leg  
82 movements. Due to the critical physical conditions of intensive care patients, VEM therapy can  
83 therefore only be carried out with an extraordinarily high level of personnel effort, especially if the  
84 patient is ventilated (13). Often, critically ill patients cannot stand on their own feet due to their severe  
85 limitations and have to be "exercised" on a therapy device. The transfer of intensive care patients from  
86 bed to a separate therapy device is time-consuming and risky for patients. Therefore, this method is  
87 not often performed in clinical practice. The current S2 guideline ( "Positioning therapy and early  
88 mobilization for prophylaxis or therapy of pulmonary dysfunctions" (14)) recommends active  
89 mobilization to be performed by at least two qualified staff members. For these and many other  
90 reasons, such as sedation/paralysis of the patients concerned (46%), unconsciousness (4%), staff  
91 shortage (17%), weekend (8%), etc. (15), only a quarter of the eligible patients are currently early  
92 mobilized (8,16). This has considerable consequences/significant impacts on the healing process, the  
93 burden on relatives, and the costs incurred by health insurances (10) and insured people.

94 Currently, several devices on the market allow automated robotic early mobilization therapy.  
95 Compared to manual early mobilization, robotic support has the advantage that mobilization in bed  
96 can reduce the risk of falls for patients. In addition, the physical strain for mobilizing professionals is  
97 reduced as the robotic device takes over the verticalization and leg movement. Some models  
98 verticalize and mobilize patients simultaneously. However, this requires a patient's transfer from their  
99 bed to the training device and then back to the bed.

100 The MobiStaR project (Mobilization of intensive care patients by a new standard in adaptive robotics)  
101 is based on the development model of complex interventions of the Medical Research Council (MRC)  
102 (17). In a cycle of piloting, evaluation, implementation, and (further) development, the framework for  
103 the use of the early mobilization device is created within the overall duration of the project.

104 The early mobilization robot used in our study design is able to verticalize the patient in their bed  
105 without transfer. Additionally, it generates a movement of the legs while measuring and supporting  
106 the patient's own movement. The device fulfills the requirements for mobilizing critically ill patients in  
107 an intensive care unit, maintains hygiene standards, and provides the best possible support for the  
108 patient's own movement. However, the path towards a nursing robot that can be used in a  
109 standardized manner for all eligible, critically ill patients strongly depends on the environment, the  
110 processes, and organizational procedures in which the robot is integrated. If it fulfills the requirements  
111 of sustaining the quality of care and significantly improves patient outcomes and their chances of  
112 recovery, thereby relieving the personnel, and is economically attractive, it simplifies the integration  
113 into an ICU. There is currently no adequate evidence for the benefit of the use of robotics in the early  
114 mobilization of ICU patients.

115 Evidence-based data is currently lacking on whether the use of robotic-assisted early mobilization can  
116 improve patient outcomes, what the experience of users is like, and whether the organizational and  
117 structural implementation in the daily routine of an intensive care unit is possible.

## 118 METHODS/DESIGN

### 119 AIM

120 The aim of this interventional study is to determine if robotic-assisted early mobilization of critically ill  
121 patients is feasible and useable. In addition, it is intended to identify the effects of this form of VEM  
122 compared to conventional, manual VEM on the experience of the mobilizing profession and the  
123 outcomes of the patients.

124 To achieve this purpose of the study, the following research questions will be examined in the context  
125 of (1) organizational feasibility, (2) evaluation of effects on patient\* outcomes, and (3) evaluation of  
126 the mobilizing professionals' experience.

127

### 128 STUDY DESIGN

129 The present study is a mixed-methods, monocentric, prospective intervention study with a comparison  
130 to actual standard therapy and takes place in anaesthesiological intensive care units of a university  
131 hospital in southern Germany.



	<b>Aim</b>	<b>Design</b>	<b>Participants</b>	<b>Estimated sample size</b>
<b>Effects on patient outcomes</b>	Comparison of patient outcomes with robot-assisted VEM (Very early mobilization) to conventional VEM	Interventional with comparison to a historic patient group	Intensive care patients	Approx. 30 patients per group
<b>Effects on behavior and experience of the mobilizing professionals</b>	Comparison of the emotions and the behavior with robot-assisted VEM (2 evaluations) to conventional VEM	Qualitative interviews and standardized observations	Mobilizing professionals (nurses, physiotherapists, physicians)	Observations n= 90-150, interviews n=36 depending on data saturation
<b>Organizational feasibility</b>	Evaluation of the feasibility and integration in the ICU	Standardized observations	Nurses, physiotherapists	Approx. n=210-300

132 Table 1: Study design

133 PARTICIPANTS

134 Patients

135 The study population consists of patients undergoing elective surgical procedures and scheduled for  
136 postoperative treatment in the anesthesiological intensive care unit. Patients will be included in the  
137 prospective intervention study according to the following inclusion criteria: the surgical intervention  
138 and postoperative care and therapeutic treatment in the ICU are planned, and the preoperative patient  
139 consents in writing for the study. The expected duration of ventilation is more than 48 hours. The  
140 patients are older than 18 years, their weight is between 45 and 135 kilograms, and their height is

141 between 1.50 meters and 1.95 meters. Exclusion criteria are chronic bedriddenness, a clinical frailty  
142 scale  $\geq 7$  (18), chronic ventilation (more than 24 hours) before admission to the intensive care unit,  
143 pregnancy, elevated intracranial pressure/risk for elevated intracranial pressure/recent cerebral  
144 hemorrhage, pre-existing neuromuscular disease resulting in chronic limitation of strength and  
145 performance, as well as a sternotomy during a surgical procedure.

146 Patients within the historical comparison group will be retrospectively selected within the same  
147 criteria. If they met any of the exclusion criteria during their intensive care unit stay, they will not be  
148 included in the historical group. No matching of the interventional and historical group is planned.

149

#### 150 Mobilizing Professionals

151 The mobilizing professionals consist of physicians, nurses, and physiotherapists working in  
152 anesthesiological intensive care units and are regularly involved in mobilization. An employment  
153 contract at the LMU hospital is required for all professional groups/mobilizing professionals.

154 Physicians, nurses, and physiotherapists will be included according to inclusion and exclusion criteria.

155 Nurses with advanced training in anesthesia and intensive care and/or nurses who have at least three  
156 years of professional experience in an intensive care unit will be included. In addition, these persons  
157 have an employment contract at LMU Hospital. Similarly, specialists in leading positions in intensive  
158 care units with completed residency training meet the inclusion criteria. Additionally, physiotherapists  
159 with at least three years of professional experience in an intensive care unit will be included. For  $T_2$   
160 and  $T_3$ , all specialists should also be assigned to the anesthesiological intensive care units. Specialists  
161 will only be included if they have given consent to participate in the study.

162 Persons that are members of the MobiStaR project team, have less than three years of professional  
163 experience as a nurse or specialist in an ICU, or are still in residency training will be excluded.

164 Physiotherapists with less than three years of professional experience in intensive care units are also  
165 excluded. Individuals who are not employees of LMU Hospital are also excluded. In  $T_2$  and  $T_3$ , specialists

166 who are not assigned to the anesthesiological intensive care units according to the duty schedule are  
167 excluded.

168

## 169 SAMPLE SIZE

170 In order to test correlations using multiple-variate models (multiple linear regressions) with a statistical  
171 power of 80% on approximately 8 independent variables (IV) compared to the dependent variable  
172 (DV), an approximate total sample size of 50 subjects is required. Thus, with an expected drop-out of  
173 10%, 55 patients (robotic intervention and historical comparison group) should be included in the  
174 study. A sample size of 20 subjects is considered a lower limit with moderately strong associations  
175 between IVs and DV and inclusion of a maximum of 5 IVs, with  $\alpha=5\%$  and  $\text{power}=80\%$  (19, 20). In  
176 this regard, if 30-35 patients are included in the robot-assisted intervention and a maximum of 6 IVs,  
177 meaningful results can be expected to be obtained in a manageable period of time. The study is  
178 completed as soon as the required number of patients has been recruited for the intervention. In 2020  
179 we provided a recruitment estimation to ensure achieving the calculated sample size. The  
180 corresponding number of cases for the historical group will be taken from the routine data.

181 Interviews and observations will be performed at three time points to assess the behavior and  
182 experience of mobilizing professionals. Interviews will be carried out with at least four persons of every  
183 professional group (physicians, nurses, physiotherapists) until data saturation occurs. The approximate  
184 sample size for interviews is  $n=36$  for all time points of evaluation. At any point in time, between 30  
185 and 50 mobilizations will be observed, so a total number of  $n=90-150$  observations are planned. The  
186 mobilizing professionals can be observed multiple times. All participants included in the study may  
187 withdraw their consent to participate in the study at any time.

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## 191 **PROCEDURES AND DATA COLLECTION**

### 192 STUDY PLAN

193 The study covers the period of early mobilization by the robotic system of patients who meet the  
194 inclusion and exclusion criteria. These are mobilized with the robotic early mobilization device  
195 approximately twice a day for 20 minutes, or at least 10 times within 7 days. The data collection will  
196 take place for 5 to 6 months, beginning in September 2021. Three study series will be performed during  
197 the study period (1) Feasibility line of robot-assisted VEM in the ICU, (2) Care Line: behavior and  
198 experience of the mobilizing professionals (evaluating conventional early mobilization before  
199 intervention) and (3) Prom Line: Effects on patient outcomes.

200

### 201 EVALUATION PLAN

202 All patients will receive a physical examination at different time points to assess physical functionality  
203 and muscle strength, as well as a sonographic examination of leg muscles, diaphragm, and lungs. These  
204 examinations and the collection of clinical scores will be performed on day -1 (preoperatively), on  
205 postoperative days 1, 2, 3, then once a week if the patient remains in the ICU, on day 28, on the day  
206 of discharge from the ICU, and on a follow-up examination within the context of routine examinations  
207 approximately 3 months after discharge from the ICU (21). The follow-up examination should only take  
208 place if the patients present themselves at the LMU Hospital due to medically indicated follow-up  
209 examinations (not study-related). Alternatively, patients can be asked about their condition by  
210 telephone. Patient-related interventions and conducting the informed consent interviews are carried  
211 out by the study physicians.

212 The evaluations of the behavior and experience of the mobilizing professionals and the feasibility line  
213 will be collected accompanying the robotic-assisted mobilizations of the patients. The survey ends with

214 the last robotic-assisted mobilization. Observations of the professionals will only be performed with  
 215 patients who have given consent to participate in the study. The informed consent of mobilizing  
 216 professionals and evaluation will be conducted by nursing scientists and study physiotherapists.

217 **DESCRIPTION OF VARIABLES AND TOOLS EMPLOYED IN THE EVALUATION OF THE VARIABLES**

218 A unique three-digit ID will be assigned to each patient, under which all data will be recorded  
 219 pseudonymously. All invasive procedures performed on patients will be carried out as routine  
 220 procedures independently of the study in the ICU according to medical indication. The following study-  
 221 related procedures will be performed on the patients beyond the informed consent and  
 222 documentation of patient-related data. All required information collected in routine clinical practice is  
 223 to be obtained from the patient documentation system (electronic patient record).

<b>clinical examination</b>		
Strength level of upper and lower extremities at last physical examination using Medical Research Council classification (scale: 0-5)		
<b>sonographic examination</b>		
diaphragm	M. quadriceps femoris	
<b>personal data</b>		
Age (years)	Sex (m/f/d)	Height (cm)
Weight (kg)	Diagnosis	chronic conditions
<b>laboratory values from clinical routine in last 24h</b>		
<b>medication</b>		
Sufentanil (µg/d)	Insulin bolus + infusion (IU)	
Piritramide (mg/d)	Naloxone (mg/d)	
Midazolam (mg)	Sodium Picosulfate (mg/d)	
Dexmedetomidin (µg/d)	Neostigmine (mg/d)	
Propofol (mg/d)	Macrogol 3350 (units/d)	
<b>clinical data</b>		

SOFA score	operative status
APACHE II score	Min. Horovitz index (mmHg)
SAPS II score	max. PEEP (mbar)
RASS Score	max. PInsp (mbar)
VAS Score	max. respiratory rate (x/min)
GCS Score	data organ replacement (yes/no)
temperature (°C)	ECMO therapy (yes/no)
mean arterial pressure (mmHg)	duration of invasive ventilation since admission (hours)
heart rate (bpm)	duration of non-invasive ventilation since admission (hours)
cardiac index (L/min)	duration of invasive ventilation since admission (0.0-1000.0)
Bicarbonate (mmol/L)	export urine (ml)
blood pH	AKIN stadium
Lactate (mmol/L)	
amount of reflux (ml)	
Bowel movement (active/sluggish /none)	
amount of stool (frequent/average/little)	

224 Table 2: Parameters used for the evaluation of the effects on patients' outcome

225 Within the episodic interviews (22), the stress, motivation, and physical strain of the mobilizing  
226 professionals will be evaluated. The focus is on the experienced emotions within the mobilization  
227 situations. The distress thermometer (23) will be used in conjunction with each interview. The behavior  
228 and attitude of mobilizing professionals will be observed using standardized observation schemes (24).  
229 In addition, the following data will be included in robotic-assisted mobilization: ventilation (yes/no),  
230 medication (in weaning process → yes/no; analgosedation → yes/no; catecholamine → yes/no),  
231 gender, weight, and height of the patient. Observation will only occur during the mobilization of  
232 patients who have given consent to participate in the study.

233 To ensure feasibility, the number of newly admitted and eligible patients, the enrolled and excluded  
234 patients, as well as the number of patients with discontinued interventions/adverse events caused by  
235 professionals, patients, or techniques, are documented each week. In addition, the following

236 intervention-related data will be collected: duration and set-up time of the intervention, number of  
237 mobilizing persons, degree of verticalization, minutes in the highest degree of verticalization, steps per  
238 minute, and minutes of intervention in total, as well as maximum hip angle. The mobilizing  
239 professionals will rate physical stress and feasibility on every early mobilization that is performed on a  
240 seven-point Likert scale.

241

## 242 INTERVENTION

### 243 *Robot-assisted early mobilization*

244 Patients included in the study, according to the inclusion and exclusion criteria, will be mobilized using  
245 the robotic system by the nursing ward team.

246 The aim is to perform a standardized  
247 mobilization with verticalization within the first  
248 72 hours after admission to the Intensive Care  
249 Unit. If possible, this should be performed twice  
250 a day for 20 minutes, with a minimum of 10  
251 treatment cycles over 7 days. Treatment  
252 characteristics such as timing, intensity,  
253 duration, and complications will be  
254 documented.

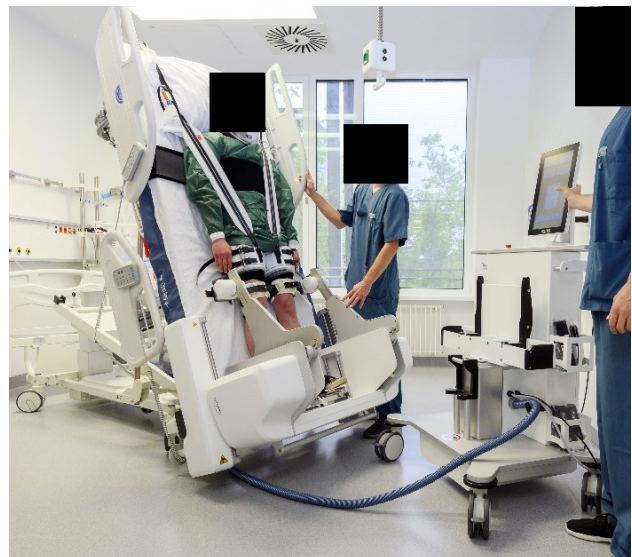


Figure 1: Training of a robot-assisted mobilization (LMU Klinikum 2020)

255 Robot-assisted early mobilization is performed only if it is deemed safe according to the criteria and  
256 recommendations of the Consensus Conference (25). This Consensus Manuscript provides  
257 recommendations on the conditions under which safe active mobilization is feasible in ventilated  
258 patients. It considers four categories (respiratory, cardiovascular, neurological, other). In this study,  
259 patients should only be robot-assisted if this is in accordance with the recommendations of the traffic  
260 light system (26)—level green or yellow. Level green indicates a low risk of an adverse event, the yellow

261 level shows potential risk and consequences of an adverse event, but the potential benefits of  
262 mobilization outweigh the risk. The criteria are discussed with the ward team prior to each robotic-  
263 assisted mobilization. Since transferring to a therapy device as described is not required for  
264 mobilization with the VEMO© system, the mobilization is categorized as "in-bed-exercise" (versus out-  
265 of-bed mobilization). The patients can be verticalized within the bed up to 70°. Here, a leg movement  
266 can be generated according to gait patterns.

267 The mobilizing professionals are trained for 90 minutes in robotic-assisted mobilization with healthy  
268 respondents. From every participating ward, 9 nurses are participating in the training. Product  
269 specialists accompany the professionals in mobilizing study patients until they feel safe to operate the  
270 device by themselves. The research team accompanies the mobilizing professionals through the whole  
271 study in every mobilization. Users can be certified as super users who are qualified to train other nurses  
272 or physiotherapists within the use of the device, so that there is a snowball system in knowledge.

### 273 *Conventional early mobilization*

274 The comparison group is a historical collective, which also meets the inclusion and exclusion criteria of  
275 the study. These patients were early mobilized following the ward routine of the intensive care unit's  
276 conventional early mobilization according to the instructions of the treatment team, consisting of  
277 physicians, nurses, and physiotherapists. Conventional early mobilization cannot be precisely defined  
278 based on a retrospective study (27,28). The information used for the study regarding early mobilization  
279 and the defined outcome criteria of the patients is taken from the routinely collected data.

#### 280 *1. Education and Informed consent*

281 Only patients who are capable of giving consent and can be informed preoperatively will be included  
282 by the study physicians. Informed written consent will be obtained from all patients who meet the  
283 inclusion criteria. If the patients withdraw their consent at a later point in time, they will be asked  
284 whether the data collected up to this point in time may still be used. Otherwise, all data collected up



285 to that point will be destroyed. There is no intention to include persons from the group of persons in  
286 need of special protection.

287         2. *Clinical examination to determine physical function/ health-related quality of life.*

288 To assess physical function and muscle strength, the following non-invasive examinations will be  
289 performed, and/or scores will be collected as required by the study:

290 FSS-ICU (29): the FSS-ICU assesses the patient's "Physical Performance" based on the following 5  
291 factors: turning, transition from lying to sitting, transition from sitting to standing, sitting at the edge  
292 of the bed, walking. For each of the 5 tasks, a minimum of 0 to a maximum of 7 points can be assigned.

293 At the follow-up examination approximately 3 months after discharge from the ICU, the health-related  
294 quality of life will also be assessed using the SF-36 questionnaire (30).

295         3. *Sonographic examination of the lungs, diaphragm, and musculus quadriceps femoris*

296 By means of ultrasound, the following parameters are evaluated in the course of the study:

297 The diaphragm is characterized by determining the diaphragm thickness, the thickening fraction, and  
298 the motility. The musculus quadriceps femoris is characterized by determining its thickness and by  
299 using the cross-sectional area. The methodology of the ultrasound examination is described in detail  
300 in the literature (31,32, 33, 34, 35).

301 The treatment team does not differ for the individual patients; it usually consists of nurses from the  
302 corresponding intensive care units, assigned physiotherapists, and the corresponding ward physicians.

303 For the duration of the study, an additional study team will be established, consisting of study  
304 physicians, study nurses, and technical support from the manufacturer.

305 Robotic-assisted early mobilization should be performed within the first 72 hours postoperatively, if  
306 possible, and should be performed at least twice a day for 20 minutes until the seventh postoperative  
307 day or at least 10 cycles of treatment during the intensive care unit stay. Frequency of treatment,  
308 treatment duration, and intensity are recorded. Treatment-associated events will be recorded. In case

309 of hemodynamic, respiratory, or other instability during treatment, the therapy session can be  
310 discontinued at any time. The decision to discontinue mobilization rests solely with the treatment  
311 team. The study team can advise and act in accordance with the declaration of Helsinki.

312 Within biweekly meetings of the operative working research team the results and the ongoing of the  
313 study is evaluated.

#### 314 DATA ANALYSIS

315 In the context of organizational feasibility, descriptive data is reported and visualized for robotic-  
316 assisted VEM. Subsequently, regression analyses are used to contextualize and quantify the data.  
317 Depending on the variables and the type of distribution, they are quantified after calculating degrees  
318 of freedom, and correlations are tested using applicable analysis methods.

319 Interview data on stress experience and physical behavior will be collected within a robot-assisted  
320 mobilization situation and evaluated and visualized by means of qualitative content analysis (36). Data  
321 of the distress thermometer will be analyzed by means of descriptive statistics (23). The observations  
322 of positioning and mobilization behavior of the mobilizing professionals will be analyzed using  
323 descriptive statistics (37).

324 Within the study population, conventional early mobilization of critically ill intensive care patients  
325 (historical comparison group) will be compared with robot-assisted early mobilization. The data will be  
326 evaluated by graphical representations of the individual parameters in the course by means of box  
327 plots and scatter diagrams. Associations between parameters are quantified using appropriate  
328 (depending on scale level and distribution) correlation coefficients. For comparison between  
329 conventional and robot-assisted VEM, commonly used robust statistical methods are applied.

330 After 50% of the participants have been included in the study, the first interim analysis will be  
331 performed and is the basis for further decisions.

332 DATA MANAGEMENT (DATA PROTECTION, ANONYMIZATION, DATA STORAGE)

333 For the entire project, an overarching data protection statement Art. 6 DSGVO (General Data  
334 Protection Regulation) of the data protection officer of the LMU Hospital is available (Procedure  
335 Number 1582a of 13 July 2021).

336 The data will be collected by means of digital questionnaires. The patients will receive a three-digit  
337 pseudonymized ID after giving their consent. Target criteria collected in routine clinical practice will be  
338 recorded with the routine case number and transferred to the research database created specifically  
339 for the project. Data monitoring is done inhouse by a biostatistical and bioinformatical institute. After  
340 completion of the documentation, the case number will be replaced by the above-mentioned ID. All  
341 personal data will be recorded under this ID. The data from the survey forms are promptly stored  
342 electronically in a secure folder. These are secured by the network of the participating institutions, and  
343 access to the data is restricted.

344 Only the research team has access to the research database. Access to personal data (effects on patient  
345 outcomes) is restricted to the study physicians, who are bound by medical confidentiality. After the  
346 individual patient has completed the study, the personal reference is removed, and the encryption  
347 code is only kept in a written document in a lockable cabinet in the anesthesiological ICU, to which  
348 only the clinical study director has access. Decoding is only performed for the safety of the patients (=  
349 medical reasons) or in case of a change of the scientific question (= scientific reasons). The regulations  
350 of medical confidentiality and data protection are observed in this study. Patients will be informed in  
351 detail about data protection during patient education. Access to study-related data is only possible via  
352 the respective study directors. All data will be destroyed according to the usual retention periods  
353 (Federal Data Protection Act).

354 Only the study team of the LMU Hospital and the Catholic University of Eichstätt-Ingolstadt (experience  
355 and behavior of the users) has access to the collected data.

356 The names of the participants and all other confidential information are subject to confidentiality and  
357 the regulations of the DSGVO and the Federal/State Data Protection Act (BDSG/BayDSG). Data of the  
358 study participants will not be passed on. Third parties will not be given access to the original  
359 documents. The data collected during the study will be kept until the data analysis is completed and  
360 then destroyed. Pseudonymized data may be shared with scientific project partners as part of the  
361 discourse on the study.

362

### 363 ETHICAL CONSIDERATIONS

364 The study is designed as a clinical intervention study with comparison to a historical patient population.  
365 Patient participation is voluntary. The value of early mobilization in critically ill patients has been  
366 proven, as has the safety of early mobilization. Harmful events occurred very rarely in comparable  
367 studies, and serious adverse events seen in association with the study did not occur (5,13). The use of  
368 the VEMO© system has also been studied and found to be safe. Thus, participating patients have no a  
369 priori disadvantage. The VEMO© system has a CE certificate and is approved for the early mobilization  
370 of critically ill patients. It is categorized as a class 2a medical device and is in regular use in several  
371 German and international hospitals. The system is only used for the approved indication (early  
372 mobilization of critically ill patients). A hygiene concept for the application of the system was  
373 developed in cooperation with the hospital hygiene department. The surveys within the scope of  
374 organizational feasibility accompany the interventions and pose no risk to patients through the  
375 observational function.

376 Otherwise, the study team has no influence on the treatment of the patients.

377 The primary benefit in terms of effects on patient outcomes is to determine if robot-assisted  
378 mobilization differs from conventional early mobilization in its ability to reduce ventilation time,  
379 muscle atrophy, and ICU-acquired weakness. Individual patients could benefit from intensive robot-  
380 assisted early mobilization in terms of shorter ventilation, less muscle atrophy, and better physical

381 functionality. A lasting negative impact on the patient group is not expected if treatment is performed  
382 with a safe, non-invasive medical device and intensive physiotherapeutic exercise. Serious adverse  
383 events associated with the medical device are not known. Possible adverse events such as short-lasting  
384 changes in blood pressure and heart rate, the accidental removal of drains, or the development of skin  
385 lesions due to the mobilization cuffs could occur.

386 From the data obtained, improved therapy concepts can be developed, and the use of robot-assisted  
387 mobilization can be established as part of a new standard of care. This study makes a significant  
388 contribution to the future improvement of therapy for critically ill patients. If the measures of robot-  
389 assisted VEM prove superior to those of historical, conventional VEM, the new treatment technique  
390 could be quickly implemented into the clinical routine in ICUs based on this study.

391 The data collected during the study is not available to the treating physicians during the patient  
392 recruitment phase. This way, no negative influence on the therapy of the individual patient can arise.

393 Even if conclusions regarding the treatment of future patients or patients from other ICUs cannot be  
394 drawn from the data obtained in an unlimited and uncritical manner, the study presented here  
395 provides a valuable gain in knowledge with the aim of comparatively examining different forms of early  
396 mobilization of the effect on a specific patient population. By simultaneously surveying the experience  
397 and behavior of the mobilizing professionals, it is also possible to record their workload when using  
398 the new therapy. Given the high workload in ICUs, a feasibility study is essential, which is why the study  
399 focuses on users, patients, and structures. All participants in this study (patients and professionals)  
400 gave informed and written consent to the interventions before including in the study.

401 In summary, this study makes an important and necessary contribution to improving the therapy of  
402 critically ill patients. There are no study-related burdens for the individual patients, and participation  
403 in the study is without risks for the patients.

404 OBLIGATION OF THE STUDY MANAGEMENT ACCORDING TO STUDY PROTOCOL

405 The study directors, as well as all participating scientists, commit themselves to conduct the study  
406 described herein in accordance with the study protocol. Changes to the study protocol are only  
407 possible after consultation with the ethics committee; if necessary, a new evaluation will be obtained.  
408 In case of severe adverse events or violation of the participants, the study will immediately stopped by  
409 the study management.

410

## 411 DISCUSSION

412 This evaluation will provide new insights for implementing a robotic device into ICUs, concerning  
413 patient outcomes, the feasibility as well as potential effects for mobilizing professionals. If the effects  
414 on patients show a better rehabilitation outcome concerning muscle loss and routine parameters, this  
415 new technology might reduce the duration in intensive care and in the hospital. Since the device can  
416 also be used to mobilize sedated or immobile patients at an early stage, there is a chance that  
417 mobilization frequency can be increased. Small studies have already shown the benefit of early  
418 mobilization in the care of strokes [38]. The implementation relies on the adaption of organizational  
419 structures in a highly organized setting and may lead to additional work for the mobilizing  
420 professionals. Regarding the evaluation of behavior and experience of mobilizing professionals, it will  
421 survey whether early mobilization becomes a stronger focus of work due to the technical device and  
422 whether personal effects such as increased motivation or stress occur. Moreover, the use of the  
423 robotic system might reduce lifting (work) for nurses and physiotherapists, which could imply physical  
424 relief. In addition, the study will also focus on the feasibility of implementation and whether the  
425 current structures allow following the recommendations of mobilizing patients twice a day for at least  
426 20 minutes. The aim of the study is to evaluate whether a new standard of care can be implemented  
427 in the intensive care setting with a robotic system and whether the setting with its current structures  
428 could implement this standard of care permanently.

429

## 430 **LIMITATIONS**

431 The study is performed with a small number of patients. Results in patients who experience other  
432 serious illnesses may differ. The study is monocentric, so the data depend on staff and patients,  
433 external validity is reduced. The survey of effects on patient outcomes will mainly examine parameters  
434 recommended in the literature. Possible other parameters might show other effects. The results of the  
435 interviews of the mobilizing professionals might vary due to the qualitative approach. The observations  
436 are performed by several researchers, which might influence the continuity of data.

437

## 438 **DISSEMINATION PLAN**

439 The results of the study, as well as results from the respective surveys, will be made available to the  
440 public subsequently. This will be enacted in the form of publications and in contributions on scientific  
441 conferences

## 442 **ABBREVIATIONS**

443 VEM = very early mobilization

444 ICU = intensive care unit

445 MobiStaR project = Mobilization of intensive care patients establishing a new standard in adaptive  
446 robotics – project

447 MRC = Medical Research Council

448 LMU hospital = Ludwig-Maximilians-University hospital Munich

449 IV = independent variable

450 DV= dependent variable

451 ID = Identifier

452 SOFA Score = Sepsis-related Organ Failure Assessment score

453 APACHE II Score = Acute Physiology And Chronic Health Evaluation II score

454 SAPS II Score = Simplified Acute Physiology Score II

455 RASS Score = Richmond Agitation-Sedation Scale

456 VAS Score = Visual Analog Scale  
457 GCS Score = Glasgow Coma Score  
458 Max. PEEP = maximum Positive End-Expiratory Pressure  
459 Max PInsp = peak inspiratory pressure  
460 ECMO therapy= extracorporeal membrane oxygenation therapy  
461 AKIN Stadium = Acute Kidney Injury Network Stadium  
462 VEMO system = Very Early Mobilization Operator System (Name of the robotic system used in the  
463 study)  
464 FSS-ICU= Functional Status Score for intensive care Units  
465 SF-36 questionnaire = Short Form questionnaire for health-related life quality with 36 questions  
466 DSGVO = Datenschutzgrundverordnung, German General Data Protection Regulation  
467 CE Certificate = “Communauté Européene” Certificate; European certificate for medical devices

## 468 POTENTIAL CHANGES

## 469 DECLARATIONS

470 Ethical declaration

471 The study has been approved and consented to participate by the Ethics committee of the Ludwigs-  
472 Maximilians University Munich, Germany (21-0355).

473

474 Consent for publication

475 Not applicable.

476

477 Availability of data and materials

478 The datasets generated or analyzed during the current study are not publicly available due to  
479 incompleteness but will be available from the study directors on reasonable request.

480



481 Competing interests

482 The authors declare that they have no competing interests.

483

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488 code 16SV842. The funder was not involved in the planning and conducting of the study and will be  
489 informed of the progress and results of the project.

490

491 Authors' contributions

492 UF, MZ, IE, EK, IS, MG, AK made substantial contributions to the conception and the design of the work,  
493 UF and IE applied for funding. AW, IS drafted the manuscript. Rest critically revised the draft and  
494 contributed to the final writing of the paper. All authors read and approved the final manuscript. UF is  
495 the overall project manager, sponsor and the ultimate authority. Collection of data, analysis,  
496 interpretation of data in the study is done by all authors.

497

498 [Acknowledgement](#)

499 Not applicable.

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